

HOL-TestGenFW

Achim D. Brucker Lukas Brügger Burkhart Wolff

April 2, 2010

Contents

1	Introduction	2
2	Installing and using HOL-TestGen/FW	3
3	Preliminaries	3
4	Packets and Networks	4
5	Address Representations	7
5.1	Datatype Addresses	8
5.2	Datatype Addresses with Ports	8
5.3	Integer Addresses	9
5.4	Integer Addresses with Ports	9
5.5	IPv4 Addresses	10
6	Policies	11
6.1	Policy Core	11
6.2	Policy Combinators	11
6.3	Policy Combinators with Ports	13
6.4	Ports	15
7	Policy Normalisation	16
7.1	Basics	17
7.2	Auxiliary definitions and functions.	18
7.3	Invariants	20
7.4	Transformations	22
8	Stateful Firewalls	25
8.1	Basic Constructs	25
8.2	FTP Protocol	27

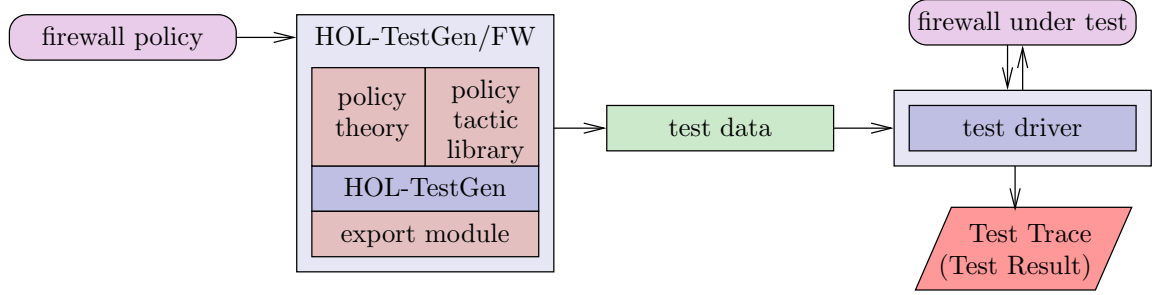


Figure 1: The HOL-TestGen/FW architecture.

9 Examples	31
9.1 Stateless Example	31
9.2 FTP Example	36
9.3 FTP with Observers	38
A Appendix	44

1 Introduction

As HOL-TestGen is built on the framework of Isabelle with a general plug-in mechanism, HOL-TestGen can be customized to implement domain-specific, model-based test tools in its own right. As an example for such a domain-specific test-tool, we developed HOL-TestGen/FW which extends HOL-TestGen by:

1. a theory (or library) formalising networks, protocols and firewall policies,
2. domain-specific extensions of the generic test-case procedures (tactics), and
3. support for an export format of test-data for external tools such as [4].

HOL-TestGen/FW is part of the HOL-TestGen distribution. It is located in the directory `add-ons/security`; see [3, 2] for more details.

Figure 1 shows the overall architecture of HOL-TestGen/FW.

In fact, [item 1](#) defines the formal semantics (in HOL) of a specification language for firewall policies; see [2] and the accompanying examples for details. On the technical level, this library also contains simplification rules together with the corresponding setup of the constraint resolution procedures.

With [item 2](#) we refer to domain-specific processing encapsulated into the general HOL-TestGen test-case generation. Since test specifications in our domain have a specific pattern consisting of a limited set of predicates and

policy combinators, this can be exploited in specific pre-processing and post-processing of an optimised version of the procedure, now tuned for stateless firewall policies.

With [item 3](#), we refer to an own XML-like format for exchanging test-data for firewalls, i.e. a description of packets to be send together with the expected behavior of the firewall. This data data can be imported in a test-driver for firewalls, for example [\[4\]](#). This completes our toolchain which, thus, supports the execution of test data on firewall implementations based on test cases derived from formal specifications.

2 Installing and using HOL-TestGen/FW

To install HOL-TestGen/FW you need a working installation of HOL-TestGen as described in the HOL-TestGen User Guide. To build the extension, go into the `add-ons/security/src/firewall/` directory and build the HOL-TestGen/FW heap image for Isabelle by calling

```
isabelle make
```

HOL-TestGen/FW can now be started using the `isabelle` command:

```
isabelle emacs -k HOL-TestGen -l HOL-TestGenFW
```

or, if HOL-TestGen was built on top of HOLCF instead on HOL only:

```
isabelle emacs -k HOLCF-TestGen -l HOLCF-TestGenFW
```

3 Preliminaries

```
theory
  FWTesting
imports
  PacketFilter/PacketFilter
  FWCompilation/FWCompilationProof
  StatefulFW/StatefulFW
  Testing
begin
```

This is the formalisation in Isabelle/HOL of firewall policies and corresponding networks and packets. It first contains the formalisation of stateless packet filters as described in [\[2\]](#), followed by a verified policy normalisation technique (described in [\[1\]](#)), and a formalisation of stateful protocols described in [\[3\]](#).

The following statement adjusts the pre-normalization step of the default test case generation algorithm. This turns out to be more efficient for the specific case of firewall policies.

```
setup⟨⟨ map-testgen-params(TestGen.pre-normalizeTNF-tac-update (
    fn ctxt =>
      fn clasimp =>
        (TestGen.ALLCASES (asm-full-simp-tac (simpset-of
          (ThyInfo.get-theory Int))))))
  ⟩⟩
```

Next, the Isar command *prepare-fw-spec* is specified. It can be used to turn test specifications of the form: " $C\ x \implies FUT\ x = policy\ x$ " into the desired form for test case generation.

```
ML ⟨⟨
  fun prepare-fw-spec-tac ctxt =
    (TRY((res-inst-tac ctxt [(x,0),x]) spec 1) THEN
      (resolve-tac [allI] 1) THEN
      (split-all-tac 1) THEN
      (TRY (resolve-tac [impI] 1)));
  ⟩⟩
```

```
method-setup prepare-fw-spec =
  ⟨⟨
    Scan.succeed (fn ctxt => SIMPLE-METHOD
      (prepare-fw-spec-tac ctxt))⟩⟩ Prepares the firewall test theorem

end
```

4 Packets and Networks

```
theory NetworkCore
imports Main
begin
```

In networks based e.g. on TCP/IP, a message from A to B is encapsulated in *packets*, which contain the content of the message and routing information. The routing information mainly contains its source and its destination address.

In the case of stateless packet filters, a firewall bases its decision upon this routing information and, in the stateful case, on the content. Thus, we model a packet as a four-tuple of the mentioned elements, together with an id field.

The ID is just an integer:

```
types id = int
```

To enable different representations of addresses (e.g. IPv4 and IPv6, with or without ports), we model them as an unconstrained type class and directly provide several instances:

```
axclass adr < type  
types   ' $\alpha$  src = ' $\alpha$ ::adr  
        ' $\alpha$  dest = ' $\alpha$ ::adr
```

```
instance int :: adr ..  
instance nat :: adr ..  
instance fun :: (adr,adr) adr ..  
instance * :: (adr,adr) adr ..
```

The content is also specified with an unconstrained generic type:

```
types ' $\beta$  content = ' $\beta$ 
```

For applications where the concrete representation of the content field does not matter (usually the case for stateless packet filters), we provide a default type which can be used in those cases:

```
datatype DummyContent = data
```

A packet is thus:

```
types (' $\alpha$ , ' $\beta$ ) packet = id  $\times$  (' $\alpha$ ::adr) src  $\times$  (' $\alpha$ ::adr) dest  $\times$  ' $\beta$  content
```

Please note that protocols (e.g. http) are not modelled explicitly. In the case of stateless packet filters, they are only visible by the destination port of a packet, which will be modelled as part of the address. Additionally, stateful firewalls will often determine the protocol by the content of a packet which is thus kept as a generic type.

Port numbers (which are part of an address) are also modelled in a generic way. The integers and the naturals are typical representations of port numbers.

```
axclass port < type  
instance int :: port ..  
instance nat :: port ..
```

A packet therefore has two parameters, the first being the address, the second the content. These should be specified before the test data generation later. For the sake of simplicity, we do not allow to have a different address representation format for the source and the destination of a packet respectively.

In order to access the different parts of a packet directly, we define a couple of projectors:

definition $id :: ('α, 'β) \text{ packet} \Rightarrow id$
where $id \equiv fst$

definition $src :: ('α, 'β) \text{ packet} \Rightarrow ('α::adr) \text{ src}$
where $src \equiv fst \circ snd$

definition $dest :: ('α, 'β) \text{ packet} \Rightarrow ('α::adr) \text{ dest}$
where $dest \equiv fst \circ snd \circ snd$

definition $content :: ('α, 'β) \text{ packet} \Rightarrow 'β \text{ content}$
where $content \equiv snd \circ snd \circ snd$

The following two constants give the source and destination port number of a packet. Address representations using port numbers need to provide a definition for these types.

consts $src\text{-}port :: ('α, 'β) \text{ packet} \Rightarrow 'γ::port$
consts $dest\text{-}port :: ('α, 'β) \text{ packet} \Rightarrow 'γ::port$

A subnetwork (or simply a network) is a set of sets of addresses.

types $'α \text{ net} = 'α::adr \text{ set set}$

The relation $in_subnet (\sqsubset)$ checks if an address is in a specific network.

definition
 $in_subnet :: 'α::adr \Rightarrow 'α \text{ net} \Rightarrow bool \text{ (infixl } \sqsubset 100) \text{ where}$
 $in_subnet \ a \ S \equiv \exists \ s \in S. \ a \in s$

The following lemmas will be useful later.

lemma in_subnet :
 $((a), e) \sqsubset \{ \{ ((x1), y). \ P \ x1 \ y \} \} = (P \ a \ e)$
by ($simp \ add: \ in_subnet\text{-}def$)

lemma $src\text{-}in_subnet$:
 $((src(q, ((a), e), r, t)) \sqsubset \{ \{ ((x1), y). \ P \ x1 \ y \} \} = (P \ a \ e)$
by ($simp \ add: \ in_subnet\text{-}def \ in_subnet \ src\text{-}def$)

lemma $dest\text{-}in_subnet$:
 $((dest(q, r, ((a), e), t)) \sqsubset \{ \{ ((x1), y). \ P \ x1 \ y \} \} = (P \ a \ e)$
by ($simp \ add: \ in_subnet\text{-}def \ in_subnet \ dest\text{-}def$)

Address models should provide a definition for the following constant, returning a network consisting of the input address only.

consts $subnet\text{-}of :: 'α::adr \Rightarrow 'α \text{ net}$

end

5 Address Representations

```
theory
  NetworkModels
imports

  DatatypeAddress
  DatatypePort

  IntegerAddress
  IntegerPort

  IPv4
```

begin

One can think of many different possible address representations. In this distribution, we include 5 different variants:

- *DatatypeAddress*: Three explicitly named addresses, which build up a network consisting of three disjunct subnetworks. I.e. there are no overlaps and there is no way to distinguish between individual hosts within a network.
- *DatatypePort*: An address is a pair, with the first element being the same as above, and the second being a port number modelled as an Integer¹.
- *IntegerAddress*: An address in an Integer.
- *IntegerPort*: An address is a pair of an Integer and a port (which is again an Integer).
- *IPv4*: An address is a pair. The first element is a four-tuple of Integers, modelling an IPv4 address, the second element is an Integer denoting the port number.

The respective theories of the networks are relatively small. It suffices to provide the respective types, a couple of lemmas, and - if required - a definition for the source and destination ports of a packet.

end

¹For technical reasons, we always use Integers instead of Naturals. As a consequence, the test specifications have to be adjusted to eliminate negative numbers.

5.1 Datatype Addresses

```
theory DatatypeAddress  
imports NetworkCore  
begin
```

A theory describing a network consisting of three subnetworks. Hosts within a network are not distinguished.

```
datatype DatatypeAddress = dmz-adr | intranet-adr | internet-adr
```

```
definition
```

```
  dmz::DatatypeAddress net where  
  dmz  $\equiv \{\{dmz-adr\}\}$ 
```

```
definition
```

```
  intranet::DatatypeAddress net where  
  intranet  $\equiv \{\{intranet-adr\}\}$ 
```

```
definition
```

```
  internet::DatatypeAddress net where  
  internet  $\equiv \{\{internet-adr\}\}$ 
```

```
end
```

5.2 Datatype Addresses with Ports

```
theory DatatypePort  
imports NetworkCore  
begin
```

A theory describing a network consisting of three subnetworks, including port numbers modelled as Integers. Hosts within a network are not distinguished.

```
datatype DatatypeAddress = dmz-adr | intranet-adr | internet-adr
```

```
types
```

```
  port = int  
  DatatypePort = (DatatypeAddress  $\times$  port)
```

```
instance DatatypeAddress :: adr ..
```

```
definition
```

```
  dmz::DatatypePort net where  
  dmz  $\equiv \{\{(a,b). a = dmz-adr\}\}$ 
```


definition

intranet::*DatatypePort* *net* **where**
intranet $\equiv \{\{(a,b). a = \text{intranet-adr}\}\}$

definition

internet::*DatatypePort* *net* **where**
internet $\equiv \{\{(a,b). a = \text{internet-adr}\}\}$

defs (overloaded)

src-port-def: *src-port* (*x*::(*DatatypePort*, β) *packet*) $\equiv (\text{snd } o \text{ fst } o \text{ snd}) \ x$
dest-port-def: *dest-port* (*x*::(*DatatypePort*, β) *packet*) $\equiv (\text{snd } o \text{ fst } o \text{ snd } o \text{ snd}) \ x$
subnet-of-def: *subnet-of* (*x*::*DatatypePort*) $\equiv \{\{(a,b). a = \text{fst } x\}\}$

lemma *src-port* : *src-port* ((*a,x,d,e*)::(*DatatypePort*, β) *packet*) = *snd x*
by (*simp add: src-port-def in-subnet*)

lemma *dest-port* : *dest-port* ((*a,d,x,e*)::(*DatatypePort*, β) *packet*) = *snd x*
by (*simp add: dest-port-def in-subnet*)

lemmas *DatatypePortLemmas* = *src-port dest-port src-port-def dest-port-def*
end

5.3 Integer Addresses

theory *IntegerAddress*
imports *NetworkCore*
begin

A theory where addresses are modelled as Integers.

types
IntegerAddress = *int*

end

5.4 Integer Addresses with Ports

theory *IntegerPort*
imports *NetworkCore*
begin

A theory describing addresses which are modelled as a pair of Integers - the first being the host address, the second the port number.

types

address = *int*

port = *int*

IntegerPort = *address* \times *port*

defs (overloaded)

src-port-def: *src-port* (*x*::(*IntegerPort*,' β) *packet*) \equiv (*snd* *o* *fst* *o* *snd*) *x*

dest-port-def: *dest-port* (*x*::(*IntegerPort*,' β) *packet*) \equiv (*snd* *o* *fst* *o* *snd* *o* *snd*) *x*

subnet-of-def: *subnet-of* (*x*::(*IntegerPort*)) \equiv $\{\{(a,b). a = \text{fst } x\}\}$

lemma *src-port*: *src-port* (*a*,*x*::*IntegerPort*,*d*,*e*) = *snd* *x*

by (*simp* *add*: *src-port-def* *in-subnet*)

lemma *dest-port*: *dest-port* (*a*,*d*,*x*::*IntegerPort*,*e*) = *snd* *x*

by (*simp* *add*: *dest-port-def* *in-subnet*)

lemmas *IntegerPortLemmas* = *src-port* *dest-port* *src-port-def* *dest-port-def*

end

5.5 IPv4 Addresses

theory *IPv4*

imports *NetworkCore*

begin

A theory describing IPv4 addresses with ports. The host address is a four-tuple of Integers, the port number is a single Integer.

types

ipv4-ip = (*int* \times *int* \times *int* \times *int*)

port = *int*

ipv4 = (*ipv4-ip* \times *port*)

defs (overloaded)

src-port-def: *src-port* (*x*::(*ipv4*,' β) *packet*) \equiv (*snd* *o* *fst* *o* *snd*) *x*

defs (overloaded)

dest-port-def: *dest-port* (*x*::(*ipv4*,' β) *packet*) \equiv (*snd* *o* *fst* *o* *snd* *o* *snd*) *x*

defs (overloaded)

subnet-of-def: *subnet-of* (*x*::*ipv4*) \equiv $\{\{(a,b). a = \text{fst } x\}\}$

definition *subnet-of-ip* :: *ipv4-ip* \Rightarrow *ipv4* *net*

where *subnet-of-ip* *ip* \equiv $\{\{(a,b). (a = \text{ip})\}\}$

lemma *src-port*: $\text{src-port } (a, (x::\text{ipv4}), d, e) = \text{snd } x$
by (*simp add: src-port-def in-subnet*)

lemma *dest-port*: $\text{dest-port } (a, d, (x::\text{ipv4}), e) = \text{snd } x$
by (*simp add: dest-port-def in-subnet*)

lemmas *IPv4Lemmas* = *src-port dest-port src-port-def dest-port-def*

end

6 Policies

6.1 Policy Core

theory *PolicyCore*
imports *NetworkCore*
begin

Next, we define the concept of a policy. From an abstract point of view, a policy is a partial mapping of packets to decisions. Thus, we model the decision as a datatype.

datatype $'\alpha \text{ out} = \text{accept } '\alpha \mid \text{deny } '\alpha$

A policy is seen as a partial mapping from packet to packet out.

types $(' \alpha, ' \beta) \text{ Policy} = (' \alpha, ' \beta) \text{ packet} \rightarrow ((' \alpha, ' \beta) \text{ packet}) \text{ out}$

When combining several rules, the firewall is supposed to apply the first matching one. In our setting this means the first rule which maps the packet in question to *Some* (*packet out*). This is exactly what happens when using the map-add operator (*rule1 ++ rule2*). The only difference is that the rules must be given in reverse order.

The constant *p-accept* is *True* iff the policy accepts the packet.

definition

$p\text{-accept} :: (' \alpha, ' \beta) \text{ packet} \Rightarrow (' \alpha, ' \beta) \text{ Policy} \Rightarrow \text{bool}$ **where**
 $p\text{-accept } p \text{ policy} \equiv \text{policy } p = \text{Some } (\text{accept } p)$

end

6.2 Policy Combinators

```

theory PolicyCombinators
imports
  PolicyCore
begin

```

In order to ease the specification of a concrete policy, we define some combinators. Using these combinators, the specification of a policy gets very easy, and can be done similarly as in tools like IPTables.

definition

```

allow-all  :: ('α, 'β) Policy where
allow-all p ≡ Some (accept p)

```

definition

```

deny-all :: ('α, 'β) Policy where
deny-all p ≡ Some (deny p)

```

definition

```

allow-all-from :: ('α::adr) net ⇒ ('α, 'β) Policy where
allow-all-from src-net ≡ allow-all | ' {pa. src pa ⊆ src-net}

```

definition

```

deny-all-from :: ('α::adr) net ⇒ ('α, 'β) Policy where
deny-all-from src-net ≡ deny-all | ' {pa. src pa ⊆ src-net}

```

definition

```

allow-all-to :: ('α::adr) net ⇒ ('α, 'β) Policy where
allow-all-to dest-net ≡ allow-all | ' {pa. dest pa ⊆ dest-net}

```

definition

```

deny-all-to :: ('α::adr) net ⇒ ('α, 'β) Policy where
deny-all-to dest-net ≡ deny-all | ' {pa. dest pa ⊆ dest-net}

```

definition

```

allow-all-from-to :: ('α::adr) net ⇒ ('α::adr) net ⇒ ('α, 'β) Policy where
allow-all-from-to src-net dest-net ≡ allow-all | ' {pa. src pa ⊆ src-net ∧ dest pa ⊆ dest-net}

```

definition

```

deny-all-from-to :: ('α::adr) net ⇒ ('α::adr) net ⇒ ('α, 'β) Policy where
deny-all-from-to src-net dest-net ≡ deny-all | ' {pa. src pa ⊆ src-net ∧ dest pa ⊆ dest-net}

```

All these combinators and the default rules are put into one single lemma called *PolicyCombinators* to facilitate proving over policies.

lemmas PolicyCombinators =

```

allow-all-def deny-all-def allow-all-from-def deny-all-from-def
allow-all-to-def deny-all-to-def allow-all-from-to-def deny-all-from-to-def

```

```

    map-add-def restrict-map-def
end

```

6.3 Policy Combinators with Ports

```

theory PortCombinators
imports PolicyCombinators
begin

```

This theory defines policy combinators for those network models which have ports. They are provided in addition to the the ones defined in the PolicyCombinators theory.

This theory requires from the network models a definition for the two following constants:

- $src_port :: ('α, 'β)packet \Rightarrow ('γ :: port)$
- $dest_port :: ('α, 'β)packet \Rightarrow ('γ :: port)$

definition

```

allow-all-from-port :: ('α::adr) net  $\Rightarrow$  'γ::port  $\Rightarrow$  ('α, 'β) Policy where
allow-all-from-port src-net s-port  $\equiv$  allow-all-from src-net | ' {pa. src-port pa = s-port}

```

definition

```

deny-all-from-port    :: ('α::adr) net  $\Rightarrow$  'γ::port  $\Rightarrow$  ('α, 'β) Policy where
deny-all-from-port src-net s-port  $\equiv$  deny-all-from src-net | ' {pa. src-port pa = s-port}

```

definition

```

allow-all-to-port    :: ('α::adr) net  $\Rightarrow$  'γ::port  $\Rightarrow$  ('α, 'β) Policy where
allow-all-to-port dest-net d-port  $\equiv$  allow-all-to dest-net | ' {pa. dest-port pa = d-port}

```

definition

```

deny-all-to-port    :: ('α::adr) net  $\Rightarrow$  'γ::port  $\Rightarrow$  ('α, 'β) Policy where
deny-all-to-port dest-net d-port  $\equiv$  deny-all-to dest-net | ' {pa. dest-port pa = d-port}

```

definition

```

allow-all-from-port-to    :: ('α::adr) net  $\Rightarrow$  'γ::port  $\Rightarrow$  ('α::adr) net  $\Rightarrow$  ('α, 'β) Policy where
allow-all-from-port-to src-net s-port dest-net
 $\equiv$  allow-all-from-to src-net dest-net | ' {pa. src-port pa = s-port}

```

definition

$deny_all_from_port_to :: ('\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha, '\beta)$
Policy **where**
 $deny_all_from_port_to \ src_net \ s_port \ dest_net$
 $\equiv deny_all_from_to \ src_net \ dest_net \mid '\{pa. \ src_port \ pa = s_port\}$

definition

$allow_all_from_port_to_port :: ('\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow '\gamma::port$
 $\Rightarrow (''\alpha, '\beta)$ *Policy* **where**
 $allow_all_from_port_to_port \ src_net \ s_port \ dest_net \ d_port \equiv$
 $allow_all_from_port_to \ src_net \ s_port \ dest_net \mid '\{pa. \ dest_port \ pa = d_port\}$

definition

$deny_all_from_port_to_port :: ('\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow '\gamma::port$
 $\Rightarrow (''\alpha, '\beta)$ *Policy* **where**
 $deny_all_from_port_to_port \ src_net \ s_port \ dest_net \ d_port \equiv$
 $deny_all_from_port_to \ src_net \ s_port \ dest_net \mid '\{pa. \ dest_port \ pa = d_port\}$

definition

$allow_all_from_to_port :: ('\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow$
 $(''\alpha, '\beta)$ *Policy* **where**
 $allow_all_from_to_port \ src_net \ s_port \ dest_net \ d_port \equiv allow_all_from_to \ src_net$
 $dest_net \mid '\{pa. \ src_port \ pa = s_port \wedge dest_port \ pa = d_port\}$

definition

$deny_all_from_to_port :: ('\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow '\gamma::port \Rightarrow$
 $(''\alpha, '\beta)$ *Policy* **where**
 $deny_all_from_to_port \ src_net \ s_port \ dest_net \ d_port \equiv deny_all_from_to \ src_net$
 $dest_net \mid '\{pa. \ src_port \ pa = s_port \wedge dest_port \ pa = d_port\}$

definition

$allow_from_port_to :: '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha, '\beta)$ *Policy*
where
 $allow_from_port_to \ port \ src_net \ dest_net \equiv allow_all \mid '\{pa. \ src \ pa \sqsubset src_net \wedge dest \ pa \sqsubset dest_net \wedge (src_port \ pa = port)\}$

definition

$deny_from_port_to :: '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha, '\beta)$ *Policy*
where
 $deny_from_port_to \ port \ src_net \ dest_net \equiv deny_all \mid '\{pa. \ src \ pa \sqsubset src_net \wedge dest \ pa \sqsubset dest_net \wedge (src_port \ pa = port)\}$

definition

$allow_from_to_port :: '\gamma::port \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha::adr) \ net \Rightarrow (''\alpha, '\beta)$ *Policy*
where
 $allow_from_to_port \ port \ src_net \ dest_net \equiv allow_all \mid '\{pa. \ src \ pa \sqsubset src_net \wedge dest \ pa \sqsubset dest_net \wedge (src_port \ pa = port)\}$

$$\{pa. \text{src } pa \sqsubseteq \text{src-net} \wedge \text{dest } pa \sqsubseteq \text{dest-net} \wedge (\text{dest-port } pa = \text{port})\}$$

definition

deny-from-to-port :: $'\gamma::\text{port} \Rightarrow (' \alpha::\text{adr}) \text{ net} \Rightarrow (' \alpha::\text{adr}) \text{ net} \Rightarrow (' \alpha, ' \beta) \text{ Policy}$

where

deny-from-to-port *port src-net dest-net* \equiv *deny-all* | '
 $\{pa. \text{src } pa \sqsubseteq \text{src-net} \wedge \text{dest } pa \sqsubseteq \text{dest-net} \wedge (\text{dest-port } pa = \text{port})\}$

definition

allow-from-ports-to :: $'\gamma::\text{port set} \Rightarrow (' \alpha::\text{adr}) \text{ net} \Rightarrow (' \alpha::\text{adr}) \text{ net} \Rightarrow (' \alpha, ' \beta)$

Policy where

allow-from-ports-to *ports src-net dest-net* \equiv *allow-all* | '
 $\{pa. \text{src } pa \sqsubseteq \text{src-net} \wedge \text{dest } pa \sqsubseteq \text{dest-net} \wedge (\text{src-port } pa \in \text{ports})\}$

definition

allow-from-to-ports :: $'\gamma::\text{port set} \Rightarrow (' \alpha::\text{adr}) \text{ net} \Rightarrow (' \alpha::\text{adr}) \text{ net} \Rightarrow (' \alpha, ' \beta)$

Policy where

allow-from-to-ports *ports src-net dest-net* \equiv *allow-all* | '
 $\{pa. \text{src } pa \sqsubseteq \text{src-net} \wedge \text{dest } pa \sqsubseteq \text{dest-net} \wedge (\text{dest-port } pa \in \text{ports})\}$

As before, we put all the rules into one lemma called PortCombinators to ease writing later.

lemmas *PortCombinators* =

allow-all-from-port-def deny-all-from-port-def allow-all-to-port-def
deny-all-to-port-def allow-all-from-to-port-def
deny-all-from-to-port-def
allow-from-ports-to-def allow-from-to-ports-def
allow-all-from-port-to-def deny-all-from-port-to-def
allow-from-port-to-def allow-from-to-port-def deny-from-to-port-def
deny-from-port-to-def

end

6.4 Ports

theory *Ports*

imports *Main*

begin

This theory can be used if we want to specify the port numbers by names denoting their default Integer values. If you want to use them, please add *Ports* to the simplifier before test data generation.

definition *http::int where http* $\equiv 80$

```
lemma http1:  $x \neq 80 \implies x \neq \text{http}$ 
by (simp add: http-def)
```

```
lemma http2:  $x \neq 80 \implies \text{http} \neq x$ 
by (simp add: http-def)
```

```
definition smtp::int where smtp  $\equiv 25$ 
```

```
lemma smtp1:  $x \neq 25 \implies x \neq \text{smtp}$ 
by (simp add: smtp-def)
```

```
lemma smtp2:  $x \neq 25 \implies \text{smtp} \neq x$ 
by (simp add: smtp-def)
```

```
definition ftp::int where ftp  $\equiv 21$ 
```

```
lemma ftp1:  $x \neq 21 \implies x \neq \text{ftp}$ 
by (simp add: ftp-def)
```

```
lemma ftp2:  $x \neq 21 \implies \text{ftp} \neq x$ 
by (simp add: ftp-def)
```

And so on for all desired port numbers.

```
lemmas Ports = http1 http2 ftp1 ftp2 smtp1 smtp2
```

```
end
```

7 Policy Normalisation

```
theory
  FWCompilation
imports
  ../PacketFilter/PacketFilter
  Testing
begin
```

This theory contains all the definitions used for policy normalisation as described in [1].

The normalisation procedure transforms policies into semantically equivalent ones which are "easier" to test. It is organized into nine phases. We impose the following two restrictions on the input policies:

- Each policy must contain a **DenyAll** rule. If this restriction were to be lifted, the **insertDenies** phase would have to be adjusted accordingly.
- For each pair of networks n_1 and n_2 , the networks are either disjoint or equal. If this restriction were to be lifted, we would need some additional phases before the start of the normalisation procedure presented below. This rule would split single rules into several by splitting up the networks such that they are all pairwise disjoint or equal. Such a transformation is clearly semantics-preserving and the condition would hold after these phases.

As a result, the procedure generates a list of policies, in which:

- each element of the list contains a policy which completely specifies the blocking behavior between two networks, and
- there are no shadowed rules.

This result is desirable since the test case generation for rules between networks A and B is independent of the rules that specify the behavior for traffic flowing between networks C and D . Thus, the different segments of the policy can be processed individually. The normalization procedure does not aim to minimize the number of rules. While it does remove unnecessary ones, it also adds new ones, enabling a policy to be split into several independent parts.

Policy transformations are functions that map policies to policies. We decided to represent policy transformations as *syntactic rules*; this choice paves the way for expressing the entire normalisation process inside HOL by functions manipulating abstract policy syntax.

7.1 Basics

We define a very simple policy language:

```
datatype (' $\alpha$ , ' $\beta$ ) Combinators =
  DenyAll
| DenyAllFromTo ' $\alpha$  ' $\alpha$ 
| AllowPortFromTo ' $\alpha$  ' $\alpha$  ' $\beta$ 
| Conc ((' $\alpha$ , ' $\beta$ ) Combinators) ((' $\alpha$ , ' $\beta$ ) Combinators) (infixr  $\oplus$  80)
```

And define the semantic interpretation of it. For technical reasons, we fix here the type to policies over IntegerPort addresses. However, we could easily provide definitions for other address types as well, using a generic `consts` for the type definition and a `primrec` definition for each desired address model.

```

fun C :: (IntegerPort net, port) Combinators  $\Rightarrow$  (IntegerPort,DummyContent)
Policy
where
  C DenyAll = deny-all
| C (DenyAllFromTo x y) = deny-all-from-to x y
| C (AllowPortFromTo x y p) = allow-from-to-port p x y
| C (x  $\oplus$  y) = C x ++ C y

```

7.2 Auxiliary definitions and functions.

This subsection defines several functions which are useful later for the combinators, invariants, and proofs.

```

fun position :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat where
  position a [] = 0
| (position a (x#xs)) = (if a = x then 1 else (Suc (position a xs)))

```

```

fun srcNet where
  srcNet (DenyAllFromTo x y) = x
| srcNet (AllowPortFromTo x y p) = x

```

```

fun destNet where
  destNet (DenyAllFromTo x y) = y
| destNet (AllowPortFromTo x y p) = y

```

```

fun srcnets::(IntegerPort net,port) Combinators  $\Rightarrow$  (IntegerPort net) list where
  srcnets DenyAll = []
| srcnets (DenyAllFromTo x y) = [x]
| srcnets (AllowPortFromTo x y p) = [x]
| (srcnets (x  $\oplus$  y)) = (srcnets x)@(srcnets y)

```

```

fun destnets::(IntegerPort net,port) Combinators  $\Rightarrow$  (IntegerPort net) list where
  destnets DenyAll = []
| destnets (DenyAllFromTo x y) = [y]
| destnets (AllowPortFromTo x y p) = [y]
| (destnets (x  $\oplus$  y)) = (destnets x)@(destnets y)

```

```

fun (sequential) net-list-aux where
  net-list-aux [] = []
| net-list-aux (DenyAll#xs) = net-list-aux xs
| net-list-aux ((DenyAllFromTo x y)#xs) = x#y#(net-list-aux xs)
| net-list-aux ((AllowPortFromTo x y p)#xs) = x#y#(net-list-aux xs)
| net-list-aux ((x $\oplus$ y)#xs) = (net-list-aux [x])@(net-list-aux [y])@(net-list-aux xs)

```

```

fun net-list where net-list p = remdups (net-list-aux p)

```

```

definition bothNets where bothNets x = (zip (srcnets x) (destnets x))

```

```

fun (sequential) normBothNets where
  normBothNets ((a,b)#xs) = (if ((b,a)  $\in$  set xs)  $\vee$  (a,b)  $\in$  set xs) then (normBothNets

```

```

xs) else (a,b)#(normBothNets xs))
| normBothNets x = x

```

```

fun makeSets where
  makeSets ((a,b)#xs) = ({a,b}#(makeSets xs))
| makeSets [] = []

```

```

fun bothNet where
  bothNet DenyAll = {}
| bothNet (DenyAllFromTo a b) = {a,b}
| bothNet (AllowPortFromTo a b p) = {a,b}

```

Nets_List provides from a list of rules a list where the entries are the appearing sets of source and destination network of each rule.

definition *Nets_List* **where** *Nets_List* x = makeSets (normBothNets (bothNets x))

```

fun (sequential) first-srcNet where
  first-srcNet (x⊕y) = first-srcNet x
| first-srcNet x = srcNet x

```

```

fun (sequential) first-destNet where
  first-destNet (x⊕y) = first-destNet x
| first-destNet x = destNet x

```

```

fun (sequential) first-bothNet where
  first-bothNet (x⊕y) = first-bothNet x
| first-bothNet x = bothNet x

```

```

fun (sequential) in-list where
  in-list DenyAll l = True
| in-list x l = (bothNet x ∈ set l)

```

```

fun all-in-list where
  all-in-list [] l = True
| all-in-list (x#xs) l = (in-list x l ∧ all-in-list xs l)

```

```

fun (sequential) member where
  member a (x⊕xs) = ((member a x) ∨ (member a xs))
| member a x = (a = x)

```

```

fun noneMT where
  noneMT (x#xs) = (dom (C x) ≠ {} ∧ (noneMT xs))
| noneMT [] = True

```

```

fun notMTpolicy where
  notMTpolicy (x#xs) = (if (dom (C x) = {}) then (notMTpolicy xs) else True)
| notMTpolicy [] = False

```

```

fun sdnets where

```

```

sdnets DenyAll = {}
| sdnets (DenyAllFromTo a b) = {(a,b)}
| sdnets (AllowPortFromTo a b c) = {(a,b)}
| sdnets (a  $\oplus$  b) = sdnets a  $\cup$  sdnets b

```

definition *packet-Nets* **where** *packet-Nets* $x\ a\ b \equiv (src\ x \sqsubset a \wedge dest\ x \sqsubset b) \vee (src\ x \sqsubset b \wedge dest\ x \sqsubset a)$

```

fun matching-rule-rev where
matching-rule-rev a (x#xs) = (if a  $\in$  dom (C x) then (Some x) else (matching-rule-rev a xs))
| matching-rule-rev a [] = None

```

Provides the first matching rule of a policy given as a list of rules.

definition *matching-rule* **where**
matching-rule a x \equiv (*matching-rule-rev* a (rev x))

definition *subnetsOfAdr* **where** *subnetsOfAdr* a $\equiv \{x. a \sqsubset x\}$

definition *fst-set* **where** *fst-set* s $\equiv \{a. \exists b. (a,b) \in s\}$

definition *snd-set* **where** *snd-set* s $\equiv \{a. \exists b. (b,a) \in s\}$

```

fun memberP where
memberP r (x#xs) = (member r x  $\vee$  memberP r xs)
| memberP r [] = False

```

```

fun firstList where
firstList (x#xs) = (first-bothNet x)
| firstList [] = {}

```

7.3 Invariants

If there is a DenyAll, it is at the first position

fun *wellformed-policy1*:: ((IntegerPort net, port) Combinators) list \Rightarrow bool **where**

```

wellformed-policy1 [] = True
| wellformed-policy1 (x#xs) = (DenyAll  $\notin$  (set xs))

```

There is a DenyAll at the first position

fun *wellformed-policy1-strong*:: ((IntegerPort net, port) Combinators) list \Rightarrow bool **where**

```

wellformed-policy1-strong [] = False
| wellformed-policy1-strong (x#xs) = (x=DenyAll  $\wedge$  (DenyAll  $\notin$  (set xs)))

```

All rules appearing at the left of a DenyAllFromTo, have disjunct domains from it (except DenyAll)

fun (*sequential*) *wellformed-policy2* **where**

```

  wellformed-policy2 [] = True
| wellformed-policy2 (DenyAll#xs) = wellformed-policy2 xs
| wellformed-policy2 (x#xs) = (( $\forall$  c a b. c = DenyAllFromTo a b  $\wedge$  c  $\in$  set xs
 $\longrightarrow$  Map.dom (C x)  $\cap$  Map.dom (C c) = {})  $\wedge$  wellformed-policy2 xs)

```

An allow rule is disjunct with all rules appearing at the right of it. This invariant is not necessary as it is a consequence from others, but facilitates some proofs.

```

fun (sequential) wellformed-policy3 where
  wellformed-policy3 [] = True
| wellformed-policy3 ((AllowPortFromTo a b p)#xs) = (( $\forall$  r. r  $\in$  set xs  $\longrightarrow$  dom
(C r)  $\cap$  dom (C (AllowPortFromTo a b p)) = {})  $\wedge$  wellformed-policy3 xs)
| wellformed-policy3 (x#xs) = wellformed-policy3 xs

```

All two networks are either disjoint or equal.

definition *netsDistinct* **where** *netsDistinct* a b $\equiv \neg (\exists x. x \sqsubset a \wedge x \sqsubset b)$

definition *twoNetsDistinct* **where** *twoNetsDistinct* a b c d \equiv *netsDistinct* a c \vee *netsDistinct* b d

definition *allNetsDistinct* **where** *allNetsDistinct* p $\equiv \forall a b. (a \neq b \wedge a \in \text{set}(\text{net-list } p) \wedge b \in \text{set}(\text{net-list } p)) \longrightarrow \text{netsDistinct } a b$

definition *disjSD-2* **where**
disjSD-2 x y $\equiv \forall a b c d. ((a,b) \in \text{sdnets } x \wedge (c,d) \in \text{sdnets } y \longrightarrow (\text{twoNetsDistinct } a b c d \wedge \text{twoNetsDistinct } a b d c))$

The policy is given as a list of single rules.

```

fun singleCombinators where
  singleCombinators [] = True
| singleCombinators ((x $\oplus$ y)#xs) = False
| singleCombinators (x#xs) = singleCombinators xs

```

definition *onlyTwoNets* **where**
onlyTwoNets x $\equiv ((\exists a b. (\text{sdnets } x = \{(a,b)\})) \vee (\exists a b. \text{sdnets } x = \{(a,b),(b,a)\}))$

Each entry of the list contains rules between two networks only.

```

fun OnlyTwoNets where
  OnlyTwoNets (DenyAll#xs) = OnlyTwoNets xs
| OnlyTwoNets (x#xs) = (onlyTwoNets x  $\wedge$  OnlyTwoNets xs)
| OnlyTwoNets [] = True

```

fun noDenyAll **where**
noDenyAll (x#xs) = ((\neg member DenyAll x) \wedge noDenyAll xs)
noDenyAll [] = True

```

fun noDenyAll1 where
  noDenyAll1 (DenyAll#xs) = noDenyAll xs

```

| *noDenyAll1 xs* = *noDenyAll xs*

fun *separated* **where**

separated (*x#xs*) = (($\forall s. s \in \text{set } xs \longrightarrow \text{disjSD-2 } x s$) \wedge *separated xs*)

| *separated* [] = *True*

fun *NetsCollected* **where**

NetsCollected (*x#xs*) = (((*first-bothNet* *x* \neq *firstList xs*) \longrightarrow ($\forall a \in \text{set } xs. \text{first-bothNet } x \neq \text{first-bothNet } a$)) \wedge *NetsCollected* (*xs*))

| *NetsCollected* [] = *True*

fun *NetsCollected2* **where**

NetsCollected2 (*x#xs*) = (*xs* = [] \vee (*first-bothNet* *x* \neq *firstList xs* \wedge *NetsCollected2 xs*))

| *NetsCollected2* [] = *True*

7.4 Transformations

The following two functions transform a policy into a list of single rules and vice-versa.

fun *policy2list::*(*IntegerPort net, port*) *Combinators* \Rightarrow ((*IntegerPort net, port*) *Combinators*) *list* **where**

policy2list (*x* \oplus *y*) = (*concat* [(*policy2list* *x*),(*policy2list* *y*)])

| *policy2list* *x* = [*x*]

fun *list2policy::*((*IntegerPort net, port*) *Combinators*) *list* \Rightarrow ((*IntegerPort net, port*) *Combinators*) **where**

list2policy (*x#*[]) = *x*

| *list2policy* (*x#y*) = *x* \oplus (*list2policy* *y*)

Remove all the rules appearing before a *DenyAll*. There are two alternative versions.

fun *removeShadowRules1* **where**

removeShadowRules1 (*x#xs*) = (if (*DenyAll* \in *set xs*) then ((*removeShadowRules1 xs*)) else *x#xs*)

| *removeShadowRules1* [] = []

fun *removeShadowRules1-alternative-rev* **where**

removeShadowRules1-alternative-rev [] = []

| *removeShadowRules1-alternative-rev* (*DenyAll#xs*) = [*DenyAll*]

| *removeShadowRules1-alternative-rev* [*x*] = [*x*]

| *removeShadowRules1-alternative-rev* (*x#xs*) = *x#*(*removeShadowRules1-alternative-rev xs*)

definition *removeShadowRules1-alternative* **where** *removeShadowRules1-alternative* *p* = *rev* (*removeShadowRules1-alternative-rev* (*rev p*))

Remove all the rules which allow a port, but are shadowed by a deny between these subnets

```

fun removeShadowRules2::
  ((IntegerPort net, port) Combinators) list  $\Rightarrow$  ((IntegerPort net, port) Combi-
nators) list
where
  (removeShadowRules2 ((AllowPortFromTo x y p)#z)) =
    (if (((DenyAllFromTo x y)  $\in$  set z)) then (removeShadowRules2 z)) else
    (((AllowPortFromTo x y p)#(removeShadowRules2 z))))
  | removeShadowRules2 (x#y) = x#(removeShadowRules2 y)
  | removeShadowRules2 [] = []

```

Sorting a policy. We first need to define an ordering on rules. This ordering depends on the *Nets_List* of a policy.

```

fun smaller :: (IntegerPort net, port) Combinators  $\Rightarrow$ 
  (IntegerPort net, port) Combinators  $\Rightarrow$ 
  ((IntegerPort net) set) list  $\Rightarrow$  bool

where
  smaller DenyAll x l = True
  | smaller x DenyAll l = False
  | smaller x y l =
    ((x = y)  $\vee$ 
     (if (bothNet x) = (bothNet y) then
      (case y of (DenyAllFromTo a b)  $\Rightarrow$  (x = DenyAllFromTo b a)
      | -  $\Rightarrow$  True)
     else
      (position (bothNet x) l <= position (bothNet y) l)))

```

We use insertion sort for sorting a policy.

```

fun insort where
  insort a [] l = [a]
  | insort a (x#xs) l = (if (smaller a x l) then a#x#xs else x#(insort a xs l))

```

```

fun sort where
  sort [] l = []
  | sort (x#xs) l = insort x (sort xs l) l

```

```

fun sorted where
  sorted [] l  $\longleftrightarrow$  True |
  sorted [x] l  $\longleftrightarrow$  True |
  sorted (x#y#zs) l  $\longleftrightarrow$  smaller x y l  $\wedge$  sorted (y#zs) l

```

separate works on a sorted policy: it joins the rules which talk about the traffic between the same two networks.

```

fun separate where
  separate (DenyAll#x) = DenyAll#(separate x)
  | separate (x#y#z) = (if (first-bothNet x = first-bothNet y)
    then (separate ((x $\oplus$ y)#z))
    else (x#(separate(y#z))))
  | separate x = x

```

Insert the DenyAllFromTo rules, such that traffic between two networks can

be tested individually

fun *insertDenies* **where**

```

insertDenies ( $x \# xs$ ) = (case  $x$  of DenyAll  $\Rightarrow$  (DenyAll  $\#$  (insertDenies  $xs$ ))
| -  $\Rightarrow$  (DenyAllFromTo (first-srcNet  $x$ ) (first-destNet  $x$ )  $\oplus$ 
(DenyAllFromTo (first-destNet  $x$ ) (first-srcNet  $x$ )  $\oplus$ 
 $x$ )  $\#$ 
(insertDenies  $xs$ ))
| insertDenies [] = []

```

Remove duplicate rules. This is especially necessary as *insertDenies* might have inserted duplicate rules.

The second function is supposed to work on a list of policies. Only rules which are duplicated within the same policy are removed.

fun *removeDuplicates* **where**

```

removeDuplicates ( $x \oplus xs$ ) = (if member  $x$   $xs$  then (removeDuplicates  $xs$ ) else
 $x \oplus$  (removeDuplicates  $xs$ ))
| removeDuplicates  $x$  =  $x$ 

```

fun *removeAllDuplicates* **where**

```

removeAllDuplicates ( $x \# xs$ ) = ((removeDuplicates ( $x$ ))  $\#$  (removeAllDuplicates  $xs$ ))
| removeAllDuplicates  $x$  =  $x$ 

```

Remove rules with an empty domain - they never match any packet.

fun *removeShadowRules3* **where**

```

removeShadowRules3 ( $x \# xs$ ) = (if (dom (C  $x$ ) = {}) then (removeShadowRules3
 $xs$ ) else ( $x \#$  (removeShadowRules3  $xs$ )))
| removeShadowRules3 [] = []

```

Insert a DenyAll at the beginning of a policy.

fun *insertDeny* **where**

```

insertDeny (DenyAll  $\# xs$ ) = DenyAll  $\# xs$ 
| insertDeny  $xs$  = DenyAll  $\# xs$ 

```

Now do everything:

definition *sort'* $p \ l \equiv \text{sort } l \ p$

definition *normalize'* $p \equiv$ (*removeAllDuplicates* \circ *insertDenies* \circ *separate* \circ (*sort'* (*Nets-List* p)) \circ *removeShadowRules2* \circ *remdups* \circ *removeShadowRules3* \circ *insertDeny* \circ *removeShadowRules1* \circ *policy2list*) p

definition *normalize* $p \equiv$ *removeAllDuplicates* (*insertDenies* (*separate* (*sort* (*removeShadowRules2* (*remdups* (*removeShadowRules3* (*insertDeny* (*removeShadowRules1* (*policy2list* p)))))) ((*Nets-List* p))))))

definition *normalize-manual-order* $p \ l \equiv$ *removeAllDuplicates* (*insertDenies* (*separate* (*sort* (*removeShadowRules2* (*remdups* (*removeShadowRules3* (*insertDeny* (*removeShadowRules1* (*policy2list* p)))))) ((l))))))

Of course, `normalize` is equal to `normalize'`, the latter looks nicer though.

lemma *normalize = normalize'*

by (*rule ext, simp add: normalize-def normalize'-def sort'-def*)

The following definition helps in creating the test specification for the individual parts of a normalized policy.

definition *makeFUT* **where** *makeFUT FUT p x n =*
(packet-Nets x (fst(((normBothNets (bothNets p)))!n)) (snd(((normBothNets (bothNets p)))!n))) → FUT x = C ((normalize p)!(n+1)) x)

declare *C.simps [simp del]*

lemmas *PLemmas = C.simps dom-def PolicyCombinators.PolicyCombinators*
PortCombinators.PortCombinators src-def dest-def in-subnet-def
IntegerPort.src-port-def IntegerPort.dest-port-def

end

8 Stateful Firewalls

8.1 Basic Constructs

theory *Stateful*

imports *../PacketFilter/PacketFilter Testing*

begin

The simple system of a stateless packet filter is not enough to model all common real-world scenarios. Some protocols need further actions in order to be secured. A prominent example is the File Transfer Protocol (FTP), which is a popular means to move files across the Internet. It behaves quite differently from most other application layer protocols as it uses a two-way connection establishment which opens a dynamic port. A stateless packet filter would only have the possibility to either always open all the possible dynamic ports or not to allow that protocol at all. Neither of these options is satisfactory. In the first case, all ports above 1024 would have to be opened which introduces a big security hole in the system, in the second case users wouldn't be very happy. A firewall which tracks the state of the TCP connections on a system doesn't help here either, as the opening and closing of the ports takes place on the application layer. Therefore, a firewall needs to have some knowledge of the application protocols being run and track the states of these protocols. We next model this behaviour.

The key point of our model is the idea that a policy remains the same as before: a mapping from packet to packet out. We still specify for every packet, based on its source and destination address, the expected action. The only thing that changes now is that this mapping is allowed to change over time. This indicates that our test data will not consist of single packets but rather of sequences thereof.

At first we hence need a state. It is a tuple from some memory to be refined later and the current policy.

types $('α, 'β, 'γ)$ *FWState* = $'α \times ('β, 'γ)$ *Policy*

Having a state, we need of course some state transitions. Such a transition can happen every time a new packet arrives. State transitions can be modelled using a state-exception monad.

types $('α, 'β, 'γ)$ *FWStateTransition* = $('β, 'γ)$ *packet* \Rightarrow $(unit, ('α, 'β, 'γ)$ *FWState*) *MON-SE*

The memory could be modelled as a list of accepted packets.

types $('β, 'γ)$ *history* = $('β, 'γ)$ *packet list*

The next two constants will help us later in defining the state transitions. The constant *before* is *True* if for all elements which appear before the first element for which *q* holds, *p* must hold.

consts *before* :: $('α \Rightarrow bool) \Rightarrow ('α \Rightarrow bool) \Rightarrow 'α$ *list* $\Rightarrow bool$

primrec

before *p q* [] = *False*

before *p q* (*a* # *S*) = (*q a* \vee (*p a* \wedge (*before* *p q S*)))

Analogously there is an operator *not-before* which returns *True* if for all elements which appear before the first element for which *q* holds, *p* must not hold.

consts *not-before* :: $('α \Rightarrow bool) \Rightarrow ('α \Rightarrow bool) \Rightarrow 'α$ *list* $\Rightarrow bool$

primrec

not-before *p q* [] = *False*

not-before *p q* (*a* # *S*) = (*q a* \vee (\neg (*p a*) \wedge (*not-before* *p q S*)))

The next two operators can be used to combine state transitions. It takes the first transition which maps to *Some* $'α$.

definition *orelse*:: $('α, 'β, 'γ)$ *FWStateTransition* \Rightarrow $('α, 'β, 'γ)$ *FWStateTransition* \Rightarrow $('α, 'β, 'γ)$ *FWStateTransition* (**infixl** *orelse* 100) **where**

$(f \text{ or else } g) \ x \equiv \lambda \ \sigma. \ (\text{case } f \ x \ \sigma \text{ of } \text{None} \Rightarrow g \ x \ \sigma \mid \text{Some } y \Rightarrow \text{Some } y)$

end

8.2 FTP Protocol

```

theory FTP
imports
  Stateful
begin

```

The File Transfer Protocol FTP is a well known example of a protocol which uses dynamic ports and is therefore a natural choice to use as an example for our model.

We model only a simplified version of the FTP protocol over `IntegerPort` addresses, still containing all messages that matter for our purposes. It consists of the following four messages:

1. *ftp-init*: The client contacts the server indicating his wish to get some data.
2. *ftp-port-request* *p*: The client, usually after having received an acknowledgement of the server, indicates a port number on which he wants to receive the data.
3. *ftp-data*: The server sends the requested data over the new channel. There might be an arbitrary number of such messages, including zero.
4. *ftp-close*: The client closes the connection. The dynamic port gets closed again.

The content field of a packet therefore now consists of either one of those four messages or a default one.

```

datatype ftp-msg = ftp-init
                    | ftp-port-request port
                    | ftp-data
                    | ftp-close
                    | other

```

We now also make use of the ID field of a packet. It is used as session ID and we make the assumption that they are all unique among different protocol runs.

At first, we need some predicates which check if a packet is a specific FTP message and has the correct session ID.

definition

```

is-init :: id  $\Rightarrow$  (IntegerPort, ftp-msg) packet  $\Rightarrow$  bool where
is-init i p  $\equiv$  id p = i  $\wedge$  content p = ftp-init

```

definition

$is\text{-}port\text{-}request :: id \Rightarrow port \Rightarrow (IntegerPort, ftp\text{-}msg) \text{ packet} \Rightarrow bool$ **where**
 $is\text{-}port\text{-}request\ i\ port\ p \equiv id\ p = i \wedge content\ p = ftp\text{-}port\text{-}request\ port$

definition

$is\text{-}data :: id \Rightarrow (IntegerPort, ftp\text{-}msg) \text{ packet} \Rightarrow bool$ **where**
 $is\text{-}data\ i\ p \equiv id\ p = i \wedge content\ p = ftp\text{-}data$

definition

$is\text{-}close :: id \Rightarrow (IntegerPort, ftp\text{-}msg) \text{ packet} \Rightarrow bool$ **where**
 $is\text{-}close\ i\ p \equiv id\ p = i \wedge content\ p = ftp\text{-}close$

definition

$port\text{-}open :: (IntegerPort, ftp\text{-}msg) \text{ history} \Rightarrow id \Rightarrow port \Rightarrow bool$ **where**
 $port\text{-}open\ L\ a\ p \equiv not\text{-}before\ (is\text{-}close\ a)\ (is\text{-}port\text{-}request\ a\ p)\ L$

We now have to model the respective state transitions. It is important to note that state transitions themselves allow all packets which are allowed by the policy, not only those which are allowed by the protocol. Their only task is to change the policy. As an alternative, we could have decided that they only allow packets which follow the protocol (e.g. come on the correct ports), but this should in our view rather be reflected in the policy itself.

Of course, not every message changes the policy. In such cases, we do not have to model different cases, one is enough. In our example, only messages 2 and 4 need special transitions. The default says that if the policy accepts the packet, it is added to the history, otherwise it is simply dropped. The policy remains the same in both cases.

fun $FTP\text{-}ST :: ((IntegerPort, ftp\text{-}msg) \text{ history}, IntegerPort, ftp\text{-}msg) \text{ FWStateTransition}$ **where**

$FTP\text{-}ST\ (i, s, d, ftp\text{-}port\text{-}request\ pr)\ (InL, policy) = (if\ p\text{-}accept\ (i, s, d, ftp\text{-}port\text{-}request\ pr)\ policy\ then$

$(if\ not\text{-}before\ (is\text{-}close\ i)\ (is\text{-}init\ i)\ InL \wedge dest\text{-}port\ (i, s, d, ftp\text{-}port\text{-}request\ pr) = (21::port)\ then$
 $\quad Some\ (\(), ((i, s, d, ftp\text{-}port\text{-}request\ pr) \# InL, policy\ ++$
 $\quad \quad (allow\text{-}from\text{-}to\text{-}port\ pr\ (subnet\text{-}of\ d)\ (subnet\text{-}of\ s))))$
 $\quad \text{else}\ Some\ (\(), ((i, s, d, ftp\text{-}port\text{-}request\ pr) \# InL, policy)))$
 $\text{else}\ Some\ (\(), (InL, policy)))$

$|FTP\text{-}ST\ (i, s, d, ftp\text{-}close)\ (InL, policy) =$
 $\quad (if\ (p\text{-}accept\ (i, s, d, ftp\text{-}close)\ policy)\ then$
 $\quad \quad (if\ (\exists\ p.\ port\text{-}open\ InL\ i\ p) \wedge dest\text{-}port\ (i, s, d, ftp\text{-}close) =$
 $(21::port)\ then$
 $\quad \quad \quad Some(\(), ((i, s, d, ftp\text{-}close) \# InL, policy\ ++$
 $\quad \quad \quad \quad deny\text{-}from\text{-}to\text{-}port\ (Eps\ (\lambda\ p.\ port\text{-}open\ InL\ i\ p))$
 $\quad \quad \quad (subnet\text{-}of\ d)\ (subnet\text{-}of\ s)))$

$$\begin{aligned} & \text{else } \text{Some } ((), ((i, s, d, \text{ftp-close}) \# \text{InL}, \text{policy}))) \\ & \text{else } \text{Some } ((), (\text{InL}, \text{policy}))) \end{aligned}$$

$$\begin{aligned} |FTP-ST\ p\ (\text{InL}, \text{policy}) = & (\text{if } p\text{-accept } p\ \text{policy then} \\ & \text{Some } ((), (p \# \text{InL}, \text{policy})) \\ & \text{else} \\ & \text{Some } ((), (\text{InL}, \text{policy}))) \end{aligned}$$

The second message of the protocol is the port request. If the packet is allowed by the policy, and iff there is an opened but not yet closed FTP-Session with the same session ID, we change the policy such that the requested port is opened. If the policy allows the packet but there is no open protocol run, we do allow the packet but do not open the requested port.

In the last message, we need to close a port which we do not know directly. It has only been specified in a preceding `port_request` message. Therefore a predicate is needed which checks if there is an open protocol run with an opened port. This transition is the trickiest one. We need to close the port which has been opened but not yet closed by a packet with the same session ID. Here we use the assumption that they are supposed to be unique. This transition introduces some kind of inconsistency. If the port that was requested was already open to start with, it gets closed here. The tester should be aware of this fact.

This transition has also some other consequences. The Hilbert epsilon operator *Eps*, also written as *SOME*, returns an arbitrary object for which the following predicate is *True* and is undefined otherwise. We use it to get the number of the port which we want to close. With the if-condition it is assured that such a port exists, but we might have problems if there are several of them. However, due to our assumption that the session IDs are unique, there won't be a problem as long as we do not open several ports in one single protocol run. This should not occur by the definition of the protocol, but if it does, which might happen if we want to test illegal protocol runs, some proof work might be needed.

Now we specify our test scenario in more detail. We could test:

- one correct FTP-Protocol run,
- several runs after another,
- several runs interleaved,
- an illegal protocol run, or
- several illegal protocol runs.

We only do the the simplest case here: one correct protocol run.

There are four different states which are modelled as a datatype.

datatype *ftp-states* = *S0* | *S1* | *S2* | *S3*

The following constant is *True* for all sets which are correct FTP runs for a given source and destination address, ID, and data-port number.

consts

is-ftp :: *ftp-states* \Rightarrow *IntegerPort* \Rightarrow *IntegerPort* \Rightarrow *id* \Rightarrow *port* \Rightarrow (*IntegerPort*,*ftp-msg*)
history \Rightarrow *bool*

primrec

is-ftp *H c s i p* [] = (*H=S3*)
is-ftp *H c s i p* (*x#InL*) = (λ (*id*,*sr*,*de*,*co*). (((*id* = *i* \wedge (
(*H=S2* \wedge *sr* = *c* \wedge *de* = *s* \wedge *co* = *ftp-init* \wedge *is-ftp* *S3 c s i p InL*) \vee
(*H=S1* \wedge *sr* = *c* \wedge *de* = *s* \wedge *co* = *ftp-port-request p* \wedge *is-ftp* *S2 c s i p*
InL) \vee
(*H=S1* \wedge *sr* = *s* \wedge *de* = (*fst c,p*) \wedge *co* = *ftp-data* \wedge *is-ftp* *S1 c s i p InL*) \vee
(*H=S0* \wedge *sr* = *c* \wedge *de* = *s* \wedge *co* = *ftp-close* \wedge *is-ftp* *S1 c s i p InL*)))))) *x*

This definition is crucial for specifying what we actually want to test. Extending it produces more test cases but increases the time necessary to create them and vice-versa.

The following constant then returns a set of all the historys which denote such a normal behaviour FTP run, again for a given source and destination address, ID, and data-port.

definition

NB-ftp :: *IntegerPort* *src* \Rightarrow *IntegerPort* *dest* \Rightarrow *id* \Rightarrow *port* \Rightarrow (*IntegerPort*,*ftp-msg*)
history *set* **where**
NB-ftp s d i p \equiv {*x*. (*is-ftp* *S0 s d i p x*)}

Contrary to the case of a stateless packet filter, a lot of the proof work will only be done during the test *data* generation. This means that we need to add the required lemmas to the simplifier set, such that they will be used. The following additional lemmas are necessary when we use the *IntegerPort* address representation. They should be added to the simplifier set just before test data generation.

lemma *subnetOf-lemma*: (*a::int*) \neq (*c::int*) $\implies \forall x \in \text{subnet-of } (a, b::\text{port}). (c, d) \notin x$

apply (*rule ballI*)

apply (*simp add: IntegerPort.subnet-of-def*)

done

lemma *subnetOf-lemma2*: $\forall x \in \text{subnet-of } (a::\text{int}, b::\text{port}). (a, b) \in x$

apply (*rule ballI*)

apply (*simp add: IntegerPort.subnet-of-def*)

done

lemma *subnetOf-lemma3*: ($\exists x. x \in \text{subnet-of } (a::\text{int}, b::\text{port})$)

```

apply (rule exI)
apply (simp add: IntegerPort.subnet-of-def)
done

lemma subnetOf-lemma4:  $\exists x \in \text{subnet-of } (a::\text{int}, b::\text{port}). (a, c::\text{port}) \in x$ 
apply (rule bexI)
apply (simp-all add: IntegerPort.subnet-of-def)
done

lemma port-open-lemma:  $\neg (Ex (\text{port-open } [] (x::\text{port})))$ 
apply (simp add: port-open-def)
done

end

```

9 Examples

9.1 Stateless Example

```

theory
  SimpleDMZIntegerDocument
imports
  FWTesting
begin

```

This is a typical example for a small stateless packet filter. There are three subnetworks, with either none or some protocols allowed between them.

We use IntegerPort as the address model.

```

constdefs
  intranet::IntegerPort net
  intranet  $\equiv \{\{(a,b) . a = 3\}\}$ 

  dmz :: IntegerPort net
  dmz  $\equiv \{\{(a,b). a = 7\}\}$ 

  internet :: IntegerPort net
  internet  $\equiv \{\{(a,b). \neg (a=3 \vee a=7)\}\}$ 

```

```

constdefs
  Intranet-DMZ-Port :: (IntegerPort,DummyContent) Policy
  Intranet-DMZ-Port  $\equiv \text{allow-from-to-port ftp intranet dmz}$ 

```

Intranet-Internet-Port :: (*IntegerPort*, *DummyContent*) *Policy*
Intranet-Internet-Port \equiv *allow-from-to-port* *http* *intranet* *internet*

Internet-DMZ-Port :: (*IntegerPort*, *DummyContent*) *Policy*
Internet-DMZ-Port \equiv *allow-from-to-port* *smtp* *internet* *dmz*

The policy:

definition *policy* :: (*IntegerPort*, *DummyContent*) *Policy* **where**
policy \equiv *deny-all* ++
Intranet-Internet-Port ++
Intranet-DMZ-Port ++
Internet-DMZ-Port

lemmas *PolicyLemmas* = *dmz-def internet-def intranet-def*
Intranet-Internet-Port-def Intranet-DMZ-Port-def
Internet-DMZ-Port-def policy-def
src-def dest-def in-subnet-def
IntegerPortLemmas
content-def

Only create test cases crossing network boundaries.

definition *not-in-same-net* :: (*IntegerPort*, *DummyContent*) *packet* \Rightarrow *bool* **where**
not-in-same-net \equiv (*src* *x* \sqsubset *internet* \longrightarrow \neg *dest* *x* \sqsubset *internet*) \wedge
(*src* *x* \sqsubset *intranet* \longrightarrow \neg *dest* *x* \sqsubset *intranet*) \wedge
(*src* *x* \sqsubset *dmz* \longrightarrow \neg *dest* *x* \sqsubset *dmz*)

declare *Ports* [*simp add*]

The test specification:

test-spec *not-in-same-net* *x* \longrightarrow *FUT* *x* = *policy* *x*
apply (*prepare-fw-spec*)
apply (*simp add*: *not-in-same-net-def PolicyLemmas PortCombinators PolicyCombinators*)
apply (*gen-test-cases* *FUT*)
apply (*simp-all add*: *PolicyLemmas*)
store-test-thm *PolicyTest*

testgen-params[*iterations=100*]

gen-test-data *PolicyTest*

The set of generated test data is:

FUT ($-3, (7, 8), (10, 7), \text{data}$) = *Some* (*deny* ($-3, (7, 8), (10, 7), \text{data}$))
FUT ($-2, (7, -2), (10, 10), \text{data}$) = *Some* (*deny* ($-2, (7, -2), (10, 10), \text{data}$))

$FUT (-2, (7, -10), (10, 10), data) = Some (deny (-2, (7, -10), (10, 10), data))$
 $FUT (-2, (7, -7), (8, -6), data) = Some (deny (-2, (7, -7), (8, -6), data))$
 $FUT (-3, (7, -10), (4, 3), data) = Some (deny (-3, (7, -10), (4, 3), data))$
 $FUT (2, (7, 7), (-2, -5), data) = Some (deny (2, (7, 7), (-2, -5), data))$
 $FUT (-6, (7, 5), (10, 7), data) = Some (deny (-6, (7, 5), (10, 7), data))$
 $FUT (8, (7, -2), (-4, 1), data) = Some (deny (8, (7, -2), (-4, 1), data))$
 $FUT (-4, (7, -1), (-2, 5), data) = Some (deny (-4, (7, -1), (-2, 5), data))$
 $FUT (-8, (7, -4), (5, -3), data) = Some (deny (-8, (7, -4), (5, -3), data))$
 $FUT (-9, (7, 9), (3, 3), data) = Some (deny (-9, (7, 9), (3, 3), data))$
 $FUT (6, (7, 10), (3, -2), data) = Some (deny (6, (7, 10), (3, -2), data))$
 $FUT (-8, (3, -2), (-2, http), data) = Some (accept (-8, (3, -2), (-2, http), data))$
 $FUT (3, (3, 3), (7, ftp), data) = Some (accept (3, (3, 3), (7, ftp), data))$
 $FUT (-10, (3, -3), (7, -1), data) = Some (deny (-10, (3, -3), (7, -1), data))$
 $FUT (2, (3, -5), (7, -9), data) = Some (deny (2, (3, -5), (7, -9), data))$
 $FUT (4, (3, -9), (7, ftp), data) = Some (accept (4, (3, -9), (7, ftp), data))$
 $FUT (2, (3, 2), (-1, -4), data) = Some (deny (2, (3, 2), (-1, -4), data))$
 $FUT (6, (3, 9), (0, 8), data) = Some (deny (6, (3, 9), (0, 8), data))$
 $FUT (5, (3, -10), (-2, 7), data) = Some (deny (5, (3, -10), (-2, 7), data))$
 $FUT (1, (3, -10), (1, 9), data) = Some (deny (1, (3, -10), (1, 9), data))$
 $FUT (5, (3, -7), (-9, 7), data) = Some (deny (5, (3, -7), (-9, 7), data))$
 $FUT (5, (3, 0), (-2, -10), data) = Some (deny (5, (3, 0), (-2, -10), data))$
 $FUT (4, (3, -3), (-2, -7), data) = Some (deny (4, (3, -3), (-2, -7), data))$
 $FUT (2, (7, -4), (8, 10), data) = Some (deny (2, (7, -4), (8, 10), data))$
 $FUT (-8, (7, -2), (-5, 9), data) = Some (deny (-8, (7, -2), (-5, 9), data))$

$data))$
 $FUT (-10, (7, -5), (6, 0), data) = Some (deny (-10, (7, -5), (6, 0), data))$
 $FUT (10, (7, -10), (5, 1), data) = Some (deny (10, (7, -10), (5, 1), data))$
 $FUT (-3, (7, -7), (-2, -7), data) = Some (deny (-3, (7, -7), (-2, -7), data))$
 $FUT (-8, (7, 8), (2, 4), data) = Some (deny (-8, (7, 8), (2, 4), data))$
 $FUT (-4, (7, 5), (4, -10), data) = Some (deny (-4, (7, 5), (4, -10), data))$
 $FUT (8, (7, -7), (9, 3), data) = Some (deny (8, (7, -7), (9, 3), data))$
 $FUT (-10, (7, -8), (-10, 7), data) = Some (deny (-10, (7, -8), (-10, 7), data))$
 $FUT (5, (7, 2), (1, 5), data) = Some (deny (5, (7, 2), (1, 5), data))$
 $FUT (-1, (7, -1), (-1, 8), data) = Some (deny (-1, (7, -1), (-1, 8), data))$
 $FUT (-1, (7, -6), (8, -6), data) = Some (deny (-1, (7, -6), (8, -6), data))$
 $FUT (-4, (6, 10), (3, -3), data) = Some (deny (-4, (6, 10), (3, -3), data))$
 $FUT (-3, (8, -7), (3, 8), data) = Some (deny (-3, (8, -7), (3, 8), data))$
 $FUT (1, (-6, -5), (3, -4), data) = Some (deny (1, (-6, -5), (3, -4), data))$
 $FUT (-10, (-10, 4), (3, 9), data) = Some (deny (-10, (-10, 4), (3, 9), data))$
 $FUT (10, (4, -5), (3, -2), data) = Some (deny (10, (4, -5), (3, -2), data))$
 $FUT (3, (6, -10), (3, -8), data) = Some (deny (3, (6, -10), (3, -8), data))$
 $FUT (5, (-6, 8), (3, 9), data) = Some (deny (5, (-6, 8), (3, 9), data))$
 $FUT (0, (-2, 6), (3, 3), data) = Some (deny (0, (-2, 6), (3, 3), data))$
 $FUT (3, (0, 2), (3, -6), data) = Some (deny (3, (0, 2), (3, -6), data))$
 $FUT (2, (-9, -6), (3, 4), data) = Some (deny (2, (-9, -6), (3, 4), data))$
 $FUT (5, (4, -3), (3, -10), data) = Some (deny (5, (4, -3), (3, -10), data))$
 $FUT (-4, (7, 8), (3, 0), data) = Some (deny (-4, (7, 8), (3, 0), data))$
 $FUT (-9, (-3, 1), (3, -2), data) = Some (deny (-9, (-3, 1), (3, -2), data))$

$FUT (9, (0, -5), (3, 2), data) = Some (deny (9, (0, -5), (3, 2), data))$
 $FUT (-2, (7, -1), (3, -4), data) = Some (deny (-2, (7, -1), (3, -4), data))$
 $FUT (-9, (0, 5), (3, 0), data) = Some (deny (-9, (0, 5), (3, 0), data))$
 $FUT (9, (8, 2), (3, 6), data) = Some (deny (9, (8, 2), (3, 6), data))$
 $FUT (-6, (7, -4), (3, 0), data) = Some (deny (-6, (7, -4), (3, 0), data))$
 $FUT (6, (-8, 10), (3, -8), data) = Some (deny (6, (-8, 10), (3, -8), data))$
 $FUT (-4, (10, 2), (3, 7), data) = Some (deny (-4, (10, 2), (3, 7), data))$
 $FUT (1, (2, -10), (3, 3), data) = Some (deny (1, (2, -10), (3, 3), data))$
 $FUT (10, (8, 2), (3, -7), data) = Some (deny (10, (8, 2), (3, -7), data))$
 $FUT (-7, (7, 7), (3, -2), data) = Some (deny (-7, (7, 7), (3, -2), data))$
 $FUT (-3, (10, -10), (3, 2), data) = Some (deny (-3, (10, -10), (3, 2), data))$
 $FUT (4, (9, -9), (7, smtp), data) = Some (accept (4, (9, -9), (7, smtp), data))$
 $FUT (-3, (-9, 0), (7, -2), data) = Some (deny (-3, (-9, 0), (7, -2), data))$
 $FUT (-3, (4, 9), (7, smtp), data) = Some (accept (-3, (4, 9), (7, smtp), data))$
 $FUT (-1, (-5, 7), (7, -8), data) = Some (deny (-1, (-5, 7), (7, -8), data))$
 $FUT (6, (-8, -4), (7, -10), data) = Some (deny (6, (-8, -4), (7, -10), data))$
 $FUT (-3, (-10, 4), (7, smtp), data) = Some (accept (-3, (-10, 4), (7, smtp), data))$
 $FUT (-9, (4, -3), (7, 7), data) = Some (deny (-9, (4, -3), (7, 7), data))$
 $FUT (-6, (-4, 6), (7, smtp), data) = Some (accept (-6, (-4, 6), (7, smtp), data))$
 $FUT (-7, (8, -9), (7, 0), data) = Some (deny (-7, (8, -9), (7, 0), data))$
 $FUT (-6, (10, -6), (7, -10), data) = Some (deny (-6, (10, -6), (7, -10), data))$
 $FUT (-8, (3, -4), (7, -2), data) = Some (deny (-8, (3, -4), (7, -2), data))$
 $FUT (-1, (-3, 10), (7, -3), data) = Some (deny (-1, (-3, 10), (7, -3), data))$

end

9.2 FTP Example

```
theory FTPTestDocument
imports
  FWTesting
begin
```

In this theory we generate the test data for correct runs of the FTP protocol. As usual, we start with defining the networks and the policy. We use a rather simple policy which allows only FTP connections starting from the intranet going to the internet and denies everything else.

```
constdefs
  intranet :: IntegerPort net
  intranet  $\equiv \{\{(a,b) . a = 3\}\}$ 
```

```
  internet :: IntegerPort net
  internet  $\equiv \{\{(a,b) . a > 3\}\}$ 
```

```
constdefs
  ftp-policy :: (IntegerPort, ftp-msg) Policy
  ftp-policy  $\equiv \text{deny-all} ++ \text{allow-from-to-port ftp intranet internet}$ 
```

The next two constants check if an address is in the Intranet or in the Internet respectively.

```
constdefs
  is-in-intranet :: IntegerPort  $\Rightarrow$  bool
  is-in-intranet a  $\equiv (\text{fst } a) = 3$ 

  is-in-internet :: IntegerPort  $\Rightarrow$  bool
  is-in-internet a  $\equiv (\text{fst } a) > 3$ 
```

The next definition is our starting state: an empty trace and the just defined policy.

```
constdefs
   $\sigma\text{-}0\text{-ftp} :: (\text{IntegerPort, ftp-msg}) \text{ history} \times$ 
    (IntegerPort, ftp-msg) Policy
   $\sigma\text{-}0\text{-ftp} \equiv ([], \text{ftp-policy})$ 
```

Next we state the conditions we have on our trace: a normal behaviour FTP run from the intranet to some server in the internet starting on port 21.

```
constdefs accept-ftp :: (IntegerPort, ftp-msg) history  $\Rightarrow$  bool
```

$accept_ftp\ t \equiv \exists\ c\ s\ i\ p. t \in NB_ftp\ c\ s\ i\ p \wedge is_in_intranet\ c \wedge is_in_internet\ s \wedge (snd\ s) = 21$

The depth of the test case generation corresponds to the maximal length of generated traces. 4 is the minimum to get a full FTP protocol run.

testgen-params [*depth=4*]

The test specification:

test-spec *accept-ftp* (*rev t*) \longrightarrow
 $(\sigma-0_ftp \models (os \leftarrow mbind\ t\ FTP_ST; (\lambda\ \sigma. Some\ (FUT\ (rev\ t) = \sigma, \sigma))))$
apply (*simp add: accept-ftp-def* *$\sigma-0_ftp-def$*)
apply (*rule impI*) +
apply (*unfold NB-ftp-def is-in-internet-def is-in-intranet-def*)
apply *simp*
apply (*gen-test-cases FUT split: HOL.split-if-asm*)
apply (*simp-all*)
store-test-thm *ftp-test*

We need to add all required lemmas to the simplifier set, such that they can be used during test data generation.

lemmas *ST-simps* = *Let-def valid-def unit-SE-def bind-SE-def orelse-def*
in-subnet-def src-def dest-def IntegerPort.dest-port-def
subnet-of-def id-def port-open-def is-init-def is-data-def
is-port-request-def is-close-def p-accept-def content-def
PolicyCombinators PortCombinators is-in-intranet-def
is-in-internet-def intranet-def internet-def exI subnetOf-lemma
subnetOf-lemma2 subnetOf-lemma3 subnetOf-lemma4 port-open-lemma
ftp-policy-def

declare *ST-simps* [*simp*]

gen-test-data ftp-test

declare *ST-simps* [*simp del*]

The generated test data look as follows (with the unfolded policy rewritten):

- $FUT\ [(4, (3, 5), (8, 21), ftp_close), (4, (3, 5), (8, 21), ftp_port_request\ 4), (4, (3, 5), (8, 21), ftp_init)] = [(4, (3, 5), (8, 21), ftp_close), (4, (3, 5), (8, 21), ftp_port_request\ 4), (4, (3, 5), (8, 21), ftp_init)], policy)$
- $FUT\ [(1, (3, 7), (9, 21), ftp_close), (1, (9, 21), (3, 6), ftp_data), (1, (3, 7), (9, 21), ftp_port_request\ 6), (1, (3, 7), (9, 21), ftp_init)] = [(1, (3, 7), (9, 21), ftp_close), (1, (9, 21), (3, 6), ftp_data), (1, (3, 7), (9, 21), ftp_port_request\ 6), (1, (3, 7), (9, 21), ftp_init)], policy)$

end

9.3 FTP with Observers

```
theory FTPObserver2Document
imports FWTesting
begin
```

In this theory, we formalise an adapted version of an FTP protocol using the observers. The protocol consists of four messages:

- portReq X: the client initiates a session, and specifies a port range, where the data should be sent to (only an upper bound, for the sake of simplicity).
- portAck Y: the server acknowledges the connection, and non-deterministically chooses a port number from the specified range.
- data: the server sends data on the specified port. This message can happen arbitrarily many times.
- close: the client closes the connection.

We will make use of the observer2, and closely follow the corresponding example from the HOL-TestGen distribution.

The test case generation is done on the basis of *abstract traces*. Such abstract traces contain explicit variables, and the functions substitute and rebind are used to replace them with concrete values during the run of the test driver.

```
datatype vars = X | Y
```

```
datatype data = Data
```

```
types chan = port
```

```
types env = vars  $\rightarrow$  chan
```

```
definition lookup :: [ $'a \rightarrow 'b, 'a$ ]  $\Rightarrow$   $'b$  where
  lookup env v  $\equiv$  the (env v)
```

The traces are lists of packets. However, in this case, we will not make use of the usual packet definition *directly*, but use a datatype representation of them. There are abstract and concrete packets:

```
datatype ftp-packet-abs = port-reqA vars id IntegerPort IntegerPort |
  port-ackA vars id IntegerPort IntegerPort |
  dataA vars id IntegerPort address |
  closeA id IntegerPort IntegerPort
```

```
datatype ftp-packet-conc = port-reqC port id IntegerPort IntegerPort |
                             port-ackC port id IntegerPort IntegerPort |
                             dataC id IntegerPort IntegerPort |
                             closeC id IntegerPort IntegerPort
```

```
types ftp-packet = ftp-packet-abs + ftp-packet-conc
```

The following two functions then make the connection between the packet representations. Note that in the way this function is defined, a data message will always be allowed. In contrast to the other form of FTP testing, we do not change the policy during protocol execution, rather we take more control of the protocol execution itself:

```
datatype ftp-event = port-req | port-ack | data | close
```

```
fun packet-accept :: ftp-packet-abs  $\Rightarrow$  (IntegerPort,ftp-event) Policy  $\Rightarrow$  bool where
  packet-accept (port-reqA v i s d) p = p-accept (i,s,d,port-req) p
| packet-accept (port-ackA v i s d) p = p-accept (i,s,d,port-ack) p
| packet-accept (closeA i s d) p = p-accept (i,s,d,close) p
| packet-accept (dataA v i s da) p = True
```

```
fun packet-accept-conc :: ftp-packet-conc  $\Rightarrow$  (IntegerPort,ftp-event) Policy  $\Rightarrow$  bool
where
  packet-accept-conc (port-reqC v i s d) p = p-accept (i,s,d,port-req) p
| packet-accept-conc (port-ackC v i s d) p = p-accept (i,s,d,port-ack) p
| packet-accept-conc (closeC i s d) p = p-accept (i,s,d,close) p
| packet-accept-conc (dataC i s da) p = True
```

The usual function substitute and rebind:

```
fun substitute :: [env, ftp-packet-abs]  $\Rightarrow$  ftp-packet-conc where
  substitute env (port-reqA v i s d) = (port-reqC (lookup env v) i s d)
| substitute env (port-ackA v i s d) = (port-reqC (lookup env v) i s d)
| substitute env (dataA v i s da) = (dataC i s (da,(lookup env v)))
| substitute env (closeA i s d) = (closeC i s d)
```

```
fun rebind :: [env, ftp-packet-conc]  $\Rightarrow$  env where
  rebind env (port-reqC p i s d) = env(X  $\mapsto$  p)
| rebind env (port-ackC p i s d) = env(Y  $\mapsto$  p)
| rebind env (dataC i s d) = env
| rebind env (closeC i s d) = env
```

The automaton which describes successful executions of the protocol:

```
datatype ftp-states = S0 | S1 | S2 | S3
```

```
fun ftp-automaton :: ftp-states  $\Rightarrow$  ftp-packet-abs list  $\Rightarrow$  (IntegerPort,ftp-event) Policy  $\Rightarrow$ 
  id  $\Rightarrow$  IntegerPort  $\Rightarrow$  IntegerPort  $\Rightarrow$  bool where
  ftp-automaton H [] p i c s = (H = S3)
```

```

|ftp-automaton H (x#xs) policy ii c s = (case H of
  S0 => (case x of (port-reqA X i sr de) => ii = i ∧ sr = c ∧ de = s ∧ packet-accept
    x policy ∧ ftp-automaton S1 xs policy ii c s
    | - => False)
  | S1 => (case x of (port-ackA Y i sr de) => ii = i ∧ sr = s ∧ de = (fst c, 21) ∧
    packet-accept x policy ∧ ftp-automaton S2 xs policy ii c s
    | - => False)
  | S2 => (case x of (dataA Y i sr da) => ii = i ∧ sr = s ∧ fst c = (da) ∧
    ftp-automaton S2 xs policy ii c s
    | (closeA i sr de) => ii = i ∧ sr = c ∧ de = s ∧ packet-accept x policy
    ∧ ftp-automaton S3 xs policy ii c s
    | - => False)
  | S3 => False)

```

Next, we declare our specific setting and the policy:

constdefs

```

intranet :: IntegerPort net
intranet ≡ { {(a,e) . a = 3} }

internet :: IntegerPort net
internet ≡ { {(a,c) . a > 3} }

```

constdefs

```

ftp-policy :: (IntegerPort,ftp-event) Policy
ftp-policy ≡ deny-all ++ allow-from-to-port (21::port) internet intranet ++
allow-all-from-to intranet internet

```

The next two constants check if an address is in the Intranet or in the Internet respectively.

constdefs

```

is-in-intranet :: IntegerPort => bool
is-in-intranet a ≡ (fst a) = 3

is-in-internet :: IntegerPort => bool
is-in-internet a ≡ (fst a) > 3

```

definition

```

NB-ftp where
NB-ftp i c s ≡ {x. (ftp-automaton S0 x ftp-policy i c s)}

```

definition *accept-ftp* :: *ftp-packet-abs list* => bool **where**

```

accept-ftp t ≡ ∃ i c s. t ∈ NB-ftp i c s ∧ is-in-intranet c ∧ is-in-internet s

```

The postcondition:

fun *postcond* :: *env* => '*σ* => *ftp-packet-conc* => *ftp-packet-conc* => bool **where**


```

    postcond env x (port-reqC p i c s) y = (case y of (port-ackC pa i s c) => (pa
    <= p) | - => False)
  | postcond env x (port-ackC p i s c) y = (case y of (dataC i s c) => (snd c = p
  ^ p = lookup env Y) | - => False)
  | postcond env x (dataC i s c) y = (case y of (dataC i s c) => (snd c = lookup env
  Y)
                                                                    |(closeC i c s) => True)
  | postcond env x y z = False

```

```

declare NB-ftp-def accept-ftp-def ftp-policy-def accept-ftp-def
packet-accept-def p-accept-def intranet-def internet-def
is-in-intranet-def is-in-internet-def [simp add]

```

Next some theorem proving, trying to achieve better test case generation results:

```

lemma allowAll[simp]: packet-accept x allow-all
apply (case-tac x, simp-all)
apply (simp-all add: PLemmas p-accept-def)
done

```

```

lemma start[simp]: ftp-automaton S0 (x#xs) p i c s = ((x = port-reqA X i c s)
^ ftp-automaton S1 xs p i c s ^ packet-accept x p)
apply simp
apply (case-tac x, simp-all)
apply (rule vars.exhaust, auto)
done

```

```

lemma step1[simp]: ftp-automaton S1 (x#xs) p i c s = ((x = port-ackA Y i s (fst
c, 21)) ^ ftp-automaton S2 xs p i c s ^ packet-accept x p)
apply simp
apply (case-tac x, simp-all)
apply (case-tac vars, simp-all)
apply (rule vars.exhaust, auto)
done

```

```

lemma step2[simp]: ftp-automaton S2 (x#xs) p i c s = ((x = dataA Y i s (fst
c)) ^ ftp-automaton S2 xs p i c s ^ packet-accept x p) ∨
                                                                    ((x = closeA i c s) ^
ftp-automaton S3 xs p i c s)
apply simp
apply (case-tac x, simp-all)
apply (case-tac vars, simp-all)
apply (rule vars.exhaust, auto)
done

```

```

lemma step3[simp]: ftp-automaton S2 [x] p i c s = (x = closeA i c s ∧ packet-accept
x p)
apply simp
apply (case-tac x, simp-all)
apply (case-tac vars)
apply simp
apply simp
apply auto
done

```

```

lemma packet-accept-a[simp]: packet-accept (dataA a b c d) p
apply simp
done

```

```

lemma packet-accept-b[simp]: is-in-intranet c ∧ is-in-internet s ⇒ packet-accept
(port-reqA x i c s) ftp-policy
apply simp
apply (simp add: ftp-policy-def)
apply (simp add: p-accept-def)
apply (simp add: is-in-intranet-def)
apply (simp add: PLemmas intranet-def internet-def)
apply auto
done

```

```

lemma packet-accept-c[simp]: is-in-intranet c ∧ is-in-internet s ∧ snd c = 21 ⇒
packet-accept (port-ackA y i s c) ftp-policy
apply simp
apply (simp add: ftp-policy-def)
apply (simp add: p-accept-def)
apply (simp add: is-in-intranet-def)
apply (simp add: PLemmas intranet-def internet-def)
apply auto
done

```

```

lemma packet-accept-d[simp]: is-in-intranet c ∧ is-in-internet s ⇒ packet-accept
(closeA i c s) ftp-policy
apply simp
apply (simp add: ftp-policy-def)
apply (simp add: p-accept-def)
apply (simp add: is-in-intranet-def)
apply (simp add: PLemmas intranet-def internet-def)
apply auto
done

```

Now the test specification:

```

test-spec accept-ftp t →
  (([X ↦ init-value], ()) ⊨ (os ← (mbind t (observer2 rebind substitute postcond
ioprogram));

```

```

      result (length trace = length os)))
apply (simp add: accept-ftp-def NB-ftp-def accept-ftp-def packet-accept-def
      p-accept-def intranet-def internet-def is-in-intranet-def is-in-internet-def)
apply (gen-test-cases 5 1 ioprogram)
store-test-thm ftp

```

```

testgen-params[iterations=100]

```

```

gen-test-data ftp

```

```

thm ftp.test-data

```

From inspecting the test theorem and the test data, it is obvious that there is still some more theorem proving required to get better results.

```

end

```

A Appendix

```
theory FWCompilationProof
imports FWCompilation
begin
```

This theory contains the complete proofs of the normalisation procedure.

```
lemma wellformed-policy1-charn[rule-format] : wellformed-policy1  $p \longrightarrow$   

 $\text{DenyAll} \in \text{set } p \longrightarrow (\exists p'. p = \text{DenyAll} \# p' \wedge \text{DenyAll} \notin \text{set } p')$   

by (induct  $p$ , simp-all)
```

```
lemma singleCombinatorsConc: singleCombinators  $(x \# xs) \Longrightarrow$  singleCombi-  

nators  $xs$   

by (case-tac  $x$ , simp-all)
```

```
lemma aux0-0: singleCombinators  $x \Longrightarrow \neg (\exists a b. (a \oplus b) \in \text{set } x)$   

apply (induct  $x$ , simp-all)  

apply (rule allI)+  

by (case-tac  $a$ , simp-all)
```

```
lemma aux0-4:  $(a \in \text{set } x \vee a \in \text{set } y) = (a \in \text{set } (x @ y))$   

by auto
```

```
lemma aux0-1:  $\llbracket \text{singleCombinators } xs; \text{singleCombinators } [x] \rrbracket \Longrightarrow$  singleCombi-  

nators  $(x \# xs)$   

by (case-tac  $x$ , simp-all)
```

```
lemma aux0-6:  $\llbracket \text{singleCombinators } xs; \neg (\exists a b. x = a \oplus b) \rrbracket \Longrightarrow$  singleCombi-  

nators  $(x \# xs)$   

apply (rule aux0-1, simp-all)  

apply (case-tac  $x$ , simp-all)  

apply auto  

done
```

```
lemma aux0-5:  $\neg (\exists a b. (a \oplus b) \in \text{set } x) \Longrightarrow \text{singleCombinators } x$   

apply (induct  $x$ )  

apply simp-all  

apply (rule aux0-6)  

apply auto  

done
```

```
lemma aux0-7:  $\llbracket \text{singleCombinators } x; \text{singleCombinators } y \rrbracket \Longrightarrow$  singleCombi-
```

```

tors (x@y)
apply (rule aux0-5)
apply auto
apply (insert aux0-0 [of x])
apply (insert aux0-0 [of y])
apply auto
done

```

```

lemma ConcAssoc:  $C((A \oplus B) \oplus D) = C(A \oplus (B \oplus D))$ 
apply (simp add: C.simps)
done

```

```

lemma Caux:  $x \in \text{dom } (C \ b) \implies (C \ a \ ++ \ C \ b) \ x = C \ b \ x$ 
by (auto simp: C.simps dom-def)

```

```

lemma nCauxb:  $x \notin \text{dom } (b) \implies (a \ ++ \ b) \ x = a \ x$ 
by (simp-all add: C.simps dom-def map-add-def option.simps(4))

```

```

lemma Cauxb:  $x \notin \text{dom } (C \ b) \implies (C \ a \ ++ \ C \ b) \ x = C \ a \ x$ 
apply (rule nCauxb)
by simp

```

```

lemma aux0: singleCombinators (policy2list p)
apply (induct-tac p)
apply simp-all
apply (rule aux0-7)
apply simp-all
done

```

```

lemma ANDConc[rule-format]:  $\text{allNetsDistinct } (a \# p) \longrightarrow \text{allNetsDistinct } (p)$ 
apply (simp add: allNetsDistinct-def)
apply (case-tac a)
by simp-all

```

```

lemma aux6:  $\text{twoNetsDistinct } a1 \ a2 \ a \ b \implies \text{dom } (\text{deny-all-from-to } a1 \ a2) \cap \text{dom } (\text{deny-all-from-to } a \ b) = \{\}$ 
by (auto simp: twoNetsDistinct-def netsDistinct-def src-def dest-def in-subnet-def PolicyCombinators.PolicyCombinators dom-def)

```

lemma *aux5*[*rule-format*]: $(\text{DenyAllFromTo } a \ b) \in \text{set } p \longrightarrow a \in \text{set } (\text{net-list } p)$
by (*rule net-list-aux.induct,simp-all*)

lemma *aux5a*[*rule-format*]: $(\text{DenyAllFromTo } b \ a) \in \text{set } p \longrightarrow a \in \text{set } (\text{net-list } p)$
by (*rule net-list-aux.induct,simp-all*)

lemma *aux5c*[*rule-format*]: $(\text{AllowPortFromTo } a \ b \ po) \in \text{set } p \longrightarrow a \in \text{set } (\text{net-list } p)$
by (*rule net-list-aux.induct,simp-all*)

lemma *aux5d*[*rule-format*]: $(\text{AllowPortFromTo } b \ a \ po) \in \text{set } p \longrightarrow a \in \text{set } (\text{net-list } p)$
by (*rule net-list-aux.induct,simp-all*)

lemma *aux10*[*rule-format*]: $a \in \text{set } (\text{net-list } p) \longrightarrow a \in \text{set } (\text{net-list-aux } p)$
by *simp*

lemma *srcInNetListaux*[*simp*]: $\llbracket x \in \text{set } p; \text{singleCombinators}[x]; x \neq \text{DenyAll} \rrbracket$
 $\implies \text{srcNet } x \in \text{set } (\text{net-list-aux } p)$
apply (*induct p*)
apply *simp-all*
apply (*case-tac x = a, simp-all*)
apply (*case-tac a, simp-all*)
done

lemma *destInNetListaux*[*simp*]: $\llbracket x \in \text{set } p; \text{singleCombinators}[x]; x \neq \text{DenyAll} \rrbracket$
 $\implies \text{destNet } x \in \text{set } (\text{net-list-aux } p)$
apply (*induct p*)
apply *simp-all*
apply (*case-tac x = a, simp-all*)
apply (*case-tac a, simp-all*)
done

lemma *tND1*: $\llbracket \text{allNetsDistinct } p; x \in \text{set } p; y \in \text{set } p; a = \text{srcNet } x; b = \text{destNet } x; c = \text{srcNet } y; d = \text{destNet } y; a \neq c; \text{singleCombinators}[x]; x \neq \text{DenyAll}; \text{singleCombinators}[y]; y \neq \text{DenyAll} \rrbracket$
 $\implies \text{twoNetsDistinct } a \ b \ c \ d$
apply (*simp add: allNetsDistinct-def twoNetsDistinct-def*)
done

lemma *tND2*: $\llbracket \text{allNetsDistinct } p; x \in \text{set } p; y \in \text{set } p; a = \text{srcNet } x; b = \text{destNet } x; c = \text{srcNet } y; d = \text{destNet } y; b \neq d; \rrbracket$

$\text{singleCombinators}[x]; x \neq \text{DenyAll}; \text{singleCombinators}[y]; y \neq \text{DenyAll}]$
 $\implies \text{twoNetsDistinct } a \ b \ c \ d$
apply (*simp add: allNetsDistinct-def twoNetsDistinct-def*)
done

lemma *tND*: $\llbracket \text{allNetsDistinct } p; x \in \text{set } p; y \in \text{set } p; a = \text{srcNet } x; b = \text{destNet } x; c = \text{srcNet } y; d = \text{destNet } y; a \neq c \vee b \neq d; \text{singleCombinators}[x]; x \neq \text{DenyAll}; \text{singleCombinators}[y]; y \neq \text{DenyAll} \rrbracket$
 $\implies \text{twoNetsDistinct } a \ b \ c \ d$
apply (*case-tac a \neq c, simp-all*)
apply (*erule-tac x = x and y = y in tND1, simp-all*)
apply (*erule-tac x = x and y = y in tND2, simp-all*)
done

lemma *aux7*: $\llbracket \text{DenyAllFromTo } a \ b \in \text{set } p; \text{allNetsDistinct } ((\text{DenyAllFromTo } c \ d) \# p); a \neq c \vee b \neq d \rrbracket \implies \text{twoNetsDistinct } a \ b \ c \ d$
apply (*erule-tac x = DenyAllFromTo a b and y = DenyAllFromTo c d in tND*)
apply *simp-all*
done

lemma *aux7a*: $\llbracket \text{DenyAllFromTo } a \ b \in \text{set } p; \text{allNetsDistinct } ((\text{AllowPortFromTo } c \ d \ po) \# p); a \neq c \vee b \neq d \rrbracket \implies \text{twoNetsDistinct } a \ b \ c \ d$
apply (*erule-tac x = DenyAllFromTo a b and y = AllowPortFromTo c d po in tND*)
apply *simp-all*
done

lemma *nDComm*: **assumes** *ab*: *netsDistinct a b* **shows** *ba*: *netsDistinct b a*
apply (*insert ab*)
by (*auto simp: netsDistinct-def in-subnet-def*)

lemma *tNDComm*: **assumes** *abcd*: *twoNetsDistinct a b c d* **shows** *twoNetsDistinct c d a b*
apply (*insert abcd*)
apply (*metis twoNetsDistinct-def nDComm*)
done

lemma *aux[rule-format]*: $a \in \text{set } (\text{removeShadowRules2 } p) \longrightarrow a \in \text{set } p$
apply (*case-tac a*)
by (*rule removeShadowRules2.induct, simp-all*)+

lemma *aux12*: $\llbracket a \in x; b \notin x \rrbracket \implies a \neq b$
by *auto*

lemma *aux26[simp]*: $\text{twoNetsDistinct } a \ b \ c \ d \implies \text{dom } (C \ (\text{AllowPortFromTo } a \ b$

$p)) \cap \text{dom } (C \text{ (DenyAllFromTo } c \text{ } d)) = \{\}$
by (*auto simp: PLemmas twoNetsDistinct-def netsDistinct-def*) *auto*

lemma *ND0aux1*[*rule-format*]: *DenyAllFromTo* $x \ y \in \text{set } b \implies x \in \text{set } (\text{net-list-aux } b)$
by (*metis aux5 net-list.simps set-remdups*)

lemma *ND0aux2*[*rule-format*]: *DenyAllFromTo* $x \ y \in \text{set } b \implies y \in \text{set } (\text{net-list-aux } b)$
by (*metis aux5a net-list.simps set-remdups*)

lemma *ND0aux3*[*rule-format*]: *AllowPortFromTo* $x \ y \ p \in \text{set } b \implies x \in \text{set } (\text{net-list-aux } b)$
by (*metis aux5c net-list.simps set-remdups*)

lemma *ND0aux4*[*rule-format*]: *AllowPortFromTo* $x \ y \ p \in \text{set } b \implies y \in \text{set } (\text{net-list-aux } b)$
by (*metis aux5d net-list.simps set-remdups*)

lemma *aNDSubsetaux*[*rule-format*]: *singleCombinators* $a \longrightarrow \text{set } a \subseteq \text{set } b \longrightarrow \text{set } (\text{net-list-aux } a) \subseteq \text{set } (\text{net-list-aux } b)$
apply (*induct a*)
apply *simp-all*
apply *clarify*
apply (*drule mp, erule singleCombinatorsConc*)
apply (*case-tac a1*)
apply (*simp-all add: contra-subsetD*)
apply (*metis contra-subsetD*)
apply (*metis ND0aux1 ND0aux2 contra-subsetD mem-def*)
apply (*metis ND0aux3 ND0aux4 contra-subsetD mem-def*)
done

lemma *aNDSetsEqaux*[*rule-format*]: *singleCombinators* $a \longrightarrow \text{singleCombinators } b \longrightarrow \text{set } a = \text{set } b \longrightarrow \text{set } (\text{net-list-aux } a) = \text{set } (\text{net-list-aux } b)$
apply (*rule impI*)
apply (*rule equalityI*)
apply (*rule aNDSubsetaux, simp-all*)
done

lemma *aNDSubset*: $\llbracket \text{singleCombinators } a; \text{set } a \subseteq \text{set } b; \text{allNetsDistinct } b \rrbracket \implies \text{allNetsDistinct } a$
apply (*simp add: allNetsDistinct-def*)
apply (*rule allI*)
apply (*rule impI*)
apply (*drule-tac x = aa in spec, drule-tac x = ba in spec*)
apply (*metis subsetD aNDSubsetaux*)
done

lemma *aNDSetsEq*: $\llbracket \text{singleCombinators } a; \text{singleCombinators } b; \text{set } a = \text{set } b; \rrbracket$


```

allNetsDistinct b]]  $\implies$  allNetsDistinct a
apply (simp add: allNetsDistinct-def)
apply (rule allI)+
apply (rule impI)+
apply (drule-tac x = aa in spec, drule-tac x = ba in spec)
apply (metis aNDSetsEqaux mem-def)
done

```

```

lemma SCConca: [[singleCombinators p; singleCombinators [a]]  $\implies$  singleCombi-
nators (a#p)
by (case-tac a, simp-all)

```

```

lemma aux3[simp]: [[singleCombinators p; singleCombinators [a]; allNetsDistinct
(a#p)]]  $\implies$  allNetsDistinct (a#a#p)
apply (insert aNDSubset[of (a#a#p) (a#p)])
apply (simp add: SCConca)
done

```

```

lemma wp2-aux[rule-format]: wellformed-policy2 (xs @ [x])  $\longrightarrow$  wellformed-policy2
xs
apply (induct xs, simp-all)
apply (case-tac a, simp-all)
done

```

```

lemma wp1-aux1a[rule-format]: xs  $\neq [] \longrightarrow$  wellformed-policy1-strong (xs @ [x])
 $\longrightarrow$  wellformed-policy1-strong xs
by (induct xs, simp-all)

```

```

lemma wp1alternative-RS1[rule-format]: DenyAll  $\in$  set p  $\longrightarrow$  wellformed-policy1-strong
(removeShadowRules1 p)
by (induct p, simp-all)

```

```

lemma wellformed-eq: DenyAll  $\in$  set p  $\longrightarrow$  ((wellformed-policy1 p) = (wellformed-policy1-strong
p))
by (induct p, simp-all)

```

```

lemma set-insort: set(insort x xs l) = insert x (set xs)
by (induct xs) auto

```

```

lemma set-sort[simp]: set(sort xs l) = set xs
by (induct xs) (simp-all add: set-insort)

```

```

lemma aux79[rule-format]: y  $\in$  set (insort x a l)  $\longrightarrow$  y  $\neq$  x  $\longrightarrow$  y  $\in$  set a
apply (induct a)
by auto

```

```

lemma aux80: [[y  $\notin$  set p; y  $\neq$  x]]  $\implies$  y  $\notin$  set (insort x (sort p l) l)
apply (metis aux79 set-sort)
done

```

lemma *aux82*: (*insort DenyAll p l*) = *DenyAll#p*
by (*induct p, simp-all*)

lemma *WP1Conca*: *DenyAll* \notin *set p* \implies *wellformed-policy1* (*a#p*)
by (*case-tac a, simp-all*)

lemma *Cdom2*: $x \in \text{dom}(C\ b) \implies C\ (a \oplus b)\ x = (C\ b)\ x$
by (*auto simp: C.simps*)

lemma *wp2Conc*[*rule-format*]: *wellformed-policy2* (*x # xs*) \implies *wellformed-policy2* *xs*
by (*case-tac x, simp-all*)

lemma *saux[simp]*: (*insort DenyAll p l*) = *DenyAll#p*
by (*induct-tac p, simp-all*)

lemma *saux3*[*rule-format*]: *DenyAllFromTo* *a b* \in *set list* \longrightarrow *DenyAllFromTo* *c*
 $d \notin \text{set list} \longrightarrow (a \neq c) \vee (b \neq d)$
by *blast*

lemma *waux2*[*rule-format*]: (*DenyAll* \notin *set xs*) \longrightarrow *wellformed-policy1* *xs*
by (*induct-tac xs, simp-all*)

lemma *waux3*[*rule-format*]: $\llbracket x \neq a; x \notin \text{set } p \rrbracket \implies x \notin \text{set } (\text{insort } a\ p\ l)$
by (*metis aux79*)

lemma *wellformed1-sorted-aux*[*rule-format*]: *wellformed-policy1* (*x#p*) \implies *wellformed-policy1* (*insort x p l*)
apply (*case-tac x, simp-all*)
by (*rule waux2, rule waux3, simp-all*) +

lemma *SR1Subset*: *set (removeShadowRules1 p)* \subseteq *set p*
apply (*induct-tac p, simp-all*)
apply (*case-tac a, simp-all*)
by *auto*

lemma *SCSubset*[*rule-format*]: *singleCombinators* *b* \longrightarrow *set a* \subseteq *set b* \longrightarrow *singleCombinators* *a*
apply (*induct-tac a*)
apply *auto*
apply (*case-tac a*)
apply *simp-all*
apply (*subgoal-tac Combinators1* \oplus *Combinators2* \in *set b* \longrightarrow \neg *singleCombinators* *b, simp*)
apply (*rule singleCombinators.induct, simp-all*)
done

lemma *setInsert*[*simp*]: *set list* \subseteq *insert a (set list)*

by *auto*

lemma *SC-RS1*[*rule-format,simp*]: *singleCombinators p* \longrightarrow *allNetsDistinct p* \longrightarrow
singleCombinators (removeShadowRules1 p)
apply (*induct-tac p*)
apply *simp-all*
apply (*rule impI*)
apply (*drule mp*)
apply (*erule SCSubset,simp*)
by (*simp add: ANDConc*)

lemma *RS2Set*[*rule-format*]: *set (removeShadowRules2 p)* \subseteq *set p*
apply (*induct p, simp-all*)
apply (*case-tac a, simp-all*)
apply *auto*
done

lemma *WP1*: *a* \notin *set list* \implies *a* \notin *set (removeShadowRules2 list)*
apply (*insert RS2Set [of list]*)
apply *blast*
done

lemma *denyAllDom*[*simp*]: *x* \in *dom (deny-all)*
by (*simp add: PLemmas*)

lemma *DAimpliesMR-E*[*rule-format*]: *DenyAll* \in *set p* \longrightarrow (\exists *r. matching-rule x*
p = Some r)
apply (*simp add: matching-rule-def*)
apply (*rule-tac xs = p in rev-induct*)
apply *simp-all*
by (*metis C.simps(1) denyAllDom*)

lemma *DAimplieMR*[*rule-format*]: *DenyAll* \in *set p* \implies *matching-rule x p* \neq *None*
by (*auto intro: DAimpliesMR-E*)

lemma *MRList1*[*rule-format*]: *x* \in *dom (C a)* \implies *matching-rule x (b@[a]) =*
Some a
by (*simp add: matching-rule-def*)

lemma *MRList2*: *x* \in *dom (C a)* \implies *matching-rule x (c@b@[a]) = Some a*
by (*simp add: matching-rule-def*)

lemma *MRList3*: *x* \notin *dom (C xa)* \implies *matching-rule x (a @ b # xs @ [xa]) =*
matching-rule x (a @ b # xs)
by (*simp add: matching-rule-def*)

lemma *CConcEnd*[*rule-format*]: *C a x = Some y* \longrightarrow *C (list2policy (xs @ [a])) x*
 $=$ *Some y*
(is ?P xs)

apply (rule-tac $P = ?P$ in *list2policy.induct*)
by (simp-all add: *C.simps*)

lemma *CConcStartaux*: $\llbracket C\ a\ x = None \rrbracket \implies (C\ aa\ ++\ C\ a)\ x = C\ aa\ x$
by (simp add: *PLemmas*)

lemma *CConcStart*[rule-format]: $xs \neq [] \longrightarrow C\ a\ x = None \longrightarrow C\ (list2policy\ xs\ @\ [a])\ x = C\ (list2policy\ xs)\ x$
apply (rule *list2policy.induct*)
by (simp-all add: *PLemmas*)

lemma *mrNnt*[simp]: *matching-rule* $x\ p = Some\ a \implies p \neq []$
apply (simp add: *matching-rule-def*)
by auto

lemma *mr-is-C*[rule-format]: *matching-rule* $x\ p = Some\ a \longrightarrow C\ (list2policy\ (p))\ x = C\ a\ x$
apply (simp add: *matching-rule-def*)
apply (rule *rev-induct*)
apply simp-all
apply safe
apply (metis *CConcEnd rotate-simps*)
apply (metis *CConcEnd*)
apply (metis *CConcStart domD domIff foldl-Nil matching-rule-rev.simps(2) option.simps(1) rev-foldl-cons rotate-simps*)
done

lemma *CConcStart2*: $\llbracket p \neq []; x \notin dom\ (C\ a) \rrbracket \implies C\ (list2policy\ (p@[a]))\ x = C\ (list2policy\ p)\ x$
by (erule *CConcStart*, simp add: *PLemmas*)

lemma *lCdom2*: $(list2policy\ (a\ @\ (b\ @\ c))) = (list2policy\ ((a@b)@c))$
by auto

lemma *CConcEnd1*: $\llbracket q@p \neq []; x \notin dom\ (C\ a) \rrbracket \implies C\ (list2policy\ (q@p@[a]))\ x = C\ (list2policy\ (q@p))\ x$
apply (subst *lCdom2*)
by (rule *CConcStart2*, simp-all)

lemma *CConcEnd2*[rule-format]: $x \in dom\ (C\ a) \longrightarrow C\ (list2policy\ (xs\ @\ [a]))\ x = C\ a\ x$
(is ?P xs)
apply (rule-tac $P = ?P$ in *list2policy.induct*)
by (auto simp: *C.simps*)

lemma *SCConcEnd*: *singleCombinators* $(xs\ @\ [xa]) \implies singleCombinators\ xs$
by (induct *xs*, simp-all, case-tac *a*, simp-all)

lemma *bar3*: $x \in dom\ (C\ (list2policy\ (xs\ @\ [xa]))) \implies x \in dom\ (C\ (list2policy\ xs))$

$xs)) \vee x \in \text{dom } (C \text{ } xa)$
by (*metis CConcEnd1 domIff list2policy.simps(1) rotate-simps self-append-conv2*)

lemma *CeqEnd[rule-format,simp]*: $a \neq [] \longrightarrow x \in \text{dom } (C \text{ } (list2policy \text{ } a)) \longrightarrow$
 $C \text{ } (list2policy \text{ } (b@a)) \text{ } x = (C \text{ } (list2policy \text{ } a)) \text{ } x$
apply (*rule rev-induct,simp-all*)
apply (*case-tac xs $\neq []$, simp-all*)
apply (*case-tac $x \in \text{dom } (C \text{ } xa)$*)
apply (*metis CConcEnd2 MRList2 mr-is-C rotate-simps*)
apply (*metis CConcEnd1 CConcStart2 Nil-is-append-conv bar3 rotate-simps*)
apply (*metis MRList2 eq-Nil-appendI mr-is-C rotate-simps*)
done

lemma *CConcStartA[rule-format,simp]*: $x \in \text{dom } (C \text{ } a) \longrightarrow x \in \text{dom } (C \text{ } (list2policy$
 $(a \# b)))$
(is ?P b)
apply (*rule-tac $P = ?P$ in list2policy.induct*)
apply (*simp-all add: C.simps*)
done

lemma *list2policyconc[rule-format]*: $a \neq [] \longrightarrow (list2policy \text{ } (xa \# a)) = (xa) \oplus$
 $(list2policy \text{ } a)$
by (*induct a,simp-all*)

lemma *domConc*: $\llbracket x \in \text{dom } (C \text{ } (list2policy \text{ } b)); b \neq [] \rrbracket \Longrightarrow x \in \text{dom } (C \text{ } (list2policy$
 $(a@b)))$
by (*auto simp: PLemmas*)

lemma *CeqStart[rule-format,simp]*: $x \notin \text{dom } (C \text{ } (list2policy \text{ } a)) \longrightarrow a \neq [] \longrightarrow b$
 $\neq [] \longrightarrow$
 $C \text{ } (list2policy \text{ } (b@a)) \text{ } x = (C \text{ } (list2policy \text{ } b)) \text{ } x$
apply (*rule list2policy.induct,simp-all*)
apply (*auto simp: list2policyconc PLemmas*)
done

lemma *C-eq-if-mr-eq2*: $\llbracket \text{matching-rule } x \text{ } a = \text{Some } r; \text{matching-rule } x \text{ } b = \text{Some}$
 $r; a \neq []; b \neq [] \rrbracket \Longrightarrow$
 $(C \text{ } (list2policy \text{ } a)) \text{ } x = (C \text{ } (list2policy \text{ } b)) \text{ } x$
by (*metis mr-is-C*)

lemma *nMRtoNone[rule-format]*: $p \neq [] \longrightarrow \text{matching-rule } x \text{ } p = \text{None} \longrightarrow C$
 $(list2policy \text{ } p) \text{ } x = \text{None}$
apply (*rule rev-induct, simp-all*)
apply (*case-tac xs = [], simp-all*)
by (*simp-all add: matching-rule-def dom-def*)

lemma *C-eq-if-mr-eq*:
 $\llbracket \text{matching-rule } x \text{ } b = \text{matching-rule } x \text{ } a; a \neq []; b \neq [] \rrbracket \Longrightarrow$
 $(C \text{ } (list2policy \text{ } a)) \text{ } x = (C \text{ } (list2policy \text{ } b)) \text{ } x$

```

apply (cases matching-rule x a = None)
apply simp-all
apply (subst nMRtoNone)
apply (simp-all)
apply (subst nMRtoNone)
apply simp-all
by (auto intro: C-eq-if-mr-eq2)

```

```

lemma wp1n-tl [rule-format]: wellformed-policy1-strong p  $\longrightarrow$  p = (DenyAll#(tl p))
by (induct p, simp-all)

```

```

lemma foo2:  $\llbracket a \notin \text{set } ps; a \notin \text{set } ss; \text{set } p = \text{set } s; p = (a\#(ps)); s = (a\#ss) \rrbracket$ 
 $\implies \text{set } (ps) = \text{set } (ss)$ 
by auto

```

```

lemma bar5: matching-rule x (p@[a])  $\neq$  Some a  $\implies x \notin \text{dom } (C a)$ 
by (simp add: matching-rule-def split: if-splits)

```

```

lemma foo3a[rule-format]: matching-rule x (a@[b]@c) = Some b  $\longrightarrow r \in \text{set } c$ 
 $\longrightarrow b \notin \text{set } c \longrightarrow x \notin \text{dom } (C r)$ 
apply (rule rev-induct)
apply simp-all
apply (rule impI|rule conjI|simp)+
apply (rule-tac p = a @ b # xs in bar5,simp-all)
apply (rule impI,simp)+
apply (drule sym,drule mp, simp-all)
apply (rule MRList3[symmetric],drule sym)
apply (rule-tac p = a @ b # xs in bar5,simp-all)
done

```

```

lemma foo3D:  $\llbracket \text{wellformed-policy1 } p; p = (\text{DenyAll}\#ps); \text{matching-rule } x p = \text{Some } \text{DenyAll}; r \in \text{set } ps \rrbracket \implies x \notin \text{dom } (C r)$ 
by (rule-tac a = [] and b = DenyAll and c = ps in foo3a, simp-all)

```

```

lemma foo4[rule-format]:  $\text{set } p = \text{set } s \wedge (\forall r. r \in \text{set } p \longrightarrow x \notin \text{dom } (C r))$ 
 $\longrightarrow (\forall r. r \in \text{set } s \longrightarrow x \notin \text{dom } (C r))$ 
by simp

```

```

lemma foo5b[rule-format]:  $x \in \text{dom } (C b) \longrightarrow (\forall r. r \in \text{set } c \longrightarrow x \notin \text{dom } (C r)) \longrightarrow$ 

```

```

 $\text{matching-rule } x (b\#c) = \text{Some } b$ 
apply (simp add: matching-rule-def)
apply (rule-tac xs = c in rev-induct, simp-all)
done

```

```

lemma mr-first:  $\llbracket x \in \text{dom } (C b); (\forall r. r \in \text{set } c \longrightarrow x \notin \text{dom } (C r)); s = b\#c \rrbracket$ 
 $\implies$ 
 $\text{matching-rule } x s = \text{Some } b$ 

```

by (*simp add: foo5b*)

lemma *mr-cha**rn*[*rule-format*]: $a \in \text{set } p \longrightarrow (x \in \text{dom } (C \ a)) \longrightarrow (\forall \ r. \ r \in \text{set } p \wedge x \in \text{dom } (C \ r) \longrightarrow r = a) \longrightarrow \text{matching-rule } x \ p = \text{Some } a$
apply (*rule-tac xs = p in rev-induct*)
by (*simp-all add: matching-rule-def*)

lemma *foo8*: $\llbracket (\forall \ r. \ r \in \text{set } p \wedge x \in \text{dom } (C \ r) \longrightarrow r = a); \text{set } p = \text{set } s \rrbracket \Longrightarrow (\forall \ r. \ r \in \text{set } s \wedge x \in \text{dom } (C \ r) \longrightarrow r = a)$
by *auto*

lemma *mrConcEnd*[*rule-format*]: $\text{matching-rule } x \ (b \ \# \ p) = \text{Some } a \longrightarrow a \neq b \longrightarrow \text{matching-rule } x \ p = \text{Some } a$
apply (*simp add: matching-rule-def*)
apply (*rule-tac xs = p in rev-induct, simp-all*)
by *auto*

lemma *wp3tl*[*rule-format*]: $\text{wellformed-policy3 } p \longrightarrow \text{wellformed-policy3 } (tl \ p)$
by (*induct p, simp-all, case-tac a, simp-all*)

lemma *wp3Conc*[*rule-format*]: $\text{wellformed-policy3 } (a \ \# \ p) \longrightarrow \text{wellformed-policy3 } p$
by (*induct p, simp-all, case-tac a, simp-all*)

lemma *SCnotConc*[*rule-format, simp*]: $a \oplus b \in \text{set } p \longrightarrow \text{singleCombinators } p \longrightarrow \text{False}$
by (*induct p, simp-all, case-tac aa, simp-all*)

lemma *foo98*[*rule-format*]: $\text{matching-rule } x \ (aa \ \# \ p) = \text{Some } a \longrightarrow x \in \text{dom } (C \ r) \longrightarrow$
 $\quad r \in \text{set } p$
 $\quad \longrightarrow a \in \text{set } p$
apply (*simp add: matching-rule-def*)
apply (*rule rev-induct*)
apply *simp-all*
apply (*case-tac r = xa, simp-all*)
done

lemma *auxx8*: $\text{removeShadowRules1-alternative-rev } [x] = [x]$
by (*case-tac x, simp-all*)

lemma *RS1End*[*rule-format*]: $x \neq \text{DenyAll} \longrightarrow \text{removeShadowRules1 } (xs \ @ \ [x]) = (\text{removeShadowRules1 } xs) @ [x]$
by (*induct-tac xs, simp-all*)

lemma *aux114*: $x \neq \text{DenyAll} \Longrightarrow \text{removeShadowRules1-alternative-rev } (x \ \# \ xs) = x \ \# \ (\text{removeShadowRules1-alternative-rev } xs)$
apply (*induct-tac xs*)
apply (*auto simp: auxx8*)
by (*case-tac x, simp-all*)

lemma *aux115*[rule-format]: $x \neq \text{DenyAll} \implies \text{removeShadowRules1-alternative} (xs @ [x]) = (\text{removeShadowRules1-alternative } xs) @ [x]$
apply (*simp add: removeShadowRules1-alternative-def aux114*)
done

lemma *RS1-DA*[simp]: $\text{removeShadowRules1 } (xs @ [\text{DenyAll}]) = [\text{DenyAll}]$
by (*induct-tac xs, simp-all*)

lemma *rSR1-eq*: $\text{removeShadowRules1-alternative} = \text{removeShadowRules1}$
apply (*rule ext*)
apply (*simp add: removeShadowRules1-alternative-def*)
apply (*rule-tac xs = x in rev-induct*)
apply *simp-all*
apply (*case-tac xa = DenyAll, simp-all*)
apply (*metis RS1End aux114 rev.simps*)
done

lemma *mrMTNone*[simp]: $\text{matching-rule } x [] = \text{None}$
by (*simp add: matching-rule-def*)

lemma *DAAux*[simp]: $x \in \text{dom } (C \text{ DenyAll})$
by (*simp add: dom-def PolicyCombinators.PolicyCombinators C.simps*)

lemma *mrSet*[rule-format]: $\text{matching-rule } x p = \text{Some } r \longrightarrow r \in \text{set } p$
apply (*simp add: matching-rule-def*)
apply (*rule-tac xs=p in rev-induct*)
apply *simp-all*
done

lemma *mr-not-Conc*: $\text{singleCombinators } p \implies \text{matching-rule } x p \neq \text{Some } (a \oplus b)$
apply (*auto simp: mrSet*)
apply (*drule mrSet*)
apply (*erule SCnotConc, simp*)
done

lemma *foo25*[rule-format]: $\text{wellformed-policy3 } (p @ [x]) \longrightarrow \text{wellformed-policy3 } p$
by (*induct p, simp-all, case-tac a, simp-all*)

lemma *mr-in-dom*[rule-format]: $\text{matching-rule } x p = \text{Some } a \longrightarrow x \in \text{dom } (C a)$
apply (*rule-tac xs = p in rev-induct*)
by (*auto simp: matching-rule-def*)

lemma *domInterMT*[rule-format]: $\llbracket \text{dom } a \cap \text{dom } b = \{\}; x \in \text{dom } a \rrbracket \implies x \notin \text{dom } b$
by *auto*

lemma *wp3EndMT*[rule-format]: $\text{wellformed-policy3 } (p @ [xs]) \longrightarrow \text{AllowPortFromTo } a \ b \ po \in \text{set } p \longrightarrow$

$$\text{dom } (C \text{ (AllowPortFromTo } a \ b \ po)) \cap \text{dom } (C \ xs) = \{\}$$
apply (*induct p,simp-all*)
apply (*rule impI*) +
apply (*drule mp*)
apply (*erule wp3Conc*)
by *clarify auto*

lemma *foo29*: $\llbracket \text{dom } (C \ a) \neq \{\}; \text{dom } (C \ a) \cap \text{dom } (C \ b) = \{\} \rrbracket \implies a \neq b$
by *auto*

lemma *foo28*: $\llbracket \text{AllowPortFromTo } a \ b \ po \in \text{set } p; \text{dom } (C \text{ (AllowPortFromTo } a \ b \ po)) \neq \{\}; (\text{wellformed-policy3 } (p@[x])) \rrbracket \implies$

$$x \neq \text{AllowPortFromTo } a \ b \ po$$

by (*metis foo29 C.simps wp3EndMT*)

lemma *foo28a*[*rule-format*]: $x \in \text{dom } (C \ a) \implies \text{dom } (C \ a) \neq \{\}$
by *auto*

lemma *allow-deny-dom*[*simp*]: $\text{dom } (C \text{ (AllowPortFromTo } a \ b \ po)) \subseteq \text{dom } (C \text{ (DenyAllFromTo } a \ b))$
by (*simp-all add: twoNetsDistinct-def netsDistinct-def PLemmas*) *auto*

lemma *DenyAllowDisj*: $\text{dom } (C \text{ (AllowPortFromTo } a \ b \ p)) \neq \{\} \implies$

$$\text{dom } (C \text{ (DenyAllFromTo } a \ b)) \cap \text{dom } (C \text{ (AllowPortFromTo } a \ b \ p)) \neq \{\}$$

by (*metis Int-absorb1 allow-deny-dom*)

lemma *domComm*: $\text{dom } a \cap \text{dom } b = \text{dom } b \cap \text{dom } a$
by *auto*

lemma *foo31*: $\llbracket (\forall \ r. r \in \text{set } p \wedge x \in \text{dom } (C \ r) \longrightarrow (r = \text{AllowPortFromTo } a \ b \ po \vee r = \text{DenyAllFromTo } a \ b \vee r = \text{DenyAll})) \rrbracket$

$$\text{set } p = \text{set } s \rrbracket \implies$$

$$(\forall \ r. r \in \text{set } s \wedge x \in \text{dom } (C \ r) \longrightarrow (r = \text{AllowPortFromTo } a \ b \ po \vee r = \text{DenyAllFromTo } a \ b \vee r = \text{DenyAll}))$$

by *auto*

lemma *r-not-DA-in-tl*[*rule-format*]: $\text{wellformed-policy1-strong } p \longrightarrow a \in \text{set } p$

$$\longrightarrow a \neq \text{DenyAll} \longrightarrow a \in \text{set } (\text{tl } p)$$

by (*induct p,simp-all*)

lemma *wp1-aux1aa*[*rule-format*]: $\text{wellformed-policy1-strong } p \longrightarrow \text{DenyAll} \in \text{set } p$
by (*induct p,simp-all*)

lemma *mauxa*: $(\exists \ r. a \ b = \text{Some } r) = (a \ b \neq \text{None})$
by *auto*

lemma *wp1-auxa*: $\text{wellformed-policy1-strong } p \implies (\exists \ r. \text{matching-rule } x \ p = \text{Some } r)$

```

r)
apply (rule DAimpliesMR-E)
by (erule wp1-aux1aa)

lemma l2p-aux[rule-format]:  $list \neq [] \longrightarrow list2policy (a \# list) = a \oplus (list2policy list)$ 
by (induct list, simp-all)

lemma l2p-aux2[rule-format]:  $list = [] \implies list2policy (a \# list) = a$ 
by simp

lemma deny-dom[simp]:  $twoNetsDistinct a b c d \implies dom (C (DenyAllFromTo a b)) \cap dom (C (DenyAllFromTo c d)) = \{\}$ 
apply (simp add: C.simps)
by (erule aux6)

lemma domTrans:  $\llbracket dom a \subseteq dom b; dom(b) \cap dom(c) = \{\} \rrbracket \implies dom(a) \cap dom(c) = \{\}$ 
by auto

lemma DomInterAllowsMT:  $\llbracket twoNetsDistinct a b c d \rrbracket \implies dom (C (AllowPortFromTo a b p)) \cap dom (C (AllowPortFromTo c d po)) = \{\}$ 
apply (case-tac p = po, simp-all)
apply (rule-tac b = C (DenyAllFromTo a b) in domTrans, simp-all)
apply (metis domComm aux26 tNDComm)
by (simp add: twoNetsDistinct-def netsDistinct-def PLemmas) auto

lemma DomInterAllowsMT-Ports:  $\llbracket p \neq po \rrbracket \implies dom (C (AllowPortFromTo a b p)) \cap dom (C (AllowPortFromTo c d po)) = \{\}$ 
by (simp add: twoNetsDistinct-def netsDistinct-def PLemmas) auto

lemma aux7aa:  $\llbracket AllowPortFromTo a b po \in set p; allNetsDistinct ((AllowPortFromTo c d po) \# p); a \neq c \vee b \neq d \rrbracket \implies twoNetsDistinct a b c d$ 
apply (simp add: allNetsDistinct-def twoNetsDistinct-def)
apply (case-tac a  $\neq$  c)
apply (rule disjI1)
apply (drule-tac x = a in spec, drule-tac x = c in spec)
apply (simp split: if-splits)
apply (simp-all add: ND0aux3, metis)
apply (rule disjI2)
apply (drule-tac x = b in spec, drule-tac x = d in spec)
apply (simp split: if-splits)
apply (metis ND0aux4 mem-def mem-iff)+
done

lemma wellformed-policy3-chaen[rule-format]:  $singleCombinators p \longrightarrow distinct p \longrightarrow allNetsDistinct p \longrightarrow wellformed-policy1 p \longrightarrow wellformed-policy2 p \longrightarrow$ 

```

```

wellformed-policy3 p
apply (induct-tac p)
apply simp-all
apply clarify
apply simp-all
apply (auto intro: singleCombinatorsConc ANDConc waux2 wp2Conc)
apply (case-tac a)
apply simp-all
apply clarify
apply (case-tac r)
apply simp-all
apply (metis Int-commute)
apply (metis DomInterAllowsMT aux7aa DomInterAllowsMT-Ports)
apply (metis aux0-0 mem-def)
done

```

```

lemma ANDConcEnd:  $\llbracket \text{allNetsDistinct } (xs @ [xa]); \text{singleCombinators } xs \rrbracket \implies$ 
 $\text{allNetsDistinct } xs$ 
by (rule aNDSubset) auto

```

```

lemma WP1ConcEnd[rule-format]:  $\text{wellformed-policy1 } (xs @ [xa]) \longrightarrow \text{wellformed-policy1}$ 
 $xs$ 
by (induct xs, simp-all)

```

```

lemma NDComm:  $\text{netsDistinct } a \ b = \text{netsDistinct } b \ a$ 
by (auto simp: netsDistinct-def in-subnet-def)

```

```

lemma DistinctNetsDenyAllow:
 $\llbracket \text{DenyAllFromTo } b \ c \in \text{set } p; \text{AllowPortFromTo } a \ d \ po \in \text{set } p; \text{allNetsDistinct } p;$ 
 $\text{dom } (C (\text{DenyAllFromTo } b \ c)) \cap \text{dom } (C (\text{AllowPortFromTo } a \ d \ po)) \neq \{\}\rrbracket$ 
 $\implies b = a \wedge c = d$ 
apply (simp add: allNetsDistinct-def)
apply (frule-tac  $x = b$  in spec)
apply (drule-tac  $x = d$  in spec)
apply (drule-tac  $x = a$  in spec)
apply (drule-tac  $x = c$  in spec)
apply (metis Int-commute ND0aux1 ND0aux3 NDComm aux26 twoNetsDistinct-def
ND0aux2 ND0aux4)
done

```

```

lemma DistinctNetsAllowAllow:
 $\llbracket \text{AllowPortFromTo } b \ c \ po \in \text{set } p; \text{AllowPortFromTo } a \ d \ po \in \text{set } p; \text{allNetsDis-}$ 
 $\text{tinct } p; \text{dom } (C (\text{AllowPortFromTo } b \ c \ po)) \cap \text{dom } (C (\text{AllowPortFromTo } a \ d$ 
 $\text{po})) \neq \{\}\rrbracket$ 
 $\implies b = a \wedge c = d \wedge po = po$ 
apply (simp add: allNetsDistinct-def)
apply (frule-tac  $x = b$  in spec)
apply (drule-tac  $x = d$  in spec)
apply (drule-tac  $x = a$  in spec)

```

```

apply (drule-tac  $x = c$  in spec)
apply (metis DomInterAllowsMT DomInterAllowsMT-Ports ND0aux3 ND0aux4
NDComm twoNetsDistinct-def)
done

lemma WP2RS2[simp]:
   $\llbracket \text{singleCombinators } p; \text{distinct } p; \text{allNetsDistinct } p \rrbracket \implies \text{wellformed-policy2 } (\text{removeShadowRules2 } p)$ 
proof (induct  $p$ )
  case Nil thus ?case by simp
next
  case (Cons  $x$   $xs$ )
    have  $wp\text{-}xs$ : wellformed-policy2 (removeShadowRules2  $xs$ ) using prems by
      (metis ANDConc distinct.simps singleCombinatorsConc)
    show ?case
    proof (cases  $x$ )
      case DenyAll thus ?thesis using  $wp\text{-}xs$  by simp
    next
      case (DenyAllFromTo  $a$   $b$ ) thus ?thesis
        using prems  $wp\text{-}xs$  by (simp,metis Cons DenyAllFromTo aux aux7
tNDComm mem-def deny-dom)
    next
      case (AllowPortFromTo  $a$   $b$   $p$ ) thus ?thesis
        using prems  $wp\text{-}xs$  by (simp, metis aux26 AllowPortFromTo Cons(4)
aux aux7a mem-def tNDComm)
    next
      case (Conc  $a$   $b$ ) thus ?thesis
        using prems by (metis Conc Cons(2) singleCombinators.simps(2))
    qed
  qed

lemma wellformed1-sorted[simp]:
  assumes  $wp1$ : wellformed-policy1  $p$ 
  shows wellformed-policy1 (sort  $p$   $l$ )
proof (cases  $p$ )
  case Nil thus ?thesis by simp
next
  case (Cons  $x$   $xs$ ) thus ?thesis
    proof (cases  $x = \text{DenyAll}$ )
      case True thus ?thesis using prems by simp
    next
      case False thus ?thesis using prems
      by (metis Cons set-sort False waux2 wellformed-eq wellformed-policy1-strong.simps(2))
    qed
  qed

lemma SC1[simp]: singleCombinators  $p \implies \text{singleCombinators } (\text{removeShadowRules1}$ 

```

p)
by (*erule* *SCSubset*) (*rule* *SR1Subset*)

lemma *SC2[simp]*: *singleCombinators* $p \implies \text{singleCombinators } (\text{removeShadowRules2 } p)$
by (*erule* *SCSubset*) (*rule* *RS2Set*)

lemma *SC3[simp]*: *singleCombinators* $p \implies \text{singleCombinators } (\text{sort } p \ l)$
by (*erule* *SCSubset*) *simp*

lemma *aND-RS1[simp]*: $\llbracket \text{singleCombinators } p; \text{allNetsDistinct } p \rrbracket \implies \text{allNetsDistinct } (\text{removeShadowRules1 } p)$
apply (*rule* *aNDSubset*)
apply (*erule* *SC-RS1*, *simp-all*)
apply (*rule* *SR1Subset*)
done

lemma *aND-RS2[simp]*: $\llbracket \text{singleCombinators } p; \text{allNetsDistinct } p \rrbracket \implies \text{allNetsDistinct } (\text{removeShadowRules2 } p)$
apply (*rule* *aNDSubset*)
apply (*erule* *SC2*, *simp-all*)
apply (*rule* *RS2Set*)
done

lemma *aND-sort[simp]*: $\llbracket \text{singleCombinators } p; \text{allNetsDistinct } p \rrbracket \implies \text{allNetsDistinct } (\text{sort } p \ l)$
apply (*rule* *aNDSubset*)
by (*erule* *SC3*, *simp-all*)

lemma *inRS2[rule-format,simp]*: $x \notin \text{set } p \longrightarrow x \notin \text{set } (\text{removeShadowRules2 } p)$
apply (*insert* *RS2Set* [of p])
by *blast*

lemma *distinct-RS2[rule-format,simp]*: $\text{distinct } p \longrightarrow \text{distinct } (\text{removeShadowRules2 } p)$
apply (*induct* p)
apply *simp-all*
apply *clarify*
apply (*case-tac* a)
by *auto*

lemma *setPaireq*: $\{x, y\} = \{a, b\} \implies x = a \wedge y = b \vee x = b \wedge y = a$
by (*metis* *Un-empty-left* *Un-insert-left* *doubleton-eq-iff*)

lemma *position-positive[rule-format]*: $a \in \text{set } l \longrightarrow \text{position } a \ l > 0$
by (*induct* l , *simp-all*)

lemma *pos-noteq[rule-format]*:

$$\begin{aligned}
& a \in \text{set } l \longrightarrow b \in \text{set } l \longrightarrow c \in \text{set } l \longrightarrow a \neq b \longrightarrow \\
& (\text{position } a \ l) \leq (\text{position } b \ l) \longrightarrow \\
& (\text{position } b \ l) \leq (\text{position } c \ l) \longrightarrow \\
& a \neq c
\end{aligned}$$

apply (*induct* *l*)
apply *simp-all*
apply (*rule conjI*)
apply (*rule impI*) +
apply (*simp add: position-positive*) +
apply (*metis gr-implies-not0 position-positive*)
done

lemma *setPair-noteq*: $\{a,b\} \neq \{c,d\} \implies \neg ((a = c) \wedge (b = d))$
by *auto*

lemma *setPair-noteq-allow*: $\{a,b\} \neq \{c,d\} \implies \neg ((a = c) \wedge (b = d) \wedge P)$
by *auto*

lemma *order-trans*:

$\llbracket \text{in-list } x \ l; \text{ in-list } y \ l; \text{ in-list } z \ l; \text{ singleCombinators } [x]; \text{ singleCombinators } [y];$
 $\text{singleCombinators } [z]; \text{ smaller } x \ y \ l; \text{ smaller } y \ z \ l \rrbracket \implies$

$\text{smaller } x \ z \ l$
apply (*case-tac* *x*)
apply *simp-all*
apply (*case-tac* *z*)
apply *simp-all*
apply (*case-tac* *y*)
apply *simp-all*
apply (*case-tac* *y*)
apply *simp-all*
apply (*rule conjI* | *rule impI*) +
apply (*rule setPaireq, simp*)
apply (*rule conjI* | *rule impI*) +
apply (*simp-all split: if-splits*)
apply *metis*
apply *metis*
apply (*simp add: setPair-noteq*)
apply (*rule impI, simp-all*)
apply (*erule setPaireq*)
apply (*rule impI*)
apply (*case-tac* *y, simp-all*)
apply (*simp-all split: if-splits*)
apply *metis*
apply (*simp-all add: setPair-noteq setPair-noteq-allow*)
apply (*case-tac* *z*)
apply *simp-all*
apply (*case-tac* *y*)
apply *simp-all*
apply (*case-tac* *y*)

```

apply simp-all
apply (rule impI|rule conjI)+
apply (simp-all split: if-splits)
apply (simp add: setPair-noteq)
apply (erule pos-noteq)
apply simp-all
apply (rule impI)
apply (simp add: setPair-noteq)
apply (rule conjI)
apply (simp add: setPair-noteq-allow)
apply (erule pos-noteq, simp-all)
apply (rule impI)
apply (simp add: setPair-noteq-allow)
apply (rule impI)
apply (rule disjI2)
apply (case-tac y, simp-all)
apply (simp-all split: if-splits)
apply metis
apply (simp-all add: setPair-noteq-allow)
done

```

```

lemma sortedConcStart[rule-format]:
  sorted (a # aa # p) l  $\longrightarrow$  in-list a l  $\longrightarrow$  in-list aa l  $\longrightarrow$  all-in-list p l  $\longrightarrow$ 
singleCombinators [a]  $\longrightarrow$  singleCombinators [aa]  $\longrightarrow$  singleCombinators p  $\longrightarrow$ 
sorted (a#p) l
apply (induct p)
apply simp-all
apply (rule impI)+
apply simp
apply (rule-tac y = aa in order-trans)
apply simp-all
apply (case-tac ab, simp-all)
done

```

```

lemma singleCombinatorsStart[simp]: singleCombinators (x#xs)  $\Longrightarrow$  singleCom-
binators [x]
by (case-tac x, simp-all)

```

```

lemma sorted-is-smaller[rule-format]: sorted (a # p) l  $\longrightarrow$  in-list a l  $\longrightarrow$  in-list
b l  $\longrightarrow$  all-in-list p l  $\longrightarrow$  singleCombinators [a]  $\longrightarrow$  singleCombinators p  $\longrightarrow$  b
 $\in$  set p  $\longrightarrow$  smaller a b l
apply (induct p)
apply (auto intro: singleCombinatorsConc sortedConcStart)
done

```

```

lemma sortedConcEnd[rule-format]: sorted (a # p) l  $\longrightarrow$  in-list a l  $\longrightarrow$  all-in-list
p l  $\longrightarrow$  singleCombinators [a]  $\longrightarrow$  singleCombinators p  $\longrightarrow$  sorted p l
apply (induct p)

```

```

apply (auto intro: singleCombinatorsConc sortedConcStart)
done

lemma AD-aux:  $\llbracket \text{AllowPortFromTo } a \ b \ po \in \text{set } p ; \text{DenyAllFromTo } c \ d \in \text{set } p ;$ 
 $\text{allNetsDistinct } p ; \text{singleCombinators } p ;$ 
 $a \neq c \vee b \neq d \rrbracket$ 
 $\implies \text{dom } (C (\text{AllowPortFromTo } a \ b \ po)) \cap \text{dom } (C (\text{DenyAllFromTo } c \ d)) =$ 
 $\{\}$ 
apply (rule aux26)
apply (rule-tac  $x = \text{AllowPortFromTo } a \ b \ po$  and  $y = \text{DenyAllFromTo } c \ d$  in
tND)
apply auto
done

lemma in-set-in-list[rule-format]:  $a \in \text{set } p \longrightarrow \text{all-in-list } p \ l \longrightarrow \text{in-list } a \ l$ 
by (induct p) auto

lemma sorted-WP2[rule-format]:  $\text{sorted } p \ l \longrightarrow \text{all-in-list } p \ l \longrightarrow \text{distinct } p \longrightarrow$ 
 $\text{allNetsDistinct } p \longrightarrow \text{singleCombinators } p \longrightarrow \text{wellformed-policy2 } p$ 
proof (induct p)
  case Nil thus ?case by simp
next
  case (Cons a p) thus ?case
  proof (cases a)
    case DenyAll thus ?thesis using prems by (auto intro: ANDConc singleCom-
binatorsConc sortedConcEnd)
  next
    case (DenyAllFromTo c d) thus ?thesis using prems
    apply simp
    apply (rule impI)+
    apply (rule conjI)
    apply (rule allI)+
    apply (rule impI)+
    apply (rule deny-dom)
    apply (auto intro: aux7 tNDComm ANDConc singleCombinatorsConc sort-
edConcEnd)
    done
  next
    case (AllowPortFromTo c d e) thus ?thesis using prems
    apply simp
    apply (rule impI|rule conjI|rule allI)+
    apply (rule aux26)
    apply (rule-tac  $x = \text{AllowPortFromTo } c \ d \ e$  and  $y = \text{DenyAllFromTo } aa \ b$ 
in tND)
    apply (assumption,simp-all)
    apply (subgoal-tac smaller (AllowPortFromTo c d e) (DenyAllFromTo aa b)
l)
    apply (simp split: if-splits)
    apply metis

```



```

    apply (erule sorted-is-smaller)
    apply simp-all
    apply (metis List.set.simps(2) bothNet.simps(2) in-list.simps(2) in-set-in-list
mem-def set-empty2)
    apply (auto intro: aux7 tNDComm ANDConc singleCombinatorsConc sort-
edConcEnd)
  done
next
case (Conc a b) thus ?thesis using prems by simp
qed
qed

```

```

lemma sorted-Consb[rule-format]: all-in-list (x#xs) l  $\longrightarrow$  singleCombinators (x#xs)
 $\longrightarrow$  (sorted xs l & (ALL y:set xs. smaller x y l))  $\longrightarrow$  (sorted (x#xs) l)
apply(induct xs arbitrary: x)
apply simp
apply (auto simp: order-trans)
done

```

```

lemma sorted-Cons:  $\llbracket$ all-in-list (x#xs) l; singleCombinators (x#xs) $\rrbracket \implies$  (sorted
xs l & (ALL y:set xs. smaller x y l)) = (sorted (x#xs) l)
apply auto
apply (rule sorted-Consb, simp-all)
apply (metis singleCombinatorsConc singleCombinatorsStart sortedConcEnd)
apply (erule sorted-is-smaller)
apply (auto intro: singleCombinatorsConc singleCombinatorsStart in-set-in-list)
done

```

```

lemma smaller-antisym:  $\llbracket \neg$  smaller a b l; in-list a l; in-list b l; singleCombina-
tors[a]; singleCombinators [b] $\rrbracket \implies$  smaller b a l
apply (case-tac a)
apply simp-all
apply (case-tac b)
apply simp-all
apply (simp-all split: if-splits)
apply (rule setPaireq)
apply simp
apply (case-tac b)
apply simp-all
apply (simp-all split: if-splits)
done

```

```

lemma set-insort-insert: set (insort x xs l)  $\subseteq$  insert x (set xs)
by (induct xs) (auto simp: set-insert)

```

```

lemma all-in-listSubset[rule-format]: all-in-list b l  $\longrightarrow$  singleCombinators a  $\longrightarrow$ 
set a  $\subseteq$  set b  $\longrightarrow$  all-in-list a l
by (induct-tac a) (auto intro: in-set-in-list singleCombinatorsConc)

```

lemma *singleCombinators-insort*: $\llbracket \text{singleCombinators } [x]; \text{singleCombinators } xs \rrbracket$
 $\implies \text{singleCombinators } (\text{insort } x \text{ } xs \text{ } l)$
by (*metis* *SCSubset SCConca FWCompilationProof.set-insort set.simps(2) subset-refl*)

lemma *all-in-list-insort*: $\llbracket \text{all-in-list } xs \text{ } l; \text{singleCombinators } (x\#xs); \text{in-list } x \text{ } l \rrbracket$
 $\implies \text{all-in-list } (\text{insort } x \text{ } xs \text{ } l) \text{ } l$
apply (*rule-tac* $b = x\#xs$ **in** *all-in-listSubset*)
apply *simp-all*
apply (*metis* *singleCombinatorsConc singleCombinatorsStart singleCombinators-insort*)
apply (*rule set-insort-insert*)
done

lemma *sorted-ConsA*: $\llbracket \text{all-in-list } (x\#xs) \text{ } l; \text{singleCombinators } (x\#xs) \rrbracket \implies (\text{sorted } (x\#xs) \text{ } l) = (\text{sorted } xs \text{ } l \ \& \ (ALL \ y:\text{set } xs. \text{smaller } x \text{ } y \text{ } l))$
by (*metis* *sorted-Cons*)

lemma *is-in-insort*: $y \in \text{set } xs \implies y \in \text{set } (\text{insort } x \text{ } xs \text{ } l)$
by (*metis* *ListMem-iff insert mem-def set-insort set.simps(2)*)

lemma *sorted-insorta*[*rule-format*]: $\text{sorted } (\text{insort } x \text{ } xs \text{ } l) \text{ } l \longrightarrow \text{all-in-list } (x\#xs) \text{ } l \longrightarrow \text{distinct } (x\#xs) \longrightarrow \text{singleCombinators } [x] \longrightarrow \text{singleCombinators } xs \longrightarrow \text{sorted } xs \text{ } l$
apply (*induct* *xs*)
apply *simp-all*
apply (*rule impI*)+
apply *simp*
apply (*auto* *intro: is-in-insort sorted-ConsA set-insort singleCombinators-insort singleCombinatorsConc sortedConcEnd all-in-list-insort*)
apply (*metis* *sort.simps(2) set-sort SCSubset all-in-list-insort set-subset-Cons singleCombinators.simps(3) singleCombinatorsConc singleCombinatorsStart singleCombinators-insort sortedConcEnd*)
apply (*rule sorted-Consb*)
apply *simp-all*
apply (*rule ballI*)
apply (*rule-tac* $p = \text{insort } x \text{ } xs \text{ } l$ **in** *sorted-is-smaller*)
apply (*auto* *intro: in-set-in-list all-in-listSubset singleCombinators-insort singleCombinatorsConc set-insort-insert is-in-insort*)
apply (*rule-tac* $b = x\#xs$ **in** *all-in-listSubset*)
apply *simp-all*
apply (*erule singleCombinators-insort*)
apply (*erule singleCombinatorsConc*)
apply (*rule set-insort-insert*)
done

lemma *sorted-insortb*[*rule-format*]: $\text{sorted } xs \text{ } l \longrightarrow \text{all-in-list } (x\#xs) \text{ } l \longrightarrow \text{distinct } (x\#xs) \longrightarrow \text{singleCombinators } [x] \longrightarrow \text{singleCombinators } xs \longrightarrow \text{sorted } (\text{insort } x \text{ } xs \text{ } l) \text{ } l$
apply (*induct* *xs*)
apply *simp-all*

```

apply (rule impI)+
apply (subgoal-tac sorted (FWCompilation.insort x xs l) l)
apply simp
defer 1
apply (metis FWCompilationProof.sorted-Cons all-in-list.simps(2) singleCombi-
natorsConc)
apply (rule sorted-Consb)
apply simp-all
apply auto
apply (rule-tac b = x#xs in all-in-listSubset)
apply simp-all
apply (rule singleCombinators-insort, simp-all)
apply (erule singleCombinatorsConc)
apply (rule set-insort-insort)
apply (metis SCConca singleCombinatorsConc singleCombinatorsStart singleCombinators-insort)
apply (case-tac y = x)
apply simp-all
apply (rule smaller-antisym)
apply simp-all
apply (subgoal-tac y ∈ set xs)
apply (auto intro: in-set-in-list all-in-list-insort aux0-1 singleCombinatorsConc
aux79 sorted-is-smaller smaller-antisym)
done

```

```

lemma sorted-insort:  $\llbracket \text{all-in-list } (x\#xs) \text{ } l; \text{distinct}(x\#xs); \text{singleCombinators } [x];$ 
 $\text{singleCombinators } xs \rrbracket \implies$ 
 $\text{sorted } (\text{insort } x \text{ } xs \text{ } l) \text{ } l = \text{sorted } xs \text{ } l$ 
by (auto intro: sorted-insorta sorted-insortb)

```

```

lemma distinct-insort:  $\text{distinct } (\text{insort } x \text{ } xs \text{ } l) = (x \notin \text{set } xs \wedge \text{distinct } xs)$ 
by(induct xs)(auto simp:set-insort)

```

```

lemma distinct-sort[simp]:  $\text{distinct } (\text{sort } xs \text{ } l) = \text{distinct } xs$ 
by(induct xs)(simp-all add:distinct-insort)

```

```

lemma sort-is-sorted[rule-format]:  $\text{all-in-list } p \text{ } l \longrightarrow \text{distinct } p \longrightarrow \text{singleCombi-}$ 
 $\text{nators } p \longrightarrow \text{sorted } (\text{sort } p \text{ } l) \text{ } l$ 
apply (induct p)
apply (auto intro: SC3 all-in-listSubset SC3 singleCombinatorsConc sorted-insort)
apply (subst sorted-insort)
apply (auto intro: singleCombinatorsConc all-in-listSubset SC3)
apply (erule all-in-listSubset, auto intro: SC3 singleCombinatorsConc sorted-insort)
done

```

```

lemma wellformed2-sorted[simp]:  $\llbracket \text{all-in-list } p \text{ } l; \text{distinct } p; \text{allNetsDistinct } p; \text{sin-}$ 
 $\text{gleCombinators } p \rrbracket \implies \text{wellformed-policy2 } (\text{sort } p \text{ } l)$ 
apply (rule sorted-WP2)
apply (erule sort-is-sorted, simp-all)

```

apply (*erule all-in-listSubset*, *auto intro: SC3 singleCombinatorsConc sorted-insort*)
done

lemma *inSet-not-MT*: $a \in \text{set } p \implies p \neq []$
by *auto*

lemma *C-DenyAll[simp]*: $C(\text{list2policy}(xs @ [DenyAll])) x = \text{Some}(\text{deny } x)$
by (*auto simp: PLemmas*)

lemma *RS1n-assoc*: $x \neq \text{DenyAll} \implies \text{removeShadowRules1-alternative } xs @ [x] = \text{removeShadowRules1-alternative}(xs @ [x])$
by (*simp add: removeShadowRules1-alternative-def aux114*)

lemma *RS1n-nMT[rule-format,simp]*: $p \neq [] \longrightarrow \text{removeShadowRules1-alternative } p \neq []$
apply (*simp add: removeShadowRules1-alternative-def*)
apply (*rule-tac xs = p in rev-induct, simp-all*)
apply (*case-tac xs = [], simp-all*)
apply (*case-tac x, simp-all*)
apply (*rule-tac xs = xs in rev-induct, simp-all*)
apply (*case-tac x, simp-all*)
done

lemma *RS1N-DA[simp]*: $\text{removeShadowRules1-alternative}(a@[DenyAll]) = [DenyAll]$
by (*simp add: removeShadowRules1-alternative-def*)

lemma *C-eq-RS1n*: $C(\text{list2policy}(\text{removeShadowRules1-alternative } p)) = C(\text{list2policy } p)$
apply (*case-tac p = []*)
apply *simp-all*
apply (*metis rSR1-eq removeShadowRules1.simps(2)*)
apply (*rule rev-induct*)
apply (*metis rSR1-eq removeShadowRules1.simps(2)*)
apply (*case-tac xs = [], simp-all*)
apply (*simp add: removeShadowRules1-alternative-def*)
apply (*case-tac x, simp-all*)
apply (*rule ext*)
apply (*case-tac x = DenyAll*)
apply (*simp-all add: C-DenyAll PLemmas*)
apply (*rule-tac t = removeShadowRules1-alternative(xs @ [x]) and s = (removeShadowRules1-alternative xs)@[x] in subst*)
apply (*erule RS1n-assoc*)
apply (*case-tac xa ∈ dom(C x)*)
apply *simp-all*
done

lemma *C-eq-RS1[simp]*: $p \neq [] \implies C(\text{list2policy}(\text{removeShadowRules1 } p)) = C(\text{list2policy } p)$

by (*metis rSR1-eq C-eq-RS1n*)

lemma *EX-MR-aux*[*rule-format*]: *matching-rule* x (*DenyAll* # p) \neq *Some DenyAll*
 $\longrightarrow (\exists y. \text{matching-rule } x \ p = \text{Some } y)$
apply (*simp add: matching-rule-def*)
apply (*rule-tac xs = p in rev-induct, simp-all*)
done

lemma *EX-MR* : $\llbracket \text{matching-rule } x \ p \neq (\text{Some } \text{DenyAll}); \ p = \text{DenyAll} \# ps \rrbracket \Longrightarrow$
 $(\text{matching-rule } x \ p = \text{matching-rule } x \ ps)$
apply *auto*
apply (*subgoal-tac matching-rule* x (*DenyAll* # ps) \neq *None*)
apply *auto*
apply (*metis mrConcEnd the.simps*)
apply (*metis DAimpliesMR-E is-in-insort saux wellformed-policy1-strong.simps(2)*
wp1-auxa)
done

lemma *mr-not-DA*: $\llbracket \text{wellformed-policy1-strong } s; \text{matching-rule } x \ p = \text{Some } (\text{DenyAllFromTo } a \ ab); \text{set } p = \text{set } s \rrbracket \Longrightarrow$
 $\text{matching-rule } x \ s \neq \text{Some } \text{DenyAll}$
apply (*subst wp1n-tl, simp-all*)
apply (*subgoal-tac* $x \in \text{dom } (C (\text{DenyAllFromTo } a \ ab)))$
apply (*subgoal-tac* *DenyAllFromTo* $a \ ab \in \text{set } (tl \ s)$)
apply (*metis wp1n-tl foo98 wellformed-policy1-strong.simps(2)*)
apply (*erule r-not-DA-in-tl, simp-all*)
apply (*subgoal-tac* *DenyAllFromTo* $a \ ab \in \text{set } p, \text{simp}$)
apply (*erule mrSet*)
apply (*erule mr-in-dom*)
done

lemma *domsMT-notND-DD*: $\llbracket \text{dom } (C (\text{DenyAllFromTo } a \ b)) \cap \text{dom } (C (\text{DenyAllFromTo } c \ d)) \neq \{\} \rrbracket \Longrightarrow \neg \text{netsDistinct } a \ c$
apply (*erule contrapos-nn*)
apply (*simp add: C.simps*)
apply (*rule aux6*)
apply (*simp add: twoNetsDistinct-def*)
done

lemma *WP1n-DA-notinSet*[*rule-format*]: *wellformed-policy1-strong* $p \longrightarrow \text{DenyAll}$
 $\notin \text{set } (tl \ p)$
by (*induct p*) (*simp-all*)

lemma *domsMT-notND-DD2*: $\llbracket \text{dom } (C (\text{DenyAllFromTo } a \ b)) \cap \text{dom } (C (\text{DenyAllFromTo } c \ d)) \neq \{\} \rrbracket \Longrightarrow \neg \text{netsDistinct } b \ d$
apply (*erule contrapos-nn*)
apply (*simp add: C.simps*)
apply (*rule aux6*)

apply (*simp add: twoNetsDistinct-def*)
done

lemma *domsMT-notND-DD3*: $\llbracket x \in \text{dom } (C \text{ (DenyAllFromTo } a \text{ } b)); x \in \text{dom } (C \text{ (DenyAllFromTo } c \text{ } d)) \rrbracket \implies \neg \text{netsDistinct } a \text{ } c$
apply (*rule domsMT-notND-DD*)
apply *auto*
done

lemma *domsMT-notND-DD4*: $\llbracket x \in \text{dom } (C \text{ (DenyAllFromTo } a \text{ } b)); x \in \text{dom } (C \text{ (DenyAllFromTo } c \text{ } d)) \rrbracket \implies \neg \text{netsDistinct } b \text{ } d$
apply (*rule domsMT-notND-DD2*)
apply *auto*
done

lemma *NetsEq-if-sameP-DD*: $\llbracket \text{allNetsDistinct } p; u \in \text{set } p; v \in \text{set } p; u = (\text{DenyAllFromTo } a \text{ } b); v = (\text{DenyAllFromTo } c \text{ } d); x \in \text{dom } (C \text{ (} u)); x \in \text{dom } (C \text{ (} v)) \rrbracket \implies a = c \wedge b = d$
apply (*simp add: allNetsDistinct-def*)
apply (*metis ND0aux1 ND0aux2 domsMT-notND-DD3 domsMT-notND-DD4 mem-def*)
done

lemma *mt-sym*: $\text{dom } a \cap \text{dom } b = \{\} \implies \text{dom } b \cap \text{dom } a = \{\}$
by *auto*

lemma *rule-charn1*:
assumes *aND*: *allNetsDistinct* *p*
and *mr-is-allow*: *matching-rule* *x p* = *Some* (*AllowPortFromTo* *a b po*)
and *SC*: *singleCombinators* *p*
and *inp*: *r* \in *set* *p*
and *inDom*: *x* \in *dom* (*C r*)
shows (*r* = *AllowPortFromTo* *a b po* \vee *r* = *DenyAllFromTo* *a b* \vee *r* = *DenyAll*)
proof (*cases r*)
 case *DenyAll* **show** *?thesis* **using** *prems* **by** *simp*
next
 case (*DenyAllFromTo* *x y*) **show** *?thesis* **using** *prems*
 apply (*simp, rule-tac* *p = p* **and** *po = po* **in** *DistinctNetsDenyAllow, simp-all*)
 apply (*metis mrSet*)
 by (*metis Int-iff mr-in-dom inSet-not-MT mem-def set-empty2*)
next
 case (*AllowPortFromTo* *x y b*) **show** *?thesis* **using** *prems*
 apply *simp*
 apply (*rule DistinctNetsAllowAllow, simp-all*)
 apply (*metis mrSet*)
 by (*metis Int-iff mr-in-dom inSet-not-MT mem-def set-empty2*)
next
 case (*Conc* *x y*) **thus** *?thesis* **using** *prems* **by** (*metis aux0-0*)
qed

lemma *DAnotTL*[rule-format]: $xs \neq [] \longrightarrow \text{wellformed-policy1 } (xs @ [DenyAll]) \longrightarrow \text{False}$

by (induct *xs*, *simp-all*)

lemma *nMTRS3*[simp]: $\text{noneMT } (\text{removeShadowRules3 } p)$

by (induct *p*) *simp-all*

lemma *nMTcharn*: $\text{noneMT } p = (\forall r \in \text{set } p. \text{dom } (C \ r) \neq \{\})$

by (induct *p*) *simp-all*

lemma *nMTeqSet*: $\text{set } p = \text{set } s \implies \text{noneMT } p = \text{noneMT } s$

by (*simp add: nMTcharn*)

lemma *nMTSort*: $\text{noneMT } p \implies \text{noneMT } (\text{sort } p \ l)$

by (*metis set-sort nMTeqSet*)

lemma *wp3char*[rule-format]: $\text{noneMT } xs \wedge \text{dom } (C \ (\text{AllowPortFromTo } a \ b \ po)) \neq \{\} \wedge \text{wellformed-policy3 } (xs @ [\text{DenyAllFromTo } a \ b]) \longrightarrow \text{AllowPortFromTo } a \ b \ po \notin \text{set } xs$

apply (induct *xs*)

apply *simp-all*

apply (*metis wp3Conc Int-absorb1 Int-commute allow-deny-dom in-set-conv-decomp*

mem-def not-Cons-self removeShadowRules2.simps(1) set-empty2 wellformed-policy3.simps(2))

done

lemma *wp3charn*[rule-format]:

assumes *domAllow*: $\text{dom } (C \ (\text{AllowPortFromTo } a \ b \ po)) \neq \{\}$

and *wp3*: $\text{wellformed-policy3 } (xs @ [\text{DenyAllFromTo } a \ b])$

shows *allowNotInList*: $\text{AllowPortFromTo } a \ b \ po \notin \text{set } xs$

apply (*insert prems*)

proof (induct *xs*)

case *Nil* **show** ?*case* **by** *simp*

next

case (*Cons x xs*) **show** ?*case* **using** *prems*

by (*simp, auto intro: wp3Conc*) (*auto simp: DenyAllowDisj domAllow*)

qed

lemma *notMTnMT*: $\llbracket a \in \text{set } p; \text{noneMT } p \rrbracket \implies \text{dom } (C \ a) \neq \{\}$

by (*simp add: nMTcharn*)

lemma *noneMTconc*[rule-format]: $\text{noneMT } (a @ [b]) \longrightarrow \text{noneMT } a$

by (induct *a*, *simp-all*)

lemma *rule-charn2*:

assumes *aND*: *allNetsDistinct p*

and *wp1*: *wellformed-policy1 p*

and *SC*: *singleCombinators p*

and *wp3*: *wellformed-policy3 p*

and *allow-in-list*: $\text{AllowPortFromTo } c \ d \ po \in \text{set } p$

```

and x-in-dom-allow:  $x \in \text{dom } (C \text{ (AllowPortFromTo } c \text{ } d \text{ } po))$ 
shows matching-rule  $x \text{ } p = \text{Some } (\text{AllowPortFromTo } c \text{ } d \text{ } po)$ 
proof (insert prems, induct p rule: rev-induct)
  case Nil show ?case using prems by simp
next
  case (snoc y ys) show ?case using prems
    apply simp
    apply (case-tac y = (AllowPortFromTo c d po))
    apply (simp add: matching-rule-def)
    apply simp-all
    apply (subgoal-tac ys  $\neq []$ )
    apply (subgoal-tac matching-rule  $x \text{ } ys = \text{Some } (\text{AllowPortFromTo } c \text{ } d \text{ } po)$ )
    defer 1
    apply (metis ANDConcEnd SCConcEnd WP1ConcEnd foo25 snoc(2) snoc(3)
snoc(4) snoc(5))
apply (metis inSet-not-MT)
  proof (cases y)
    case DenyAll thus ?thesis using prems
      apply simp
      by (metis DAnotTL DenyAll inSet-not-MT mem-def policy2list.simps(2))
    next
      case (DenyAllFromTo a b) thus ?thesis using prems apply simp
      apply (simp-all add: matching-rule-def)
      apply (rule conjI)
      apply (metis domInterMT wp3EndMT)
      apply (rule impI)
      by (metis ANDConcEnd DenyAllFromTo SCConcEnd WP1ConcEnd foo25)
    next
      case (AllowPortFromTo a1 a2 b) thus ?thesis using prems apply simp
      apply (simp-all add: matching-rule-def)
      apply (rule conjI)
      apply (metis domInterMT wp3EndMT)
      by (metis ANDConcEnd AllowPortFromTo SCConcEnd WP1ConcEnd foo25
x-in-dom-allow)
    next
      case (Conc a b) thus ?thesis using prems apply simp
      by (metis Conc aux0-0 in-set-conv-decomp)
  qed
qed

```

lemma *rule-charn3*:

$\llbracket \text{wellformed-policy1 } p; \text{allNetsDistinct } p; \text{singleCombinators } p; \text{wellformed-policy3 } p;$

$\text{matching-rule } x \text{ } p = \text{Some } (\text{DenyAllFromTo } c \text{ } d); \text{AllowPortFromTo } a \text{ } b \text{ } po$
 $\in \text{set } p \rrbracket \implies$

$x \notin \text{dom } (C \text{ (AllowPortFromTo } a \text{ } b \text{ } po))$

by (*clarify*, *auto simp*: *rule-charn2 dom-def*)

lemma *rule-charn4*:


```

assumes wp1: wellformed-policy1 p
and aND: allNetsDistinct p
and SC: singleCombinators p
and wp3: wellformed-policy3 p
and DA: DenyAll  $\notin$  set p
and mr: matching-rule x p = Some (DenyAllFromTo a b)
and rinp: r  $\in$  set p
and xindom: x  $\in$  dom (C r)
shows r = DenyAllFromTo a b
proof (cases r)
  case DenyAll thus ?thesis using prems by simp
next
  case (DenyAllFromTo c d) thus ?thesis using prems apply simp
    apply (erule-tac x = x and p = p and v = (DenyAllFromTo a b) and u =
      (DenyAllFromTo c d) in NetsEq-if-sameP-DD)
    apply simp-all
    apply (erule mrSet)
    by (erule mr-in-dom)
next
  case (AllowPortFromTo c d e) thus ?thesis using prems apply simp
    apply (subgoal-tac x  $\notin$  dom (C (AllowPortFromTo c d e)))
    apply simp
    apply (rule-tac p = p in rule-cha3)
    by (auto intro: SCnotConc)
next
  case (Conc a b) thus ?thesis using prems apply simp
    by (metis Conc aux0-0 in-set-conv-decomp)
qed

```

```

lemma AND-tl[rule-format]: allNetsDistinct ( p )  $\longrightarrow$  allNetsDistinct (tl p)
apply (induct p, simp-all)
by (auto intro: ANDConc)

```

```

lemma distinct-tl[rule-format]: distinct p  $\longrightarrow$  distinct (tl p)
by (induct p, simp-all)

```

```

lemma SC-tl[rule-format]: singleCombinators ( p )  $\longrightarrow$  singleCombinators (tl p)
apply (induct p, simp-all)
by (auto intro: singleCombinatorsConc)

```

```

lemma Conc-not-MT: p = x#xs  $\implies$  p  $\neq$  []
by auto

```

```

lemma wp1-tl[rule-format]: p  $\neq$  []  $\wedge$  wellformed-policy1 p  $\longrightarrow$  wellformed-policy1
  (tl p)
apply (induct p)

```

```

apply simp-all
apply (auto intro: waux2)
done

```

```

lemma nMTtail[rule-format]: noneMT p  $\longrightarrow$  noneMT (tl p)
by (induct p, simp-all)

```

```

lemma foo31a:  $\llbracket (\forall r. r \in \text{set } p \wedge x \in \text{dom } (C\ r) \longrightarrow (r = \text{AllowPortFromTo } a\ b\ po \vee r = \text{DenyAllFromTo } a\ b \vee r = \text{DenyAll})) ;$ 
 $\text{set } p = \text{set } s ; r \in \text{set } s ; x \in \text{dom } (C\ r) \rrbracket \Longrightarrow (r = \text{AllowPortFromTo } a\ b\ po \vee r = \text{DenyAllFromTo } a\ b \vee r = \text{DenyAll})$ 
by auto

```

```

lemma wp1-eq[rule-format]: wellformed-policy1-strong p  $\Longrightarrow$  wellformed-policy1 p
apply (case-tac DenyAll  $\in$  set p)
apply (subst wellformed-eq)
apply simp-all
apply (erule waux2)
done

```

```

lemma aux4[rule-format]:
  matching-rule x (a#p) = Some a  $\longrightarrow a \notin \text{set } (p) \longrightarrow \text{matching-rule } x\ p = \text{None}$ 
apply (rule rev-induct)
apply simp-all
apply (rule impI) +
apply simp
apply (simp add: matching-rule-def)
apply (simp split: if-splits)
done

```

```

lemma mrDA-tl:
  assumes mr-DA: matching-rule x p = Some DenyAll
  and wp1n: wellformed-policy1-strong p
  shows matching-rule x (tl p) = None
  apply (rule aux4 [where a = DenyAll])
  apply (metis wp1n-tl mr-DA wp1n)
  by (metis WP1n-DA-notinSet wp1n)

```

```

lemma rule-charnDAFT:
 $\llbracket \text{wellformed-policy1-strong } p ; \text{allNetsDistinct } p ; \text{singleCombinators } p ; \text{wellformed-policy3 } p ;$ 
 $\text{matching-rule } x\ p = \text{Some } (\text{DenyAllFromTo } a\ b) ; r \in \text{set } (tl\ p) ; x \in \text{dom } (C\ r) \rrbracket$ 
 $\Longrightarrow r = \text{DenyAllFromTo } a\ b$ 
apply (subgoal-tac p = DenyAll#(tl p))
apply (rule-tac p = tl p in rule-charn4)
apply simp-all
apply (metis wellformed-policy1-strong.simps(1) wp1-eq wp1-tl)
apply (erule AND-tl)
apply (erule SC-tl)

```

```

apply (erule wp3tl)
apply (erule WP1n-DA-notinSet)
apply (metis Combinators.simps(1) DAAux EX-MR matching-rule-def matching-rule-rev.simps(1)
mem-def mrSet option.inject rev-rev-ident set-rev tl.simps(2) wellformed-policy1-charn
wp1-eq)
apply (metis wp1n-tl)
done

```

```

lemma mrDenyAll-is-unique:  $\llbracket \text{wellformed-policy1-strong } p; \text{ matching-rule } x \text{ } p = \text{Some DenyAll}; r \in \text{set } (tl \text{ } p) \rrbracket \implies x \notin \text{dom } (C \text{ } r)$ 
apply (rule-tac a = [] and b = DenyAll and c = tl p in foo3a, simp-all)
apply (metis wp1n-tl)
by (metis WP1n-DA-notinSet)

```

```

theorem C-eq-Sets-mr:
assumes sets-eq: set p = set s
and SC: singleCombinators p
and wp1-p: wellformed-policy1-strong p
and wp1-s: wellformed-policy1-strong s
and wp3-p: wellformed-policy3 p
and wp3-s: wellformed-policy3 s
and aND: allNetsDistinct p

```

```

shows matching-rule x p = matching-rule x s
proof (cases matching-rule x p)

```

```

case None
  have DA: DenyAll  $\in$  set p using wp1-p by (auto simp: wp1-aux1aa)
  have notDA: DenyAll  $\notin$  set p using None by (auto simp: DAimplieMR)
  thus ?thesis using DA by (contradiction)
next

```

```

case (Some y) thus ?thesis
proof (cases y)
  have tl-p: p = DenyAll#(tl p) by (metis wp1-p wp1n-tl)
  have tl-s: s = DenyAll#(tl s) by (metis wp1-s wp1n-tl)
  have tl-eq: set (tl p) = set (tl s)
  by (metis tl.simps(2) WP1n-DA-notinSet foo2 mem-def sets-eq wellformed-policy1-charn
wp1-aux1aa wp1-eq wp1-p wp1-s)
  {
    case DenyAll
    have mr-p-is-DenyAll: matching-rule x p = Some DenyAll by (simp add:
DenyAll Some)
    hence x-notin-tl-p:  $\forall r. r \in \text{set } (tl \text{ } p) \longrightarrow x \notin \text{dom } (C \text{ } r)$  using wp1-p by
(auto simp: mrDenyAll-is-unique)
    hence x-notin-tl-s:  $\forall r. r \in \text{set } (tl \text{ } s) \longrightarrow x \notin \text{dom } (C \text{ } r)$  using tl-eq by auto
    hence mr-s-is-DenyAll: matching-rule x s = Some DenyAll using tl-s by
(auto simp: mr-first)
    thus ?thesis using mr-p-is-DenyAll by simp
  }

```

```

}
{
  case (DenyAllFromTo a b)
    have mr-p-is-DAFT: matching-rule x p = Some (DenyAllFromTo a b) by
      (simp add: DenyAllFromTo Some)
    have DA-notin-tl: DenyAll  $\notin$  set (tl p) by (metis WP1n-DA-notinSet wp1-p)

    have mr-tl-p: matching-rule x p = matching-rule x (tl p) by (metis Combinators.simps(1) DenyAllFromTo Some mrConcEnd tl-p)
    have dom-tl-p:  $\bigwedge r. r \in \text{set } (tl\ p) \wedge x \in \text{dom } (C\ r) \implies r = (\text{DenyAllFromTo } a\ b)$  using wp1-p aND SC wp3-p mr-p-is-DAFT
      by (auto simp: rule-charnDAFT)
    hence dom-tl-s:  $\bigwedge r. r \in \text{set } (tl\ s) \wedge x \in \text{dom } (C\ r) \implies r = (\text{DenyAllFromTo } a\ b)$  using tl-eq by auto
    have DAFT-in-tl-s: DenyAllFromTo a b  $\in$  set (tl s) using mr-tl-p by (metis DenyAllFromTo mrSet mr-p-is-DAFT tl-eq)
    have x-in-dom-DAFT:  $x \in \text{dom } (C\ (\text{DenyAllFromTo } a\ b))$  by (metis mr-p-is-DAFT DenyAllFromTo mr-in-dom)
    hence mr-tl-s-is-DAFT: matching-rule x (tl s) = Some (DenyAllFromTo a b)
  using DAFT-in-tl-s dom-tl-s by (auto simp: mr-charn)
  hence mr-s-is-DAFT: matching-rule x s = Some (DenyAllFromTo a b) using
    tl-s
    by (metis DA-notin-tl DenyAllFromTo EX-MR mrDA-tl mr-p-is-DAFT
      not-Some-eq tl-eq wellformed-policy1-strong.simps(2))
  thus ?thesis using mr-p-is-DAFT by simp
}
{
  case (AllowPortFromTo a b c)
    have wp1s: wellformed-policy1 s by (metis wp1-eq wp1-s)
    have mr-p-is-A: matching-rule x p = Some (AllowPortFromTo a b c) by (simp
      add: AllowPortFromTo Some)
    hence A-in-s: AllowPortFromTo a b c  $\in$  set s using sets-eq by (auto intro:
      mrSet)
    have x-in-dom-A:  $x \in \text{dom } (C\ (\text{AllowPortFromTo } a\ b\ c))$  by (metis mr-p-is-A
      AllowPortFromTo mr-in-dom)
    have SCs: singleCombinators s using SC sets-eq by (auto intro: SCSubset)
    hence ANDs: allNetsDistinct s using aND sets-eq SC by (auto intro: aND-
      SetsEq)
    hence mr-s-is-A: matching-rule x s = Some (AllowPortFromTo a b c) using
      A-in-s wp1s mr-p-is-A aND SCs wp3-s x-in-dom-A
      by (simp add: rule-charn2)
    thus ?thesis using mr-p-is-A by simp
}
case (Conc a b) thus ?thesis by (metis Some mr-not-Conc SC)
qed
qed

```

lemma *C-eq-Sets*:

$\llbracket \text{singleCombinators } p; \text{wellformed-policy1-strong } p; \text{wellformed-policy1-strong } s; \text{wellformed-policy3 } p; \text{wellformed-policy3 } s; \text{allNetsDistinct } p; \text{set } p = \text{set } s \rrbracket \implies$

```

  C (list2policy p) x = C (list2policy s) x
  apply (rule C-eq-if-mr-eq)
  apply (rule C-eq-Sets-mr [symmetric])
  apply simp-all
  apply (metis wellformed-policy1-strong.simps(1) wp1-auxa)+
done

```

```

lemma wellformed1-alternative-sorted: wellformed-policy1-strong p  $\implies$  wellformed-policy1-strong
(sort p l)
by (case-tac p, simp-all)

```

```

lemma C-eq-sorted:  $\llbracket \text{distinct } p; \text{all-in-list } p \text{ l}; \text{singleCombinators } p; \text{wellformed-policy1-strong } p; \text{wellformed-policy3 } p; \text{allNetsDistinct } p \rrbracket \implies$ 
C (list2policy (sort p l)) = C (list2policy p)
  apply (rule ext)
  apply (rule C-eq-Sets)
  apply (auto simp: nMTSort wellformed1-alternative-sorted wellformed-policy3-charn
wellformed1-sorted wp1-eq)
done

```

```

lemma wp1n-RS2[rule-format]: wellformed-policy1-strong p  $\longrightarrow$  wellformed-policy1-strong
(removeShadowRules2 p)
by (induct p, simp-all)

```

```

lemma RS2-NMT[rule-format]:  $p \neq [] \longrightarrow \text{removeShadowRules2 } p \neq []$ 
  apply (induct p, simp-all)
  apply (case-tac p  $\neq []$ , simp-all)
  apply (case-tac a, simp-all)+
done

```

```

lemma mrconc[rule-format]: matching-rule x p = Some a  $\longrightarrow$  matching-rule x
(b#p) = Some a
  apply (rule rev-induct) back
  apply (simp)
  apply (rule impI)
  apply (case-tac x  $\in \text{dom } (C \text{ xa})$ )
  apply (simp-all add: matching-rule-def)
done

```

```

lemma mreq-end:  $\llbracket \text{matching-rule } x \text{ b} = \text{Some } r; \text{matching-rule } x \text{ c} = \text{Some } r \rrbracket \implies$ 
matching-rule x (a#b) = matching-rule x (a#c)
by (simp add: mrconc)

```

```

lemma mrconcNone[rule-format]: matching-rule x p = None  $\longrightarrow$  matching-rule x
(b#p) = matching-rule x [b]
  apply (rule-tac xs = p in rev-induct)
  apply simp-all
  apply (rule impI)

```

```

apply (case-tac  $x \in \text{dom } (C \ x a)$ )
apply (simp-all add: matching-rule-def)
done

```

```

lemma mreq-endNone:  $\llbracket \text{matching-rule } x \ b = \text{None}; \text{matching-rule } x \ c = \text{None} \rrbracket$ 
 $\implies$ 
  matching-rule  $x \ (a \# b) = \text{matching-rule } x \ (a \# c)$ 
by (metis mrconcNone)

```

```

lemma mreq-end2: matching-rule  $x \ b = \text{matching-rule } x \ c \implies$ 
  matching-rule  $x \ (a \# b) = \text{matching-rule } x \ (a \# c)$ 
apply (case-tac matching-rule  $x \ b = \text{None}$ )
apply (auto intro: mreq-end mreq-endNone)
done

```

```

lemma mreq-end3: matching-rule  $x \ p \neq \text{None} \implies \text{matching-rule } x \ (b \ \# \ p) =$ 
  matching-rule  $x \ (p)$ 
by (auto simp: mrconc)

```

```

lemma mrNoneMT[rule-format]:  $r \in \text{set } p \longrightarrow \text{matching-rule } x \ p = \text{None} \longrightarrow x$ 
 $\notin \text{dom } (C \ r)$ 
apply (rule rev-induct, simp-all)
apply (rule conjI | rule impI)+
apply simp-all
apply (case-tac  $xa \in \text{set } xs$ )
apply (simp-all add: matching-rule-def split: if-splits)
done

```

```

lemma C-eq-RS2-mr: matching-rule  $x \ (\text{removeShadowRules2 } p) = \text{matching-rule } x$ 
 $p$ 
proof (induct  $p$ )
  case Nil thus ?case by simp next
  case (Cons  $y \ ys$ ) thus ?case
    proof (cases  $ys = []$ )
      case True thus ?thesis by (cases  $y$ , simp-all) next
      case False thus ?thesis
        proof (cases  $y$ )
          case DenyAll thus ?thesis by (simp, metis Cons DenyAll mreq-end2) next
          case (DenyAllFromTo  $a \ b$ ) thus ?thesis by (simp, metis Cons DenyAllFromTo
mreq-end2) next
          case (AllowPortFromTo  $a \ b \ p$ ) thus ?thesis
            proof (cases DenyAllFromTo  $a \ b \in \text{set } ys$ )
              case True thus ?thesis using prems
                apply (cases matching-rule  $x \ ys = \text{None}$ , simp-all)
                apply (subgoal-tac  $x \notin \text{dom } (C \ (\text{AllowPortFromTo } a \ b \ p)))$ 
                apply (subst mrconcNone, simp-all)
                apply (simp add: matching-rule-def )
                apply (rule contra-subsetD [OF allow-deny-dom])
                apply (erule mrNoneMT, simp)

```

```

    apply (metis AllowPortFromTo mrconc)
  done
next
  case False thus ?thesis using prems by (simp, metis AllowPortFromTo
Cons mreq-end2) qed
next
  case (Conc a b) thus ?thesis by (metis Cons mreq-end2 removeShadowRules2.simps(4))
  qed
qed
qed

```

lemma *wp1-alternative-not-mt*[simp]: *wellformed-policy1-strong* $p \implies p \neq []$
by *auto*

lemma *C-eq-None*[rule-format]: $p \neq [] \implies \text{matching-rule } x \text{ } p = \text{None} \longrightarrow C$
 $(\text{list2policy } p) \text{ } x = \text{None}$
apply (simp add: matching-rule-def)
apply (rule rev-induct, simp-all)
apply (rule impI)+
apply simp
apply (case-tac xs $\neq []$)
apply (simp-all add: dom-def)
done

lemma *C-eq-None2*: $[a \neq []; b \neq []; \text{matching-rule } x \text{ } a = \text{None}; \text{matching-rule } x \text{ } b = \text{None}] \implies$
 $(C (\text{list2policy } a)) \text{ } x = (C (\text{list2policy } b)) \text{ } x$
by (auto simp: C-eq-None)

lemma *C-eq-RS2*: *wellformed-policy1-strong* $p \implies$
 $C (\text{list2policy } (\text{removeShadowRules2 } p)) = C (\text{list2policy } p)$
apply (rule ext)
apply (rule C-eq-if-mr-eq)
apply (rule C-eq-RS2-mr [symmetric], simp-all)
apply (metis wp1-alternative-not-mt wp1n-RS2)
done

lemma *AIL1*[rule-format,simp]: *all-in-list* $p \text{ } l \longrightarrow \text{all-in-list } (\text{removeShadowRules1 } p) \text{ } l$
by (induct-tac p, simp-all)

lemma *noneMTsubset*[rule-format]: *noneMT* $a \longrightarrow \text{set } b \subseteq \text{set } a \longrightarrow \text{noneMT } b$
by (induct b, auto simp: notMTnMT)

lemma *noneMTRS2*: *noneMT* $p \implies \text{noneMT } (\text{removeShadowRules2 } p)$
by (auto simp: noneMTsubset RS2Set)

lemma *CconcNone*: $[\text{dom } (C \text{ } a) = \{\}; p \neq []] \implies C (\text{list2policy } (a \# p)) \text{ } x = C$
 $(\text{list2policy } p) \text{ } x$

```

apply (case-tac  $p = []$ , simp-all)
apply (case-tac  $x \in \text{dom } (C \text{ (list2policy}(p)))$ )
apply (metis Cdom2 list2policyconc mem-def)
apply (metis C.simps(4) Cauxb domIff inSet-not-MT list2policyconc set-empty2)
done

```

```

lemma notMTpolicyimpnotMT[simp]: notMTpolicy  $p \implies p \neq []$ 
by auto

```

```

lemma SR3nMT[rule-format]:  $\neg \text{notMTpolicy } p \longrightarrow \text{removeShadowRules3 } p = []$ 
by (induct  $p$ , simp-all)

```

```

lemma wp1ID: wellformed-policy1-strong (insertDeny (removeShadowRules1  $p$ ))
by (induct  $p$ , simp-all, case-tac  $a$ , simp-all)

```

```

lemma noneMTrd[rule-format]: noneMT  $p \longrightarrow \text{noneMT } (\text{remdups } p)$ 
by (induct  $p$ , simp-all)

```

```

lemma DARS3[rule-format]: DenyAll  $\notin \text{set } p \longrightarrow \text{DenyAll} \notin \text{set } (\text{removeShadowRules3 } p)$ 
by (induct  $p$ , simp-all)

```

```

lemma DAnMT: dom (C DenyAll)  $\neq \{\}$ 
by (simp add: dom-def C.simps PolicyCombinators.PolicyCombinators)

```

```

lemma wp1n-RS3[rule-format,simp]: wellformed-policy1-strong  $p \longrightarrow \text{wellformed-policy1-strong } (\text{removeShadowRules3 } p)$ 
apply (induct  $p$ , simp-all)
apply (rule conjI | rule impI | simp)+
apply (metis DAAux inSet-not-MT set-empty2)
apply (rule conjI | rule impI | simp)+
apply (metis DARS3)
done

```

```

lemma dRD[simp]: distinct (remdups  $p$ )
by simp

```

```

lemma AILrd[rule-format,simp]: all-in-list  $p \ l \longrightarrow \text{all-in-list } (\text{remdups } p) \ l$ 
by (induct  $p$ , simp-all)

```

```

lemma AILRS3[rule-format,simp]: all-in-list  $p \ l \longrightarrow \text{all-in-list } (\text{removeShadowRules3 } p) \ l$ 
by (induct  $p$ , simp-all)

```

```

lemma AILiD[rule-format,simp]: all-in-list  $p \ l \longrightarrow \text{all-in-list } (\text{insertDeny } p) \ l$ 
apply (induct  $p$ , simp-all)
apply (rule impI, simp)
apply (case-tac  $a$ , simp-all)
done

```



```

lemma SCrd[rule-format,simp]: singleCombinators p  $\longrightarrow$  singleCombinators(remdups
p)
apply (induct p, simp-all)
apply (case-tac a, simp-all)
done

```

```

lemma SCRD[rule-format,simp]: singleCombinators p  $\longrightarrow$  singleCombinators(insertDeny
p)
apply (induct p, simp-all)
apply (case-tac a, simp-all)
done

```

```

lemma SCRS3[rule-format,simp]: singleCombinators p  $\longrightarrow$  singleCombinators(removeShadowRules3
p)
apply (induct p, simp-all)
apply (case-tac a, simp-all)
done

```

```

lemma WP1rd[rule-format,simp]: wellformed-policy1-strong p  $\longrightarrow$  wellformed-policy1-strong
(remdups p)
apply (induct p, simp-all)
done

```

```

lemma ANDrd[rule-format,simp]: singleCombinators p  $\longrightarrow$  allNetsDistinct p  $\longrightarrow$ 
allNetsDistinct (remdups p)
apply (rule impI)+
apply (rule-tac b = p in aNDSubset)
apply simp-all
done

```

```

lemma RS3subset: set (removeShadowRules3 p)  $\subseteq$  set p
by (induct p, auto)

```

```

lemma ANDRS3[simp]:  $\llbracket$ singleCombinators p; allNetsDistinct p $\rrbracket \implies$  allNetsDis-
tinct (removeShadowRules3 p)
apply (rule-tac b = p in aNDSubset)
apply simp-all
apply (rule RS3subset)
done

```

```

lemma ANDiD[rule-format,simp]: allNetsDistinct p  $\longrightarrow$  allNetsDistinct (insertDeny
p)
apply (induct p, simp-all)
apply (simp add: allNetsDistinct-def)
apply (auto intro: ANDCone)
apply (case-tac a)
apply (simp-all add: allNetsDistinct-def)
done

```

lemma *nlpaux*: $x \notin \text{dom } (C \ b) \implies C \ (a \oplus b) \ x = C \ a \ x$
by (*simp add: C.simps Cauxb*)

lemma *notindom*[*rule-format*]: $a \in \text{set } p \longrightarrow x \notin \text{dom } (C \ (\text{list2policy } p)) \longrightarrow x \notin \text{dom } (C \ a)$
apply (*induct p*)
apply *simp-all*
apply (*rule conjI | rule impI*) +
apply (*metis CConcStartA*)
apply (*rule impI*) +
apply *simp*
apply (*metis CConcStartA Cdom2 domIff insert-absorb list.simps(1) list2policyconc set.simps(2) set-empty set-empty2*)
done

lemma *C-eq-rd*[*rule-format*]: $p \neq [] \implies C \ (\text{list2policy } (\text{remdups } p)) = C \ (\text{list2policy } p)$
apply (*rule ext*)
proof (*induct p*)
 case Nil thus ?case by simp next
 case (Cons y ys) thus ?case
 proof (*cases ys = []*)
 case True thus ?thesis by simp next
 case False thus ?thesis using prems apply simp
 apply (*rule conjI, rule impI*)
 apply (*cases x \in \text{dom } (C \ (\text{list2policy } ys))*)
 apply (*metis Cdom2 False list2policyconc mem-def*)
 apply (*metis False domIff list2policyconc mem-def nlpaux notindom*)
 apply (*rule impI*)
 apply (*cases x \in \text{dom } (C \ (\text{list2policy } ys))*)
 apply (*subgoal-tac x \in \text{dom } (C \ (\text{list2policy } (\text{remdups } ys)))*)
 apply (*metis Cdom2 False list2policyconc mem-def remdups-eq-nil-iff*)
 apply (*metis domIff*)
 apply (*subgoal-tac x \notin \text{dom } (C \ (\text{list2policy } (\text{remdups } ys)))*)
 apply (*metis False list2policyconc nlpaux remdups-eq-nil-iff*)
 apply (*metis domIff*)
 done
 qed
 qed

lemma *RS3nMT*[*rule-format*]: $\text{notMTpolicy } p \longrightarrow \text{notMTpolicy } (\text{removeShadowRules3 } p)$
by (*induct p, simp-all*)

lemma *nMT-domMT*: $\llbracket \neg \text{notMTpolicy } p; p \neq [] \rrbracket \implies r \notin \text{dom } (C \ (\text{list2policy } p))$
proof (*induct p*)
 case Nil thus ?case by simp next

```

case (Cons x xs) thus ?case apply simp
  apply (simp split: if-splits)
  apply (cases xs = [])
  apply simp-all
  apply (metis CconcNone domIff set-empty2)
done
qed

lemma C-eq-RS3-aux[rule-format]: notMTpolicy p  $\implies$  C (list2policy p) x = C
(list2policy (removeShadowRules3 p)) x
proof (induct p)
case Nil thus ?case by simp next
case (Cons y ys) thus ?case
  proof (cases notMTpolicy ys)
    case True thus ?thesis using prems apply simp
      apply (rule conjI, rule impI, simp)
      apply (metis CconcNone True notMTpolicyimpnotMT set-empty2)
      apply (rule impI, simp)
      apply (cases x  $\in$  dom (C (list2policy ys)))
      apply (subgoal-tac x  $\in$  dom (C (list2policy (removeShadowRules3 ys))))
      apply (metis Cdom2 RS3nMT True list2policyconc mem-def notMTpolicy-
impnotMT)
      apply (simp add: domIff)
      apply (subgoal-tac x  $\notin$  dom (C (list2policy (removeShadowRules3 ys))))
      apply (metis RS3nMT True list2policyconc nlpaur notMTpolicyimpnotMT)
      apply (metis domIff)
    done
  next
case False thus ?thesis using prems
  proof (cases ys = [])
    case True thus ?thesis using prems by (simp) (rule impI, simp) next
    case False thus ?thesis using prems apply (simp)
      apply (rule conjI | rule impI | simp) +
      apply (subgoal-tac removeShadowRules3 ys = [])
      apply simp-all
      apply (subgoal-tac x  $\notin$  dom (C (list2policy ys)))
      apply (metis False list2policyconc nlpaur)
      apply (erule nMT-domMT, simp-all)
      by (metis SR3nMT)
    qed
  qed
qed

```

lemma *mr-iD*[*rule-format*]: *wellformed-policy1-strong* *p* \longrightarrow *matching-rule* *x* *p* =
matching-rule *x* (*insertDeny* *p*)
by (*induct* *p*, *simp-all*)

lemma *WP1iD*[*rule-format*, *simp*]: *wellformed-policy1-strong* *p* \longrightarrow *wellformed-policy1-strong*
(*insertDeny* *p*)

by (*induct p, simp-all*)

lemma *C-eq-id: wellformed-policy1-strong* $p \implies C(\text{list2policy } (\text{insertDeny } p)) = C(\text{list2policy } p)$
apply (*rule ext*)
apply (*rule C-eq-if-mr-eq*)
apply *simp-all*
apply (*erule mr-iD*)
done

lemma *C-eq-RS3: notMTPolicy* $p \implies C(\text{list2policy } (\text{removeShadowRules3 } p)) = C(\text{list2policy } p)$
apply (*rule ext*)
by (*erule C-eq-RS3-aux[symmetric]*)

lemma *NMPcharn[rule-format]:* $a \in \text{set } p \longrightarrow \text{dom } (C \ a) \neq \{\} \longrightarrow \text{notMTPolicy } p$
by (*induct p, simp-all*)

lemma *NMPrd[rule-format]: notMTPolicy* $p \longrightarrow \text{notMTPolicy } (\text{remdups } p)$
apply (*induct p, simp-all*)
by (*auto simp: NMPcharn*)

lemma *NMPRS3[rule-format]: notMTPolicy* $p \longrightarrow \text{notMTPolicy } (\text{removeShadowRules3 } p)$
by (*induct p, simp-all*)

lemma *DAiniD: DenyAll* $\in \text{set } (\text{insertDeny } p)$
by (*induct p, simp-all, case-tac a, simp-all*)

lemma *NMPDA[rule-format]: DenyAll* $\in \text{set } p \longrightarrow \text{notMTPolicy } p$
by (*induct p, simp-all add: DAnMT*)

lemma *NMPiD[rule-format]: notMTPolicy* $(\text{insertDeny } p)$
apply (*insert DAiniD [of p]*)
apply (*erule NMPDA*)
done

lemma *p2lNmt: policy2list* $p \neq []$
by (*rule policy2list.induct, simp-all*)

lemma *list2policy2list[rule-format]:* $C(\text{list2policy}(\text{policy2list } p)) = (C \ p)$
apply (*rule ext*)
apply (*induct-tac p, simp-all*)
apply (*case-tac x* $\in \text{dom } (C \ (\text{Combinators2}))$)
apply (*metis Cdom2 CeqEnd domIff p2lNmt*)
apply (*metis CeqStart domIff p2lNmt nlpaux*)
done

lemma *AIL2*[*rule-format, simp*]: *all-in-list* *p l* \longrightarrow *all-in-list* (*removeShadowRules2* *p*) *l*

by (*induct-tac* *p*, *simp-all*, *case-tac* *a*, *simp-all*)

lemmas *C-eq-Lemmas* = *noneMTRS2 noneMTrd dRD SC2 SCrd SCRS3 SCRiD SC1 aux0 wp1n-RS2 WP1rd WP2RS2 wp1n-RS3 wp1ID NMPiD wp1alternative-RS1 p2lNmt list2policy2list wellformed-policy3-charn waux2 wp1-eq*

lemmas *C-eq-subst-Lemmas* = *C-eq-sorted C-eq-RS2 C-eq-rd C-eq-RS3 C-eq-id*

lemma *C-eq-All-untilSorted*:

$\llbracket \text{DenyAll} \in \text{set } (\text{policy2list } p); \text{all-in-list } (\text{policy2list } p) \text{ } l; \text{allNetsDistinct } (\text{policy2list } p) \rrbracket \implies$

$C(\text{list2policy } (\text{sort } (\text{removeShadowRules2 } (\text{remdups } (\text{removeShadowRules3 } (\text{insertDeny } (\text{removeShadowRules1 } (\text{policy2list } p)))))) \text{ } l)) = C \text{ } p$

apply (*subst* *C-eq-sorted*)

apply (*simp-all* *add: C-eq-Lemmas*)

apply (*subst* *C-eq-RS2*)

apply (*simp-all* *add: C-eq-Lemmas*)

apply (*subst* *C-eq-rd*)

apply (*simp-all* *add: C-eq-Lemmas*)

apply (*subst* *C-eq-RS3*)

apply (*simp-all* *add: C-eq-Lemmas*)

apply (*subst* *C-eq-id*)

apply (*simp-all* *add: C-eq-Lemmas*)

done

lemma *C-eq-All-untilSorted-withSimps*:

$\llbracket \text{DenyAll} \in \text{set } (\text{policy2list } p); \text{all-in-list } (\text{policy2list } p) \text{ } l; \text{allNetsDistinct } (\text{policy2list } p) \rrbracket \implies$

$C(\text{list2policy } (\text{sort } (\text{removeShadowRules2 } (\text{remdups } (\text{removeShadowRules3 } (\text{insertDeny } (\text{removeShadowRules1 } (\text{policy2list } p)))))) \text{ } l)) = C \text{ } p$

by (*simp-all* *add: C-eq-Lemmas C-eq-subst-Lemmas*)

lemma *InDomConc*[*rule-format*]: $p \neq [] \longrightarrow x \in \text{dom } (C \text{ } (\text{list2policy } (p))) \longrightarrow x \in \text{dom } (C \text{ } (\text{list2policy } (a \# p)))$

apply (*induct* *p*)

apply *simp-all*

apply (*case-tac* $p = []$)

apply (*simp-all* *add: dom-def C.simps*)

done

lemma *not-in-member*[*rule-format*]: $\text{member } a \text{ } b \longrightarrow x \notin \text{dom } (C \text{ } b) \longrightarrow x \notin \text{dom } (C \text{ } a)$

apply (*induct* *b*)

apply (*simp-all* *add: dom-def C.simps*)

done

lemma *subnetAux*: $D \cap A \neq \{\} \implies A \subseteq B \implies D \cap B \neq \{\}$
apply *auto*
done

lemma *soadisj*: $\llbracket x \in \text{subnetsOfAdr } a; y \in \text{subnetsOfAdr } a \rrbracket \implies \neg \text{netsDistinct } x$
 y
by (*simp add: subnetsOfAdr-def netsDistinct-def, auto simp: PLemmas*)

lemma *not-member*: $\neg \text{member } a (x \oplus y) \implies \neg \text{member } a x$
apply *auto*
done

lemma *src-in-sdnets*[*rule-format*]: $\neg \text{member } \text{DenyAll } x \longrightarrow p \in \text{dom } (C x) \longrightarrow$
 $\text{subnetsOfAdr } (\text{src } p) \cap (\text{fst-set } (\text{sdnets } x)) \neq \{\}$
apply (*induct rule: Combinators.induct*)
apply *simp*
apply (*simp add: fst-set-def subnetsOfAdr-def PLemmas*)
apply (*simp add: fst-set-def subnetsOfAdr-def PLemmas*)
apply (*rule impI*)
apply (*simp add: fst-set-def*)
apply (*case-tac p \in dom (C Combinators2)*)
apply *simp-all*
apply (*rule subnetAux*)
apply *assumption*
apply (*auto simp: PLemmas*)
done

lemma *dest-in-sdnets*[*rule-format*]: $\neg \text{member } \text{DenyAll } x \longrightarrow p \in \text{dom } (C x) \longrightarrow$
 $\text{subnetsOfAdr } (\text{dest } p) \cap (\text{snd-set } (\text{sdnets } x)) \neq \{\}$
apply (*induct rule: Combinators.induct*)
apply *simp*
apply (*simp add: snd-set-def subnetsOfAdr-def PLemmas*)
apply (*simp add: snd-set-def subnetsOfAdr-def PLemmas*)
apply (*rule impI*)
apply (*simp add: snd-set-def*)
apply (*case-tac p \in dom (C Combinators2)*)
apply *simp-all*
apply (*rule subnetAux*)
apply *assumption*
apply (*auto simp: PLemmas*)
done

lemma *soadisj2*: $(\forall a x y. x \in \text{subnetsOfAdr } a \wedge y \in \text{subnetsOfAdr } a \longrightarrow \neg$
 $\text{netsDistinct } x y)$
by (*simp add: subnetsOfAdr-def netsDistinct-def, auto simp: PLemmas*)

lemma *ndFalse1*: $\llbracket (\forall a b c d. (a,b) \in A \wedge (c,d) \in B \longrightarrow \text{netsDistinct } a c);$

```

       $\exists (a, b) \in A. a \in \text{subnetsOfAdr } D;$ 
       $\exists (a, b) \in B. a \in \text{subnetsOfAdr } D]$ 
     $\implies \text{False}$ 
  apply (auto simp: soadisj)
  apply (insert soadisj2)
  apply (rotate-tac -1, drule-tac x = D in spec)
  apply (rotate-tac -1, drule-tac x = a in spec)
  apply (rotate-tac -1, drule-tac x = aa in spec)
  by auto

```

```

lemma ndFalse2:  $\llbracket (\forall a\ b\ c\ d. (a,b) \in A \wedge (c,d) \in B \longrightarrow \text{netsDistinct } b\ d);$ 
       $\exists (a, b) \in A. b \in \text{subnetsOfAdr } D;$ 
       $\exists (a, b) \in B. b \in \text{subnetsOfAdr } D \rrbracket$ 
     $\implies \text{False}$ 
  apply (auto simp: soadisj)
  apply (insert soadisj2)
  apply (rotate-tac -1, drule-tac x = D in spec)
  apply (rotate-tac -1, drule-tac x = b in spec)
  apply (rotate-tac -1, drule-tac x = ba in spec)
  apply simp
  apply auto
  done

```

```

lemma tndFalse:  $\llbracket (\forall a\ b\ c\ d. (a,b) \in A \wedge (c,d) \in B \longrightarrow \text{twoNetsDistinct } a\ b\ c\ d);$ 
       $\exists (a, b) \in A. a \in \text{subnetsOfAdr } (D::('a::\text{adr})) \wedge b \in \text{subnetsOfAdr } (F::'a);$ 
       $\exists (a, b) \in B. a \in \text{subnetsOfAdr } D \wedge b \in \text{subnetsOfAdr } F \rrbracket$ 
     $\implies \text{False}$ 
  apply (simp add: twoNetsDistinct-def)
  apply (auto simp: ndFalse1 ndFalse2)
  apply (metis soadisj)
  done

```

```

lemma sdnets-in-subnets[rule-format]:  $p \in \text{dom } (C\ x) \longrightarrow \neg \text{member DenyAll } x$ 
 $\longrightarrow (\exists (a,b) \in \text{sdnets } x. a \in \text{subnetsOfAdr } (\text{src } p) \wedge b \in \text{subnetsOfAdr } (\text{dest } p))$ 
  apply (rule Combinators.induct)
  apply simp-all
  apply (simp add: PLemmas subnetsOfAdr-def)
  apply (simp add: PLemmas subnetsOfAdr-def)
  apply (rule impI)+
  apply simp
  apply (case-tac p  $\in \text{dom } (C\ (\text{Combinators2}))$ )
  apply simp-all
  apply (auto simp: PLemmas subnetsOfAdr-def)
  done

```

```

lemma disjSD-no-p-in-both[rule-format]:
   $\llbracket \text{disjSD-2 } x\ y; \neg \text{member DenyAll } x; \neg \text{member DenyAll } y;$ 
     $p \in \text{dom } (C\ x); p \in \text{dom } (C\ y) \rrbracket \implies \text{False}$ 
  apply (rule-tac A = sdnets x and B = sdnets y and D = src p and F = dest p

```

```

in tndFalse)
by (auto simp: dest-in-sdnets src-in-sdnets sdnets-in-subnets disjSD-2-def)

lemma list2policy-eq:  $zs \neq [] \implies C \text{ (list2policy } (x \oplus y \# z)) \text{ } p = C \text{ (} x \oplus \text{ list2policy } (y \# z)) \text{ } p$ 
apply (metis C.simps(4) CConcStartaux C-eq-None C-eq-RS3 C-eq-if-mr-eq C-eq-rd
Cdom2 ConcAssoc domIff in-set-conv-decomp l2p-aux2 list.simps(1) list2policy.simps(2)
list2policyconc map-add-None mem-def mrMTNone mrconcNone mreq-end3 mreq-endNone
nlpaux not-Cons-self remdups.simps(2) removeShadowRules3.simps(2) self-append-conv2)
done

lemma sepnMT[rule-format]:  $p \neq [] \longrightarrow (\text{separate } p) \neq []$ 
apply (rule separate.induct) back back back
by simp-all

lemma sepDA[rule-format]:  $\text{DenyAll} \notin \text{set } p \longrightarrow \text{DenyAll} \notin \text{set } (\text{separate } p)$ 
apply (rule separate.induct) back
apply simp-all
done

lemma dom-sep[rule-format]:  $x \in \text{dom } (C \text{ (list2policy } p)) \longrightarrow x \in \text{dom } (C \text{ (list2policy}(\text{separate } p)))$ 
apply (rule separate.induct) back
apply simp-all
apply (rule conjI)
apply (rule impI)+
apply simp
apply (thin-tac False  $\implies$  ?S)
apply (drule mp)
apply (case-tac  $x \in \text{dom } (C \text{ (DenyAllFromTo } v \text{ } va)))$ 
apply (metis CConcStartA domIff eq-Nil-appendI in-set-conv-decomp l2p-aux2 list2policyconc
mem-def not-Cons-self notindom)
apply (subgoal-tac  $x \in \text{dom } (C \text{ (list2policy } (y \# z)))$ )
apply (metis CConcStartA Cdom2 InDomConc domIff l2p-aux2 list2policyconc
nlpaux)
apply (subgoal-tac  $x \in \text{dom } (C \text{ (list2policy } ((\text{DenyAllFromTo } v \text{ } va) \# y \# z)))$ )
apply (simp add: dom-def C.simps)
apply simp
apply simp
apply (rule impI)+
apply simp
apply (thin-tac False  $\implies$  ?S)
apply (case-tac  $x \in \text{dom } (C \text{ (DenyAllFromTo } v \text{ } va)))$ 
apply simp-all
apply (subgoal-tac  $x \in \text{dom } (C \text{ (list2policy } (y \# z)))$ )
apply (metis InDomConc sepnMT list.simps(2))
apply (subgoal-tac  $x \in \text{dom } (C \text{ (list2policy } ((\text{DenyAllFromTo } v \text{ } va) \# y \# z)))$ )
apply (simp add: dom-def C.simps)
apply simp

```



```

apply (rule impI | rule conjI)+
apply simp
apply (case-tac  $x \in \text{dom } (C \text{ (AllowPortFromTo } v \text{ va } vb)))$ )
apply (metis CConcStartA domIff eq-Nil-appendI in-set-conv-decomp l2p-aux2 list2policyconc
mem-def not-Cons-self notindom)
apply (subgoal-tac  $x \in \text{dom } (C \text{ (list2policy } (y \#z)))$ )
apply simp
apply (metis CConcStartA Cdom2 InDomConc domIff l2p-aux2 list2policyconc
nlpaux)
apply (simp add: dom-def C.simps)
apply (rule impI)+
apply simp
apply (case-tac  $x \in \text{dom } (C \text{ (AllowPortFromTo } v \text{ va } vb)))$ )
apply (metis CConcStartA)
apply (metis CConcStartA InDomConc domIff list.simps(1) list2policy.simps(2)
nlpaux sepnMT)
apply (rule conjI | rule impI)+
apply simp
apply (thin-tac  $\text{False} \implies ?S$ )
apply (drule mp)
apply (case-tac  $x \in \text{dom } (C \text{ ((} v \oplus \text{ va})))$ )
apply (metis C.simps(4) CConcStartA ConcAssoc domIff eq-Nil-appendI in-set-conv-decomp
list2policy2list list2policyconc mem-def notindom p2lNmt)
defer 1
apply simp-all
apply (rule impI)+
apply simp
apply (thin-tac  $\text{False} \implies ?S$ )
apply (case-tac  $x \in \text{dom } (C \text{ ((} v \oplus \text{ va})))$ )
apply (metis CConcStartA)
apply (drule mp)
apply (simp add: C.simps dom-def)
apply (metis InDomConc list.simps(1) mem-def sepnMT)
apply (subgoal-tac  $x \in \text{dom } (C \text{ (list2policy } (y\#z)))$ )
apply (case-tac  $x \in \text{dom } (C \text{ } y)$ )
apply simp-all
apply (metis CConcStartA Cdom2 ConcAssoc domIff mem-def)
apply (metis InDomConc domIff l2p-aux2 list2policyconc nlpaux)
apply (case-tac  $x \in \text{dom } (C \text{ } y)$ )
apply simp-all
apply (metis InDomConc domIff l2p-aux2 list2policyconc nlpaux)
done

lemma domdConcStart[rule-format]:  $x \in \text{dom } (C \text{ (list2policy } (a\#b))) \longrightarrow$ 
 $x \notin \text{dom } (C \text{ (list2policy } b))$ 
 $\longrightarrow x \in \text{dom } (C \text{ } a)$ 
apply (induct b, simp-all)
apply (auto simp: PLemmas)
done

```

```

lemma sep-dom2-aux:  $\llbracket x \in \text{dom } (C \text{ (list2policy } (a \oplus y \# z))) \rrbracket$ 
 $\implies x \in \text{dom } (C \text{ (} a \oplus \text{list2policy } (y \# z)))$ 
by (metis CConcStartA InDomConc domIff domdConcStart l2p-aux2 list.simps(1)
list2policy.simps(2) nlpaux)

lemma sep-dom2-aux2:
 $\llbracket (x \in \text{dom } (C \text{ (list2policy } (\text{separate } (y \# z)))) \longrightarrow x \in \text{dom } (C \text{ (list2policy } (y \# z))) \rrbracket$ ;
 $x \in \text{dom } (C \text{ (list2policy } (a \# \text{separate } (y \# z)))) \rrbracket$ 
 $\implies x \in \text{dom } (C \text{ (list2policy } (a \oplus y \# z)))$ 
by (metis CConcStartA Cdom2 InDomConc domIff l2p-aux2 list2policyconc mem-def
nlpaux)

lemma sep-dom2[rule-format]:
 $x \in \text{dom } (C \text{ (list2policy } (\text{separate } p))) \longrightarrow x \in \text{dom } (C \text{ (list2policy } (p)))$ 
apply (rule separate.induct)
by (simp-all add: sep-dom2-aux sep-dom2-aux2)

lemma sepDom:  $\text{dom } (C \text{ (list2policy } p)) = \text{dom } (C \text{ (list2policy } (\text{separate } p)))$ 
apply (rule equalityI)
by (rule subsetI, (erule dom-sep|erule sep-dom2))+

lemma C-eq-s-ext[rule-format]:  $p \neq [] \longrightarrow C \text{ (list2policy } (\text{separate } p)) \ a \ = \ C$ 
 $\text{ (list2policy } p) \ a$ 
proof (induct rule: separate.induct)
case goal1 thus ?case
apply simp
apply (cases x = [])
apply (metis l2p-aux2 separate.simps(5))
apply simp
apply (cases a  $\in$  dom (C (list2policy x)))
apply (subgoal-tac a  $\in$  dom (C (list2policy (separate x))))
apply (metis Cdom2 list2policyconc mem-def sepDom sepnMT)
apply (metis sepDom)
apply (subgoal-tac a  $\notin$  dom (C (list2policy (separate x))))
apply (subst list2policyconc)
apply (simp add: sepnMT)
apply (subst list2policyconc)
apply (simp add: sepnMT)
apply (metis nlpaux sepDom)
apply (metis sepDom)
done
next
case goal2 thus ?case
apply simp
apply (cases z = [])
apply simp-all
apply (rule conjI|rule impI|simp)+

```

```

    apply (subst list2policyconc)
    apply (metis not-Cons-self sepnMT)
    apply (metis C.simps(4) CConcStartaux Cdom2 domIff)
    apply (rule conjI|rule impI|simp)+
    apply (erule list2policy-eq)
    apply (rule impI, simp)
    apply (subst list2policyconc)
    apply (metis list.simps(1) sepnMT)
    apply (metis C.simps(4) CConcStartaux Cdom2 domIff list2policy.simps(2)
sepDom)
  done
next
case goal3 thus ?case
apply simp
  apply (cases z = [])
  apply simp-all
  apply (rule conjI|rule impI|simp)+
  apply (subst list2policyconc)
  apply (metis not-Cons-self sepnMT)
  apply (metis C.simps(4) CConcStartaux Cdom2 domIff)
  apply (rule conjI|rule impI|simp)+
  apply (erule list2policy-eq)
  apply (rule impI, simp)
  apply (subst list2policyconc)
  apply (metis list.simps(1) sepnMT)
  apply (metis C.simps(4) CConcStartaux Cdom2 domIff list2policy.simps(2)
sepDom)
  done
next
case goal4 thus ?case
apply simp
  apply (cases z = [])
  apply simp-all
  apply (rule conjI|rule impI|simp)+
  apply (subst list2policyconc)
  apply (metis not-Cons-self sepnMT)
  apply (metis C.simps(4) CConcStartaux Cdom2 domIff)
  apply (rule conjI|rule impI|simp)+
  apply (erule list2policy-eq)
  apply (rule impI, simp)
  apply (subst list2policyconc)
  apply (metis list.simps(1) sepnMT)
  apply (metis C.simps(4) CConcStartaux Cdom2 domIff list2policy.simps(2)
sepDom)
  done
next
case goal5 thus ?case by simp next
case goal6 thus ?case by simp next
case goal7 thus ?case by simp next

```

```

    case goal8 thus ?case by simp next
qed

lemma C-eq-s:  $p \neq [] \implies C (list2policy (separate p)) = C (list2policy p)$ 
apply (rule ext)
apply (rule C-eq-s-ext)
apply simp
done

lemma setnMT:  $set\ a = set\ b \implies a \neq [] \implies b \neq []$ 
by auto

lemma sortnMT:  $p \neq [] \implies sort\ p\ l \neq []$ 
by (metis set-sort setnMT)

lemmas C-eq-Lemmas-sep = C-eq-Lemmas sortnMT RS2-NMT notMTPolicyimp-
notMT NMPrd NMPRS3 NMPiD

lemma C-eq-until-separated:  $\llbracket DenyAll \in set\ (policy2list\ p); all-in-list\ (policy2list\ p)\ l; allNetsDistinct\ (policy2list\ p) \rrbracket$ 
 $\implies C (list2policy$ 
     $(separate (sort (removeShadowRules2 (remdups (removeShadowRules3$ 
     $(insertDeny (removeShadowRules1 (policy2list\ p))))))\ l))) =$ 
     $C\ p$ 
apply (subst C-eq-s)
apply (simp-all add: C-eq-Lemmas-sep)
apply (rule C-eq-All-untilSorted)
apply simp-all
done

lemma idNMT[rule-format]:  $p \neq [] \longrightarrow insertDenies\ p \neq []$ 
apply (induct p, simp-all)
apply (case-tac a, simp-all)
done

lemma domID[rule-format]:  $p \neq [] \wedge x \in dom(C(list2policy\ p)) \longrightarrow x \in dom$ 
 $(C(list2policy(insertDenies\ p)))$ 
proof (induct p)
  case Nil then show ?case by simp
next
  case (Cons a p) then show ?case
    proof (cases p=[])
      case goal1 then show ?case
        apply (simp) apply (rule impI)
        apply (cases a, simp-all)
        apply (simp add: C.simps dom-def)+
        apply (metis domIff mem-def Cdom2 ConcAssoc)
        done
    
```

```

next
  case goal2 then show ?case
  proof(cases x ∈ dom(C(list2policy p)))
    case goal1 then show ?case
    apply simp apply (rule impI)
    apply (cases a, simp-all)
    apply (metis InDomConc goal1(2) idNMT)
    apply (rule InDomConc, simp-all add: idNMT)+
    done
  next
  case goal2 then show ?case
  apply simp apply (rule impI)
  proof(cases x ∈ dom (C (list2policy (insertDenies p))))
    case goal1 then show ?case
    proof(induct a)
      case DenyAll then show ?case by simp
    next
      case (DenyAllFromTo src dest) then show ?case
      apply simp by( rule InDomConc, simp add: idNMT)
    next
      case (AllowPortFromTo src dest port) then show ?case
      apply simp by(rule InDomConc, simp add: idNMT)
    next
      case (Conc - -) then show ?case
      apply simp by(rule InDomConc, simp add: idNMT)
    qed
  next
  case goal2 then show ?case
  proof (induct a)
    case DenyAll then show ?case by simp
  next
    case (DenyAllFromTo src dest) then show ?case
    by(simp,metis domIff CConcStartA list2policyconc nlpaux
Cdom2)
  next
    case (AllowPortFromTo src dest port) then show ?case
    by(simp,metis domIff CConcStartA list2policyconc nlpaux
Cdom2)
  next
    case (Conc - -) then show ?case
    by(simp,metis domIff CConcStartA list2policyconc nlpaux
Cdom2)
  qed
qed
qed
qed
qed

```

```

lemma DA-is-deny:  $x \in \text{dom } (C \text{ (DenyAllFromTo } a \ b \oplus \text{ DenyAllFromTo } b \ a \oplus \text{ DenyAllFromTo } a \ b))$ 
 $\implies C \text{ (DenyAllFromTo } a \ b \oplus \text{ DenyAllFromTo } b \ a \oplus \text{ DenyAllFromTo } a \ b) \ x$ 
 $= \text{Some } (\text{deny } x)$ 
apply (case-tac  $x \in \text{dom } (C \text{ (DenyAllFromTo } a \ b))$ )
apply (simp-all add: PLemmas)
apply (simp-all split: if-splits)
done

lemma iDdomAux[rule-format]:  $p \neq [] \longrightarrow x \notin \text{dom } (C \text{ (list2policy } p)) \longrightarrow x \in$ 
 $\text{dom } (C \text{ (list2policy (insertDenies } p))) \longrightarrow$ 
 $C \text{ (list2policy (insertDenies } p)) \ x = \text{Some } (\text{deny } x)$ 

proof (induct  $p$ )
  case Nil thus ?case by simp
  next
  case (Cons  $y \ ys$ ) thus ?case
    proof (cases  $y$ )
      case DenyAll then show ?thesis by simp next
      case (DenyAllFromTo  $a \ b$ ) then show ?thesis using prems
        apply simp
        apply (rule impI)+
        proof (cases  $ys = []$ )
          case goal1 then show ?case by (simp add: DA-is-deny) next
          case goal2 then show ?case
            apply simp
            apply (drule mp)
            apply (metis DenyAllFromTo InDomConc goal2(3) goal2(5))
            apply (cases  $x \in \text{dom } (C \text{ (list2policy (insertDenies } ys)))$ )
            apply simp-all
            apply (metis Cdom2 DenyAllFromTo goal2(5) idNMT list2policyconc)
            apply (subgoal-tac  $C \text{ (list2policy (DenyAllFromTo } a \ b \oplus \text{ DenyAllFromTo } b \ a \oplus \text{ DenyAllFromTo } a \ b \# \text{insertDenies } ys)) \ x =$ 
 $C \text{ ((DenyAllFromTo } a \ b \oplus \text{ DenyAllFromTo } b \ a \oplus$ 
 $\text{DenyAllFromTo } a \ b)) \ x$ )
            apply simp
            apply (rule DA-is-deny)
            apply (metis DenyAllFromTo domdConcStart goal2(4))
            apply (metis DenyAllFromTo l2p-aux2 list2policyconc nlpaux)
            done
          qed
        next
        case (AllowPortFromTo  $a \ b \ c$ ) then show ?thesis using prems
          proof (cases  $ys = []$ )
            case goal1 then show ?case
              apply simp
              apply (rule impI)+
              apply (subgoal-tac  $x \in \text{dom } (C \text{ (DenyAllFromTo } a \ b \oplus \text{ DenyAllFromTo } b \ a))$ )
              apply (simp-all add: PLemmas)

```

```

    apply (simp split: if-splits) apply auto
  done next
case goal2 then show ?case
  apply simp
  apply (rule impI)+
  apply (drule mp)
  apply (metis AllowPortFromTo InDomConc goal2(4))
  apply (cases x ∈ dom (C (list2policy (insertDenies ys))))
  apply simp-all
  apply (metis AllowPortFromTo Cdom2 goal2(4) idNMT list2policyconc)
  apply (subgoal-tac C (list2policy (DenyAllFromTo a b ⊕ DenyAllFromTo
b a ⊕ AllowPortFromTo a b c#insertDenies ys)) x =
      C ((DenyAllFromTo a b ⊕ DenyAllFromTo b a)) x )
    apply simp
    defer 1
  apply (metis AllowPortFromTo CConcStartA ConcAssoc goal2(4) idNMT
list2policyconc nlpaux)
    apply (simp add: PLemmas, simp split: if-splits) apply auto
  done
qed
next
case (Conc a b) then show ?thesis
proof (cases ys = [])
  case goal1 then show ?case
    apply simp
    apply (rule impI)+
    apply (subgoal-tac x ∈ dom (C (DenyAllFromTo (first-srcNet a)
(first-destNet a) ⊕ DenyAllFromTo (first-destNet a) (first-srcNet a))))
    apply (simp-all add: PLemmas)
    apply (simp split: if-splits) apply auto
    done next
  case goal2 then show ?case
    apply simp
    apply (rule impI)+
    apply (cases x ∈ dom (C (list2policy (insertDenies ys))))
    apply (metis Cdom2 Conc Cons InDomConc goal2(2) idNMT list2policyconc)
    apply (subgoal-tac C (list2policy (DenyAllFromTo (first-srcNet a)
(first-destNet a) ⊕ DenyAllFromTo (first-destNet a) (first-srcNet a) ⊕ a ⊕ b#insertDenies
ys)) x =
      C ((DenyAllFromTo (first-srcNet a) (first-destNet a) ⊕ DenyAllFromTo (first-destNet
a) (first-srcNet a) ⊕ a ⊕ b)) x )
      apply simp
      defer 1
    apply (metis Conc l2p-aux2 list2policyconc nlpaux)
    apply (subgoal-tac C ((DenyAllFromTo (first-srcNet a) (first-destNet a)
⊕ DenyAllFromTo (first-destNet a) (first-srcNet a) ⊕ a ⊕ b)) x =
      C ((DenyAllFromTo (first-srcNet a) (first-destNet a) ⊕ DenyAllFromTo (first-destNet
a) (first-srcNet a)))) x )
      apply simp

```

```

      defer 1
      apply (metis CConcStartA Conc ConcAssoc nlpaux)
      apply (simp add: PLemmas, simp split: if-splits) apply auto
    done
  qed
qed
qed

```

```

lemma iD-isD[rule-format]:  $p \neq [] \longrightarrow x \notin \text{dom } (C \text{ (list2policy } p))$ 
 $\longrightarrow C \text{ (DenyAll } \oplus \text{ list2policy (insertDenies } p)) \text{ } x = C \text{ DenyAll } x$ 
apply (case-tac  $x \in \text{dom } (C \text{ (list2policy (insertDenies } p)))$ )
apply (rule impI)+
apply (metis C.simps(1) deny-all-def iDdomAux mem-def Cdom2)
apply (rule impI)+
apply (subst nlpaux)
apply simp-all
done

```

```

lemma OTNoTN[rule-format]:  $\text{OnlyTwoNets } p \longrightarrow x \neq \text{DenyAll} \longrightarrow x \in \text{set } p$ 
 $\longrightarrow \text{onlyTwoNets } x$ 
apply (induct p, simp-all)
apply (rule impI)+
apply (rule conjI)
apply (rule impI)
apply simp
apply (case-tac a, simp-all)
apply (rule impI)
apply (drule mp, simp-all)
apply (case-tac a, simp-all)
done

```

```

lemma first-isIn[rule-format]:  $\neg \text{member DenyAll } x \longrightarrow (\text{first-srcNet } x, \text{first-destNet } x) \in \text{sdnets } x$ 
by (induct x, case-tac x, simp-all)

```

```

lemma sdnets2:  $[\exists a \ b. \text{sdnets } x = \{(a, b), (b, a)\}; \neg \text{member DenyAll } x] \implies$ 
 $\text{sdnets } x = \{(\text{first-srcNet } x, \text{first-destNet } x), (\text{first-destNet } x, \text{first-srcNet } x)\}$ 
apply (subgoal-tac  $(\text{first-srcNet } x, \text{first-destNet } x) \in \text{sdnets } x$ )
apply (drule exE)
prefer 2
apply assumption
apply (drule exE)
prefer 2
apply assumption
apply simp
apply (case-tac  $\text{first-srcNet } x = a \wedge \text{first-destNet } x = b$ )
apply simp-all
apply (metis insert-commute)
apply (erule first-isIn)

```


done

lemma *alternativelistconc1*[*rule-format*]: $a \in \text{set } (\text{net-list-aux } [x]) \longrightarrow a \in \text{set } (\text{net-list-aux } [x,y])$
by (*induct x, simp-all*)

lemma *alternativelistconc2*[*rule-format*]: $a \in \text{set } (\text{net-list-aux } [x]) \longrightarrow a \in \text{set } (\text{net-list-aux } [y,x])$
by (*induct y, simp-all*)

lemma *noDA*[*rule-format*]: $\text{noDenyAll } xs \longrightarrow s \in \text{set } xs \longrightarrow \neg \text{member DenyAll } s$
by (*induct xs, simp-all*)

lemma *isInAlternativeList*: $(aa \in \text{set } (\text{net-list-aux } [a]) \vee aa \in \text{set } (\text{net-list-aux } p))$
 $\implies aa \in \text{set } (\text{net-list-aux } (a \# p))$
apply (*case-tac a, simp-all*)
done

lemma *netlistaux*: $x \in \text{set } (\text{net-list-aux } (a \# p)) \implies x \in \text{set } (\text{net-list-aux } ([a])) \vee x \in \text{set } (\text{net-list-aux } (p))$
apply (*case-tac x \in set (net-list-aux [a])*)
apply *simp-all*
apply (*case-tac a, simp-all*)
done

lemma *firstInNet*[*rule-format*]: $\neg \text{member DenyAll } a \longrightarrow \text{first-destNet } a \in \text{set } (\text{net-list-aux } (a \# p))$
apply (*rule Combinators.induct*)
apply *simp-all*
apply (*metis netlistaux*)
done

lemma *firstInNeta*[*rule-format*]: $\neg \text{member DenyAll } a \longrightarrow \text{first-srcNet } a \in \text{set } (\text{net-list-aux } (a \# p))$
apply (*rule Combinators.induct*)
apply *simp-all*
apply (*metis netlistaux*)
done

lemma *disjComm*: $\text{disjSD-2 } a \ b \implies \text{disjSD-2 } b \ a$
apply (*simp add: disjSD-2-def*)
apply (*rule allI*)
apply (*rule impI*)
apply (*rule conjI*)
apply (*drule-tac x = c in spec*)
apply (*drule-tac x = d in spec*)
apply (*drule-tac x = aa in spec*)

```

apply (drule-tac x = ba in spec)
apply (metis tNDComm)
apply (drule-tac x = c in spec)
apply (drule-tac x = d in spec)
apply (drule-tac x = aa in spec)
apply (drule-tac x = ba in spec)
apply simp
apply (simp add: twoNetsDistinct-def)
apply (metis nDComm)+
done

```

```

lemma disjSD2aux:  $\llbracket \text{disjSD-2 } a \ b; \neg \text{member DenyAll } a; \neg \text{member DenyAll } b \rrbracket$ 
 $\implies$ 
  disjSD-2 (DenyAllFromTo (first-srcNet a) (first-destNet a)  $\oplus$  DenyAllFromTo
    (first-destNet a) (first-srcNet a)  $\oplus$  a) b
apply (drule disjComm)
apply (rule disjComm)
apply (simp add: disjSD-2-def)
apply (rule allI)+
apply (rule impI)+
apply safe
apply (drule-tac x = aa in spec, drule-tac x = ba in spec, drule-tac x = first-srcNet
  a in spec, drule-tac x = first-destNet a in spec, auto intro: first-isIn)+
done

```

```

lemma inDomConc:  $\llbracket x \notin \text{dom } (C \ a); x \notin \text{dom } (C \ (\text{list2policy } p)) \rrbracket \implies x \notin \text{dom } (C$ 
  (list2policy(a#p)))
by (metis domdConcStart)

```

```

lemma domsdisj[rule-format]:  $p \neq [] \longrightarrow (\forall \ x \ s. s \in \text{set } p \wedge x \in \text{dom } (C \ A) \longrightarrow$ 
   $x \notin \text{dom } (C \ s)) \longrightarrow y \in \text{dom } (C \ A) \longrightarrow y \notin \text{dom } (C \ (\text{list2policy } p))$ 
apply (induct p)
apply simp
apply (case-tac p = [])
apply simp
apply (rule-tac x = y in spec)
apply (simp add: split-tupled-all)
apply (rule impI)+
apply (rule inDomConc)
apply (drule-tac x = y in spec, drule-tac x = a in spec)
apply auto
done

```

```

lemma isSepaux:  $\llbracket p \neq []; \text{noDenyAll } (a\#p); \text{separated } (a \ \# \ p);$ 
   $x \in \text{dom } (C \ (\text{DenyAllFromTo } (\text{first-srcNet } a) \ (\text{first-destNet } a) \oplus$ 
   $\text{DenyAllFromTo } (\text{first-destNet } a) \ (\text{first-srcNet } a) \oplus a)) \rrbracket \implies$ 
   $x \notin \text{dom } (C \ (\text{list2policy } p))$ 
apply (rule-tac A = (DenyAllFromTo (first-srcNet a) (first-destNet a)  $\oplus$  DenyAll-
  FromTo (first-destNet a) (first-srcNet a)  $\oplus$  a) in domsdisj)

```

```

apply simp-all
apply (rule notI)
apply (rule-tac  $p = xa$  and  $x = (DenyAllFromTo (first-srcNet a) (first-destNet a) \oplus DenyAllFromTo (first-destNet a) (first-srcNet a) \oplus a)$  and  $y = s$  in disjSD-no-p-in-both)
apply simp-all
apply (simp add: disjSD-2-def)
apply (rule allI)+
apply (metis first-isIn tNDComm twoNetsDistinct-def)
apply (metis noDA)
done

```

```

lemma noDA1eq[rule-format]:  $noDenyAll p \longrightarrow noDenyAll1 p$ 
apply (induct  $p$ )
apply simp
apply (case-tac  $a$ , simp-all)
done

```

```

lemma noDA1C[rule-format]:  $noDenyAll1 (a\#p) \longrightarrow noDenyAll1 p$ 
apply (case-tac  $a$ , simp-all)
apply (rule impI, rule noDA1eq, simp)+
done

```

```

lemma disjSD-2IDa:  $\llbracket disjSD-2 x y; \neg member DenyAll x; \neg member DenyAll y; a = (first-srcNet x); b = (first-destNet x) \rrbracket \implies disjSD-2 ((DenyAllFromTo a b) \oplus (DenyAllFromTo b a) \oplus x) y$ 
apply simp
apply (rule disjSD2aux)
apply simp-all
done

```

```

lemma noDAID[rule-format]:  $noDenyAll p \longrightarrow noDenyAll (insertDenies p)$ 
apply (induct  $p$ )
apply simp-all
apply (case-tac  $a$ , simp-all)
done

```

```

lemma isInIDo[rule-format]:  $noDenyAll p \longrightarrow s \in set (insertDenies p) \longrightarrow (\exists! a. s = (DenyAllFromTo (first-srcNet a) (first-destNet a)) \oplus (DenyAllFromTo (first-destNet a) (first-srcNet a)) \oplus a \wedge a \in set p)$ 
apply (induct  $p$ )
apply simp-all
apply (case-tac  $a = DenyAll$ )
apply simp
apply (case-tac  $a$ , simp-all)
apply auto
done

```

```

lemma id-aux1[rule-format]:  $DenyAllFromTo (first-srcNet s) (first-destNet s) \oplus DenyAllFromTo (first-destNet s) (first-srcNet s) \oplus s \in set (insertDenies p)$ 

```

$\longrightarrow s \in \text{set } p$
apply (*induct* p)
apply *simp-all*
apply (*case-tac* a , *simp-all*)
done

lemma *id-aux2*: $\llbracket \text{noDenyAll } p; (\forall s. s \in \text{set } p \longrightarrow \text{disjSD-2 } a \ s); \neg \text{member } \text{DenyAll } a; \\ ((\text{DenyAllFromTo } (\text{first-srcNet } s) (\text{first-destNet } s)) \oplus (\text{DenyAllFromTo } (\text{first-destNet } s) (\text{first-srcNet } s)) \oplus s) \in \text{set } (\text{insertDenies } p) \rrbracket \implies \\ \text{disjSD-2 } a ((\text{DenyAllFromTo } (\text{first-srcNet } s) (\text{first-destNet } s)) \oplus (\text{DenyAllFromTo } (\text{first-destNet } s) (\text{first-srcNet } s)) \oplus s)$
apply (*rule* *disjComm*)
apply (*rule* *disjSD-2IDa*)
apply *simp-all*
apply (*metis* *disjComm* *id-aux1*)
apply (*metis* *id-aux1* *noDA*)
done

lemma *id-aux4*[*rule-format*]: $\llbracket \text{noDenyAll } p; (\forall s. s \in \text{set } p \longrightarrow \text{disjSD-2 } a \ s); s \in \text{set } (\text{insertDenies } p); \neg \text{member } \text{DenyAll } a \rrbracket \implies \text{disjSD-2 } a \ s$
apply (*subgoal-tac* $\exists a. s =$
 $\text{DenyAllFromTo } (\text{first-srcNet } a) (\text{first-destNet } a) \oplus$
 $\text{DenyAllFromTo } (\text{first-destNet } a) (\text{first-srcNet } a) \oplus a \wedge$
 $a \in \text{set } p$)
apply (*drule-tac* $Q = \text{disjSD-2 } a \ s$ **in** *exE*)
apply *simp-all*
apply (*rule* *id-aux2*, *simp-all*)
apply (*rule* *ex1-implies-ex*)
apply (*rule* *isInIDo*)
apply *simp-all*
done

lemma *sepNetsID*[*rule-format*]: $\text{noDenyAll1 } p \longrightarrow \text{separated } p \longrightarrow \text{separated } (\text{insertDenies } p)$
apply (*induct* p)
apply *simp-all*
apply (*rule* *impI*)
apply (*drule* *mp*)
apply (*erule* *noDA1C*)
apply (*rule* *impI*)
apply (*case-tac* $a = \text{DenyAll}$)
apply *simp-all*
apply (*simp* *add*: *disjSD-2-def*)
apply (*case-tac* a , *simp-all*)
apply *auto*
apply (*rule* *disjSD-2IDa*, *simp-all*, *rule* *id-aux4*, *simp-all*, *metis* *noDA* *noDAID*)
done

```

lemma noneMTsep[rule-format]: noneMT  $p \longrightarrow$  noneMT (separate  $p$ )
apply (rule separate.induct) back
apply simp-all
apply (rule impI, simp)
apply (rule impI)
apply simp
apply (drule mp)
apply (simp add: C.simps)
apply simp
apply (rule impI)+
apply simp
apply (drule mp)
apply (simp add: C.simps)
apply simp
apply (rule impI)+
apply (simp)
apply (drule mp)
apply (simp add: C.simps)
apply (simp)
done

```

```

lemma aNDDA[rule-format]: allNetsDistinct  $p \longrightarrow$  allNetsDistinct(DenyAll #  $p$ )
apply (case-tac  $p$ )
apply simp
apply (rule impI)
apply (simp add: allNetsDistinct-def)
apply (rule impI)
apply (auto)
apply (simp add: allNetsDistinct-def)
done

```

```

lemma OTNConc[rule-format]: OnlyTwoNets ( $y \# z$ )  $\longrightarrow$  OnlyTwoNets  $z$ 
apply (case-tac  $y$ , simp-all)
done

```

```

lemma first-bothNetsd:  $\neg$  member DenyAll  $x \implies$  first-bothNet  $x = \{$ first-srcNet
 $x$ , first-destNet  $x\}$ 
apply (induct  $x$ )
apply simp-all
done

```

```

lemma bNaux:  $\llbracket \neg$  member DenyAll  $x$ ;  $\neg$  member DenyAll  $y$ ; first-bothNet  $x =$ 
first-bothNet  $y \rrbracket \implies \{$ first-srcNet  $x$ , first-destNet  $x\} = \{$ first-srcNet  $y$ , first-destNet
 $y\}$ 
apply (simp add: first-bothNetsd)
done

```

```

lemma setPair:  $\{a, b\} = \{a, d\} \implies b = d$ 
apply (metis Un-empty-right Un-insert-right insert-absorb2 setPaireq)

```

done

lemma *setPair1*: $\{a,b\} = \{d,a\} \implies b = d$
apply (*metis Un-empty-right Un-insert-right insert-absorb2 setPaireq*)
done

lemma *setPair4*: $\{a,b\} = \{c,d\} \implies a \neq c \implies a = d$
by *auto*

lemma *otnaux1*: $\{x, y, x, y\} = \{x,y\}$
by *auto*

lemma *OTNIDaux4*: $\{x,y,x\} = \{y,x\}$
by *auto*

lemma *setPair5*: $\{a,b\} = \{c,d\} \implies a \neq c \implies a = d$
by *auto*

lemma *otnaux*:
 $\llbracket \text{first-bothNet } x = \text{first-bothNet } y; \neg \text{member DenyAll } x; \neg \text{member DenyAll } y; \text{onlyTwoNets } y; \text{onlyTwoNets } x \rrbracket \implies$
 $\text{onlyTwoNets } (x \oplus y)$
apply (*simp add: onlyTwoNets-def*)
apply (*subgoal-tac* $\{\text{first-srcNet } x, \text{first-destNet } x\} = \{\text{first-srcNet } y, \text{first-destNet } y\}$)
apply (*case-tac* $(\exists a \ b. \text{sdnets } y = \{(a, b)\})$)
apply *simp-all*
apply (*case-tac* $(\exists a \ b. \text{sdnets } x = \{(a, b)\})$)
apply *simp-all*
apply (*subgoal-tac* $\text{sdnets } x = \{(\text{first-srcNet } x, \text{first-destNet } x)\}$)
apply (*subgoal-tac* $\text{sdnets } y = \{(\text{first-srcNet } y, \text{first-destNet } y)\}$)
apply *simp*
apply (*case-tac* $\text{first-srcNet } x = \text{first-srcNet } y$)
apply *simp-all*
apply (*rule disjI1*)
apply (*rule setPair*)
apply *simp*
apply (*subgoal-tac* $\text{first-srcNet } x = \text{first-destNet } y$)
apply *simp*
apply (*subgoal-tac* $\text{first-destNet } x = \text{first-srcNet } y$)
apply *simp*
apply (*rule-tac* $x = \text{first-srcNet } y$ **in** *exI*, *rule-tac* $x = \text{first-destNet } y$ **in** *exI, simp*)
apply (*rule setPair1*)
apply *simp*
apply (*rule setPair4*)
apply *simp-all*
apply (*metis first-isIn singletonE*)
apply (*metis first-isIn singletonE*)

```

apply (subgoal-tac sdnets  $x = \{(first-srcNet\ x, first-destNet\ x), (first-destNet\ x,$ 
 $first-srcNet\ x)\}$ )
apply (subgoal-tac sdnets  $y = \{(first-srcNet\ y, first-destNet\ y)\}$ )
apply simp
apply (case-tac  $first-srcNet\ x = first-srcNet\ y$ )
apply simp-all
apply (subgoal-tac  $first-destNet\ x = first-destNet\ y$ )
apply simp
apply (rule setPair)
apply simp
apply (subgoal-tac  $first-srcNet\ x = first-destNet\ y$ )
apply simp
apply (subgoal-tac  $first-destNet\ x = first-srcNet\ y$ )
apply simp
apply (rule-tac  $x = first-srcNet\ y$  in  $exI$ , rule-tac  $x = first-destNet\ y$  in  $exI$ )
apply (metis DomainI Domain-empty Domain-insert OTNIDaux4 RangeI Range-empty
Range-insert insertE insert-absorb insert-commute insert-iff mem-def singletonE)
apply (rule setPair1)
apply simp
apply (rule setPair5)
apply assumption
apply simp
apply (metis first-isIn singletonE)
apply (rule sdnets2)
apply simp-all
apply (case-tac ( $\exists a\ b. sdnets\ x = \{(a, b)\}$ ))
apply simp-all
apply (subgoal-tac sdnets  $x = \{(first-srcNet\ x, first-destNet\ x)\}$ )
apply (subgoal-tac sdnets  $y = \{(first-srcNet\ y, first-destNet\ y), (first-destNet\ y,$ 
 $first-srcNet\ y)\}$ )
apply simp
apply (case-tac  $first-srcNet\ x = first-srcNet\ y$ )
apply simp-all
apply (subgoal-tac  $first-destNet\ x = first-destNet\ y$ )
apply simp
apply (rule-tac  $x = first-srcNet\ y$  in  $exI$ , rule-tac  $x = first-destNet\ y$  in  $exI$ )
apply (metis DomainI Domain-empty Domain-insert OTNIDaux4 RangeI Range-empty
Range-insert insertE insert-absorb insert-commute insert-iff mem-def singletonE)
apply (rule setPair)
apply simp
apply (subgoal-tac  $first-srcNet\ x = first-destNet\ y$ )
apply simp
apply (subgoal-tac  $first-destNet\ x = first-srcNet\ y$ )
apply simp
apply (rule setPair1)
apply simp
apply (rule setPair4)
apply assumption
apply simp

```

```

apply (rule sdnets2)
apply simp
apply simp
apply (metis singletonE first-isIn)
apply (subgoal-tac sdnets x = {(first-srcNet x, first-destNet x),(first-destNet x,
first-srcNet x)})
apply (subgoal-tac sdnets y = {(first-srcNet y, first-destNet y),(first-destNet y,
first-srcNet y)})
apply simp
apply (case-tac first-srcNet x = first-srcNet y)
apply simp-all
apply (subgoal-tac first-destNet x = first-destNet y)
apply simp
apply (rule-tac x = first-srcNet y in exI, rule-tac x = first-destNet y in exI)
apply (rule otnaux1)
apply (rule setPair)
apply simp
apply (subgoal-tac first-srcNet x = first-destNet y)
apply simp
apply (subgoal-tac first-destNet x = first-srcNet y)
apply simp
apply (rule-tac x = first-srcNet y in exI, rule-tac x = first-destNet y in exI)
apply (metis DomainI Domain-empty Domain-insert OTNIDaux4 RangeI Range-empty
Range-insert first-isIn insertE insert-absorb insert-commute insert-iff mem-def sin-
gletonE)
apply (rule setPair1)
apply simp
apply (rule setPair4)
apply assumption
apply simp
apply (rule sdnets2,simp-all)+
apply (rule bNaux, simp-all)
done

```

```

lemma OTNSepaux:  $\llbracket \text{onlyTwoNets } (a \oplus y) \wedge \text{OnlyTwoNets } z \longrightarrow$ 
   $\text{OnlyTwoNets } (\text{separate } (a \oplus y \# z));$ 
   $\neg \text{FWCompilation.member DenyAll } a;$ 
   $\neg \text{FWCompilation.member DenyAll } y; \text{noDenyAll } z;$ 
   $\text{onlyTwoNets } a; \text{OnlyTwoNets } (y \# z); \text{first-bothNet } (a) = \text{first-bothNet } y \rrbracket$ 
 $\implies \text{OnlyTwoNets } (\text{separate } (a \oplus y \# z))$ 
apply (drule mp)
apply simp-all
apply (rule conjI)
apply (rule otnaux)
apply simp-all
apply (rule-tac p = (y # z) in OTNoTN)
apply simp-all
apply (metis FWCompilation.member.simps(2))
apply (simp add: onlyTwoNets-def)

```


apply (*rule-tac* $y = y$ **in** *OTNConc, simp*)
done

lemma *OTNSEp[rule-format]: noDenyAll1 p \longrightarrow OnlyTwoNets p \longrightarrow OnlyTwoNets (separate p)*
apply (*rule separate.induct*) **back**
by (*simp-all add: OTNSepaux noDA1eq*)

lemma *nda[rule-format]: singleCombinators (a#p) \longrightarrow noDenyAll p \longrightarrow noDenyAll1 (a # p)*
apply (*induct p*)
apply *simp-all*
apply (*case-tac a, simp-all*)
apply (*case-tac a, simp-all*)
done

lemma *nDAcharn[rule-format]: noDenyAll p = ($\forall r \in \text{set } p. \neg \text{member DenyAll } r$)*
apply (*induct p*)
apply *simp-all*
done

lemma *nDAeqSet: set p = set s \implies noDenyAll p = noDenyAll s*
apply (*simp add: nDAcharn*)
done

lemma *nDASCaux[rule-format]: DenyAll \notin set p \longrightarrow singleCombinators p \longrightarrow $r \in \text{set } p \longrightarrow \neg \text{member DenyAll } r$*
apply (*case-tac r*)
apply *simp-all*
apply (*rule impI*)
apply (*rule impI*)
apply (*rule impI*)
apply (*rule FalseE*)
apply (*rule SCnotConc*)
apply *simp*
apply *simp*
done

lemma *nDASC[rule-format]: wellformed-policy1 p \longrightarrow singleCombinators p \longrightarrow noDenyAll1 p*
apply (*induct p*)
apply (*rule impI*)
apply *simp-all*
apply (*rule impI*)
apply (*drule mp*)
apply (*erule waux2*)
apply (*drule mp*)
apply (*erule singleCombinatorsConc*)

```

apply (rule nda)
apply simp
apply (simp add: nDACharn)
apply (rule ballI)
apply (rule nDASCaux)apply simp-all
apply (erule singleCombinatorsConc)
done

```

```

lemma noDAAll[rule-format]: noDenyAll p = ( $\neg$  memberP DenyAll p)
apply (induct p)
apply simp-all
done

```

```

lemma memberPsep[symmetric]: memberP x p = memberP x (separate p)
apply (rule separate.induct) back
apply simp-all
done

```

```

lemma noDAsep[rule-format]: noDenyAll p  $\implies$  noDenyAll (separate p)
apply (simp add: noDAAll)
apply (subst memberPsep)
apply simp
done

```

```

lemma noDA1sep[rule-format]: noDenyAll1 p  $\longrightarrow$  noDenyAll1 (separate p)
apply (rule separate.induct) back
apply simp-all
apply (rule impI)
apply (rule noDAsep)
apply simp
apply (rule impI)+
apply (rule noDAsep)
apply (case-tac y, simp-all)
apply (rule impI)+
apply (rule noDAsep)
apply (case-tac y, simp-all)
apply (rule impI)+
apply (rule noDAsep)
apply (case-tac y, simp-all)
done

```

```

lemma isInAlternativeLista: (aa  $\in$  set (net-list-aux [a])) $\implies$  aa  $\in$  set (net-list-aux
(a # p))
apply (case-tac a, simp-all)
apply safe
done

```

```

lemma isInAlternativeListb: (aa  $\in$  set (net-list-aux p)) $\implies$  aa  $\in$  set (net-list-aux
(a # p))

```

apply (*case-tac a, simp-all*)
done

lemma *ANDSepaux*: $allNetsDistinct (x \# y \# z) \implies allNetsDistinct (x \oplus y \# z)$
apply (*simp add: allNetsDistinct-def*)
apply (*rule allI*) +
apply (*rule impI*)
apply (*drule-tac x = a in spec, drule-tac x = b in spec*)
apply *simp*
apply (*drule mp*)
apply (*rule conjI, simp-all*)
apply (*metis isInAlternativeList*) +
done

lemma *netlistalternativeSeparateaux*: $net-list-aux [y] @ net-list-aux z = net-list-aux (y \# z)$
apply (*case-tac y, simp-all*)
done

lemma *netlistalternativeSeparate*: $net-list-aux p = net-list-aux (separate p)$
apply (*rule separate.induct*) **back**
apply *simp-all*
apply (*simp-all add: netlistalternativeSeparateaux*)
done

lemma *ANDSepaux2*: $\llbracket allNetsDistinct (x \# y \# z); allNetsDistinct (separate (y \# z)) \rrbracket \implies allNetsDistinct (x \# separate (y \# z))$
apply (*simp add: allNetsDistinct-def*)
apply (*rule allI*) +
apply (*rule impI*)
apply (*drule-tac x = a in spec*)
apply (*rotate-tac -1*)
apply (*drule-tac x = b in spec*)
apply (*simp*)
apply (*drule mp*)
apply (*rule conjI*)
apply (*case-tac a ∈ set (net-list-aux [x])*)
apply *simp-all*
apply (*rule isInAlternativeList a*)
apply *simp*
apply (*rule isInAlternativeList b*)
apply (*subgoal-tac a ∈ set (net-list-aux (separate (y # z)))*)
apply (*metis netlistalternativeSeparate*)
apply (*metis netlistaux netlistalternativeSeparate*)
apply (*case-tac b ∈ set (net-list-aux [x])*)
apply (*rule isInAlternativeList a*)
apply *simp*

```

apply (rule isInAlternativeListb)
apply (subgoal-tac  $b \in \text{set } (\text{net-list-aux } (\text{separate } (y\#z)))$ )
apply (metis netlistalternativeSeparate)
apply (metis netlistaux netlistalternativeSeparate)
done

```

```

lemma ANDSep[rule-format]:  $\text{allNetsDistinct } p \longrightarrow \text{allNetsDistinct}(\text{separate } p)$ 
apply (rule separate.induct) back
apply simp-all
apply (metis ANDConc aNDDA separate.simps(1))
apply (metis ANDConc ANDSepaux ANDSepaux2)
apply (metis ANDConc ANDSepaux ANDSepaux2)
apply (metis ANDConc ANDSepaux ANDSepaux2)
done

```

```

lemma dom-id:  $\llbracket \text{noDenyAll } (a\#p); \text{separated } (a\#p); p \neq []; x \notin \text{dom } (C (\text{list2policy } p)); x \in \text{dom } (C (a)) \rrbracket$ 
 $\implies x \notin \text{dom } (C (\text{list2policy } (\text{insertDenies } p)))$ 
apply (rule-tac  $a = a$  in isSepaux)
apply simp-all
apply (rule idNMT)
apply simp
apply (rule noDAID)
apply simp
apply (rule conjI)
apply (rule allI)
apply (rule impI)
apply (rule id-aux4)
apply simp-all
apply (rule sepNetsID)
apply simp-all
apply (metis noDA1eq)
apply (simp add: C.simps)
done

```

```

lemma C-eq-iD-aux2[rule-format]:
 $\text{noDenyAll1 } p \longrightarrow$ 
 $\text{separated } p \longrightarrow$ 
 $p \neq [] \longrightarrow$ 
 $x \in \text{dom } (C (\text{list2policy } p)) \longrightarrow$ 
 $C(\text{list2policy } (\text{insertDenies } p)) \ x = C(\text{list2policy } p) \ x$ 
proof (induct p)
case Nil thus ?case by simp
next
case (Cons y ys) thus ?case using prems
proof (cases y)
case DenyAll thus ?thesis using prems apply simp

```

```

    apply (case-tac ys = [])
    apply simp-all
    apply (case-tac x ∈ dom (C (list2policy ys)))
    apply simp-all
  apply (metis Cdom2 Combinators.simps(1) DenyAll FWCompilation.member.simps(3)
    bar3 domID idNMT in-set-conv-decomp insert-absorb insert-code list2policyconc
    mem-def nMT-domMT noDA1C noDA1eq noDenyAll.simps(1) notMTpolicyimp-
    notMT notindom)
  apply (metis DenyAll iD-isD idNMT list2policyconc nlpaux)
  done
next
case (DenyAllFromTo a b) thus ?thesis using prems apply simp
  apply (rule impI|rule allI|rule conjI|simp)+
  apply (case-tac ys = [])
  apply simp-all
  apply (metis Cdom2 ConcAssoc DenyAllFromTo)
  apply (case-tac x ∈ dom (C (list2policy ys)))
  apply simp-all
  apply (drule mp)
  apply (metis noDA1eq)
  apply (case-tac x ∈ dom (C (list2policy (insertDenies ys))))
  apply (metis Cdom2 DenyAllFromTo idNMT list2policyconc)
  apply (metis domID)
  apply (case-tac x ∈ dom (C (list2policy (insertDenies ys))))
  apply (subgoal-tac C (list2policy (DenyAllFromTo a b ⊕ DenyAllFromTo b a ⊕
    DenyAllFromTo a b # insertDenies ys)) x = Some (deny x))
  apply simp-all
  apply (subgoal-tac C (list2policy (DenyAllFromTo a b # ys)) x = C ((DenyAllFromTo
    a b)) x)
  apply (simp add: PLemmas, simp split: if-splits)
  apply (metis list2policyconc nlpaux)
  apply (metis Combinators.simps(1) DenyAllFromTo FWCompilation.member.simps(3)
    dom-id domdConcStart mem-def noDenyAll.simps(1) separated.simps(1))
  apply (metis Cdom2 ConcAssoc DenyAllFromTo domdConcStart l2p-aux2 list2policyconc
    nlpaux)
  done
next
case (AllowPortFromTo a b c) thus ?thesis using prems apply simp
  apply (rule impI|rule allI|rule conjI|simp)+
  apply (case-tac ys = [])
  apply simp-all
  apply (metis Cdom2 ConcAssoc AllowPortFromTo)
  apply (case-tac x ∈ dom (C (list2policy ys)))
  apply simp-all
  apply (drule mp)
  apply (metis noDA1eq)
  apply (case-tac x ∈ dom (C (list2policy (insertDenies ys))))
  apply (metis Cdom2 AllowPortFromTo idNMT list2policyconc)
  apply (metis domID)

```

```

apply (subgoal-tac  $x \in \text{dom } (C \text{ (AllowPortFromTo } a \ b \ c)))$ )
apply (case-tac  $x \notin \text{dom } (C \text{ (list2policy (insertDenies } ys)))$ )
apply simp-all
apply (metis AllowPortFromTo Cdom2 ConcAssoc l2p-aux2 list2policyconc nl-
paux)
apply (metis AllowPortFromTo Combinators.simps(3) FWCompilation.member.simps(4)
dom-id mem-def noDenyAll.simps(1) separated.simps(1))
apply (metis AllowPortFromTo domdConcStart)
done
next
case (Conc a b) thus ?thesis using prems apply simp
apply (rule impI|rule allI|rule conjI|simp)+
apply (case-tac  $ys = []$ )
apply simp-all
apply (metis Cdom2 ConcAssoc Conc)
apply (case-tac  $x \in \text{dom } (C \text{ (list2policy } ys)))$ )
apply simp-all
apply (drule mp)
apply (metis noDA1eq)
apply (case-tac  $x \in \text{dom } (C \text{ (} a \oplus b))$ )
apply (case-tac  $x \notin \text{dom } (C \text{ (list2policy (insertDenies } ys)))$ )
apply simp-all
apply (subst list2policyconc)
apply (rule idNMT, simp)
apply (metis domID)
apply (metis Cdom2 Conc idNMT list2policyconc)
apply (metis CConcEnd2 CConcStartA Cdom2 Conc aux0-4 domID domIff id-
NMT in-set-conv-decomp l2p-aux2 list2policyconc mem-def nMT-domMT notMT-
policyimpnotMT not-Cons-self notindom)
apply (case-tac  $x \in \text{dom } (C \text{ (} a \oplus b))$ )
apply (case-tac  $x \notin \text{dom } (C \text{ (list2policy (insertDenies } ys)))$ )
apply simp-all
apply (subst list2policyconc)
apply (rule idNMT, simp)
apply (metis Cdom2 Conc ConcAssoc list2policyconc nlpaux)
apply (metis Conc FWCompilation.member.simps(1) dom-id mem-def noDenyAll.simps(1)
separated.simps(1))
apply (metis Conc domdConcStart)
done
qed
qed

```

```

lemma C-eq-iD:  $\llbracket \text{separated } p; \text{noDenyAll1 } p; \text{wellformed-policy1-strong } p \rrbracket \implies$ 
 $C \text{ (list2policy (insertDenies } p)) = C \text{ (list2policy } p)$ 
apply (rule ext)
apply (rule C-eq-iD-aux2)
apply simp-all
apply (subgoal-tac  $\text{DenyAll} \in \text{set } p$ )
apply (metis C-eq-RS1 DAAux append-is-Nil-conv domIff l2p-aux list.simps(1))

```

```

mem-def nlpaux removeShadowRules1.simps(1) split-list-first)
apply (erule wp1-aux1aa)
done

```

```

lemma wp1-alternativesep[rule-format]: wellformed-policy1-strong p  $\longrightarrow$  wellformed-policy1-strong
(separate p)
apply (rule impI)
apply (subst wp1n-tl) back
apply simp
apply simp
apply (rule sepDA)
apply (erule WP1n-DA-notinSet)
done

```

```

lemma noDASort[rule-format]: noDenyAll1 p  $\longrightarrow$  noDenyAll1 (sort p l)
apply (case-tac p)
apply simp
apply simp
apply (case-tac a = DenyAll)
apply simp-all
apply (rule impI)
apply (subst nDAeqSet)
defer 1
apply simp
defer 1
apply (rule set-sort)
apply (rule impI)
apply (case-tac insert a (sort list l) l)
apply simp-all
apply (rule noDA1eq)
apply (subgoal-tac noDenyAll (a#list))
defer 1
apply (case-tac a, simp,simp)
apply simp
apply simp
apply (subst nDAeqSet)
defer 1
apply assumption
apply (metis sort.simps(2) set-sort)
done

```

```

lemma OTNSC[rule-format]: singleCombinators p  $\longrightarrow$  OnlyTwoNets p
apply (induct p)
apply simp-all
apply (rule impI)
apply (erule mp)
apply (erule singleCombinatorsConc)
apply (case-tac a, simp-all)
apply (simp add: onlyTwoNets-def)+

```

done

lemma *fMTaux*: $\neg \text{member } \text{DenyAll } x \implies \text{first-bothNet } x \neq \{\}$
apply (*metis bot-set-eq first-bothNetsd insert-not-empty*)
done

lemma *fl2*[*rule-format*]: $\text{firstList } (\text{separate } p) = \text{firstList } p$
apply (*rule separate.induct*)
apply *simp-all*
done

lemma *fl3*[*rule-format*]: $\text{NetsCollected } p \longrightarrow (\text{first-bothNet } x \neq \text{firstList } p \longrightarrow (\forall a \in \text{set } p. \text{first-bothNet } x \neq \text{first-bothNet } a)) \longrightarrow \text{NetsCollected } (x \# p)$
apply (*induct p*)
apply *simp-all*
done

lemma *sortedConc*[*rule-format*]: $\text{sorted } (a \# p) \longrightarrow \text{sorted } p$
apply (*induct p*)
apply *simp-all*
done

lemma *smalleraux2*:
 $\{a, b\} \in \text{set } l \implies \{c, d\} \in \text{set } l \implies \{a, b\} \neq \{c, d\} \implies$
 $\text{smaller } (\text{DenyAllFromTo } a \ b) \ (\text{DenyAllFromTo } c \ d) \ l \implies$
 $\neg \text{smaller } (\text{DenyAllFromTo } c \ d) \ (\text{DenyAllFromTo } a \ b) \ l$
apply *simp*
apply (*rule conjI*)
apply (*rule impI*)
apply *simp*
apply (*metis*)
apply (*metis eq-imp-le mem-def pos-noteq*)
done

lemma *smalleraux2a*:
 $\{a, b\} \in \text{set } l \implies \{c, d\} \in \text{set } l \implies \{a, b\} \neq \{c, d\} \implies$
 $\text{smaller } (\text{DenyAllFromTo } a \ b) \ (\text{AllowPortFromTo } c \ d \ p) \ l \implies$
 $\neg \text{smaller } (\text{AllowPortFromTo } c \ d \ p) \ (\text{DenyAllFromTo } a \ b) \ l$
apply *simp*
apply (*metis eq-imp-le mem-def pos-noteq*)
done

lemma *smalleraux2b*:
 $\{a, b\} \in \text{set } l \implies \{c, d\} \in \text{set } l \implies \{a, b\} \neq \{c, d\} \implies y = \text{DenyAllFromTo } a \ b$
 \implies
 $\text{smaller } (\text{AllowPortFromTo } c \ d \ p) \ y \ l \implies$
 $\neg \text{smaller } y \ (\text{AllowPortFromTo } c \ d \ p) \ l$
apply *simp*

apply (*metis eq-imp-le mem-def pos-noteq*)
done

lemma *smalleraux2c*:

$\{a,b\} \in \text{set } l \implies \{c,d\} \in \text{set } l \implies \{a,b\} \neq \{c,d\} \implies y = \text{AllowPortFromTo } a$
 $b \ q \implies$

$\text{smaller } (\text{AllowPortFromTo } c \ d \ p) \ y \ l \implies$

$\neg \text{smaller } y \ (\text{AllowPortFromTo } c \ d \ p) \ l$

apply *simp*

apply (*metis eq-imp-le mem-def pos-noteq*)

done

lemma *smalleraux3*:

assumes $x \in \text{set } l$

assumes $y \in \text{set } l$

assumes $x \neq y$

assumes $x = \text{bothNet } a$

assumes $y = \text{bothNet } b$

assumes $\text{smaller } a \ b \ l$

assumes *singleCombinators* [a]

assumes *singleCombinators* [b]

shows $\neg \text{smaller } b \ a \ l$

proof (*cases a*)

case *DenyAll* **thus** *?thesis using prems by (case-tac b,simp-all)*

next

case (*DenyAllFromTo c d*) **thus** *?thesis*

proof (*cases b*)

case *DenyAll* **thus** *?thesis using prems by simp*

next

case (*DenyAllFromTo e f*) **thus** *?thesis using prems apply simp*

by (*metis Combinators.simps(13) DenyAllFromTo assms(1) assms(2) assms(3)*
eq-imp-le le-anti-sym pos-noteq)

next

case (*AllowPortFromTo e f g*) **thus** *?thesis using prems apply simp*

by (*metis assms(1) assms(2) assms(3) eq-imp-le pos-noteq*)

next

case (*Conc e f*) **thus** *?thesis using prems by simp*

qed

next

case (*AllowPortFromTo c d p*) **thus** *?thesis*

proof (*cases b*)

case *DenyAll* **thus** *?thesis using prems by simp*

next

case (*DenyAllFromTo e f*) **thus** *?thesis using prems apply simp*

by (*metis assms(1) assms(2) assms(3) eq-imp-le pos-noteq*)

next

case (*AllowPortFromTo e f g*) **thus** *?thesis using prems apply simp*

by (*metis assms(1) assms(2) assms(3) pos-noteq*)

next

```

    case (Conc e f) thus ?thesis using prems by simp
  qed
next
case (Conc c d) thus ?thesis using prems by simp
qed

```

lemma *smalleraux3a*:

```

  a ≠ DenyAll ⇒ b ≠ DenyAll ⇒ in-list b l ⇒ in-list a l ⇒ bothNet a ≠
bothNet b ⇒
  smaller a b l ⇒ singleCombinators [a] ⇒ singleCombinators [b] ⇒
  ¬ smaller b a l
apply (rule smalleraux3)
apply simp-all
apply (case-tac a, simp-all)
apply (case-tac b, simp-all)
done

```

lemma *posaux*[rule-format]: position a l < position b l → a ≠ b

```

apply (induct l)
apply simp-all
done

```

lemma *posaux6*[rule-format]: a ∈ set l → b ∈ set l → a ≠ b →

```

  position a l ≠ position b l
apply (induct l)
apply simp-all
apply (rule conjI)
apply (rule impI)+
apply (rule conjI, rule impI, simp)
apply (erule position-positive)
apply (metis position-positive)
apply (metis position-positive)
done

```

lemma *notSmallerTransaux*[rule-format]:

```

  [x ≠ DenyAll; r ≠ DenyAll; singleCombinators [x]; singleCombinators [y]; sin-
gleCombinators [r];
  ¬ smaller y x l; smaller x y l; smaller x r l; smaller y r l;
  in-list x l; in-list y l; in-list r l] ⇒
  ¬ smaller r x l
by (metis FWCompilationProof.order-trans)

```

lemma *notSmallerTrans*[rule-format]:

```

  x ≠ DenyAll → r ≠ DenyAll → singleCombinators (x#y#z) →
  ¬ smaller y x l → sorted (x#y#z) l → r ∈ set z →
  all-in-list (x#y#z) l → ¬ smaller r x l
apply (rule impI)+

```

```

apply (rule notSmallerTransaux)
apply simp-all
apply (metis singleCombinatorsConc singleCombinatorsStart)
apply (metis SCSubset equalityE mem-def remdups.simps(2) set-remdups single-
CombinatorsConc singleCombinatorsStart)
apply metis
apply (metis FWCompilation.sorted.simps(3) in-set-in-list singleCombinatorsConc
singleCombinatorsStart sortedConcStart sorted-is-smaller)
apply (metis FWCompilationProof.sorted-Cons all-in-list.simps(2) singleCombi-
natorsConc)
apply metis
apply (metis in-set-in-list)
done

```

```

lemma NCSaux1[rule-format]:
  noDenyAll p  $\longrightarrow$   $\{x, y\} \in \text{set } l \longrightarrow \text{all-in-list } p \ l \longrightarrow \text{singleCombinators } p \longrightarrow$ 
  sorted (DenyAllFromTo x y # p) l  $\longrightarrow \{x, y\} \neq \text{firstList } p \longrightarrow \text{DenyAllFromTo}$ 
  u v  $\in \text{set } p \longrightarrow$ 
   $\{x, y\} \neq \{u, v\}$ 
proof (cases p)
case Nil thus ?thesis by simp next
case (Cons a p) thus ?thesis using prems apply simp
  apply (rule impI)+
  apply (rule conjI)
  apply (metis bothNet.simps(2) first-bothNet.simps(3))
  apply (rule impI)
  apply (subgoal-tac smaller (DenyAllFromTo x y) (DenyAllFromTo u v) l)
apply (subgoal-tac  $\neg$  smaller (DenyAllFromTo u v) (DenyAllFromTo x y) l)
apply (rule notI)
apply (case-tac smaller (DenyAllFromTo u v) (DenyAllFromTo x y) l)
apply (simp del: smaller.simps)
apply simp
apply (case-tac x = u)
apply simp
apply (case-tac y = v)
apply simp
apply (subgoal-tac u = v)
apply simp
apply simp
apply simp
apply (rule-tac y = a and z = p in notSmallerTrans)
apply (simp-all del: smaller.simps)
apply (rule smalleraux3a)
apply (simp-all del: smaller.simps)
apply (case-tac a, simp-all del: smaller.simps)
apply (case-tac a, simp-all del: smaller.simps)
apply (rule-tac y = a in order-trans)
apply simp-all
apply (subgoal-tac in-list (DenyAllFromTo u v) l)

```

```

apply simp
apply (rule-tac  $p = p$  in in-set-in-list)
apply simp
apply (case-tac  $a$ , simp-all del: smaller.simps)
apply (metis all-in-list.simps(2) sorted-Cons mem-def)
done
qed

```

```

lemma posaux3[rule-format]:  $a \in \text{set } l \longrightarrow b \in \text{set } l \longrightarrow a \neq b \longrightarrow \text{position } a \text{ } l$ 
 $\neq \text{position } b \text{ } l$ 
apply (induct  $l$ )
apply simp-all
apply (rule conjI)
apply (rule impI)+
apply (rule conjI)
apply (rule impI)
apply simp-all
apply (metis position-positive)+
done

```

```

lemma posaux4[rule-format]: singleCombinators  $[a] \longrightarrow a \neq \text{DenyAll} \longrightarrow b \neq$ 
 $\text{DenyAll} \longrightarrow \text{in-list } a \text{ } l \longrightarrow \text{in-list } b \text{ } l \longrightarrow \text{smaller } a \text{ } b \text{ } l \longrightarrow x = (\text{bothNet } a) \longrightarrow y$ 
 $= (\text{bothNet } b) \longrightarrow \text{position } x \text{ } l \leq \text{position } y \text{ } l$ 
proof (cases  $a$ )
  case DenyAll then show ?thesis by simp
next
  case (DenyAllFromTo  $c \text{ } d$ ) thus ?thesis
  proof (cases  $b$ )
    case DenyAll thus ?thesis by simp next
    case (DenyAllFromTo  $e \text{ } f$ ) thus ?thesis using prems
    apply simp
    by (metis bot-set-eq eq-imp-le)
  next
    case (AllowPortFromTo  $e \text{ } f \text{ } p$ ) thus ?thesis using prems by simp next
    case (Conc  $e \text{ } f$ ) thus ?thesis using prems by simp
  qed
next
  case (AllowPortFromTo  $c \text{ } d \text{ } p$ ) thus ?thesis
  proof (cases  $b$ )
    case DenyAll thus ?thesis by simp next
    case (DenyAllFromTo  $e \text{ } f$ ) thus ?thesis using prems by simp next
    case (AllowPortFromTo  $e \text{ } f \text{ } p$ ) thus ?thesis using prems by simp next
    case (Conc  $e \text{ } f$ ) thus ?thesis using prems by simp
  qed
next
  case (Conc  $c \text{ } d$ ) thus ?thesis by simp
qed

```

```

lemma NCSaux2[rule-format]:  $\text{noDenyAll } p \longrightarrow \{a, b\} \in \text{set } l \longrightarrow \text{all-in-list } p$ 

```

```

l  $\longrightarrow$  singleCombinators p  $\longrightarrow$  sorted (DenyAllFromTo a b # p) l  $\longrightarrow$  {a, b}  $\neq$ 
firstList p  $\longrightarrow$  AllowPortFromTo u v w  $\in$  set p  $\longrightarrow$ 
  {a, b}  $\neq$  {u, v}
apply (case-tac p)
apply simp-all
apply (rule impI) +
apply (rule conjI)
apply (rule impI)
apply (rotate-tac -1, drule sym)
apply simp
apply (rule impI)
apply (subgoal-tac smaller (DenyAllFromTo a b) (AllowPortFromTo u v w) l)
apply (subgoal-tac  $\neg$  smaller (AllowPortFromTo u v w) (DenyAllFromTo a b) l)
defer 1
apply (rule-tac y = aa and z = list in notSmallerTrans)
apply (simp-all del: smaller.simps)
apply (rule smalleraux3a)
apply (simp-all del: smaller.simps)
apply (case-tac aa, simp-all del: smaller.simps)
apply (case-tac aa, simp-all del: smaller.simps)
apply (rule-tac y = aa in order-trans)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (AllowPortFromTo u v w) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
apply simp
apply (metis all-in-list.simps(2) sorted-Cons mem-def)
apply (rule-tac l = l in posaux)
apply (rule-tac y = position (first-bothNet aa) l in basic-trans-rules(22))
apply simp
apply (simp split: if-splits)
apply (case-tac aa, simp-all)
apply (case-tac a =  $\alpha 1$   $\wedge$  b =  $\alpha 2$ )
apply simp-all
apply (case-tac a =  $\alpha 1$ )
apply simp-all
apply (rule basic-trans-rules(18))
apply simp
apply (rule posaux3)
apply simp
apply simp
apply simp
apply (rule basic-trans-rules(18))
apply simp
apply (rule posaux3)
apply simp
apply simp
apply simp

```

```

apply (rule basic-trans-rules(18))
apply simp
apply (rule posaux3)
apply simp
apply simp
apply simp
apply (rule basic-trans-rules(18))
apply (rule-tac a = DenyAllFromTo a b and b = aa in posaux4)
apply simp-all
apply (case-tac aa,simp-all)
apply (case-tac aa, simp-all)
apply (rule posaux3)
apply simp-all
apply (case-tac aa, simp-all)
apply (simp split: if-splits)
apply (rule-tac a = aa and b = AllowPortFromTo u v w in posaux4)
apply simp-all
apply (case-tac aa,simp-all)
apply (rule-tac p = list in sorted-is-smaller)
apply simp-all
apply (case-tac aa, simp-all)
apply (case-tac aa, simp-all)
apply (rule-tac a = aa and b = AllowPortFromTo u v w in posaux4)
apply simp-all
apply (case-tac aa,simp-all)
apply (subgoal-tac in-list (AllowPortFromTo u v w) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
defer 1
apply simp-all
apply (metis all-in-list.simps(2) sorted-Cons mem-def)
apply (case-tac aa, simp-all)
done

```

lemma NCSaux3[rule-format]:
 $noDenyAll\ p \longrightarrow \{a, b\} \in set\ l \longrightarrow all-in-list\ p\ l \longrightarrow singleCombinators\ p \longrightarrow$
 $sorted\ (AllowPortFromTo\ a\ b\ w\ \# \ p)\ l \longrightarrow \{a, b\} \neq firstList\ p \longrightarrow DenyAll-$
 $FromTo\ u\ v \in set\ p \longrightarrow$
 $\{a, b\} \neq \{u, v\}$
apply (case-tac p)
apply simp-all
apply (rule impI)+
apply (rule conjI)
apply (rule impI)
apply (rotate-tac -1, drule sym)
apply simp
apply (rule impI)
apply (subgoal-tac smaller (AllowPortFromTo a b w) (DenyAllFromTo u v) l)

```

apply (subgoal-tac  $\neg$  smaller (DenyAllFromTo u v) (AllowPortFromTo a b w) l)
apply (simp split: if-splits)
apply (rule-tac y = aa and z = list in notSmallerTrans)
apply (simp-all del: smaller.simps)
apply (rule smalleraux3a)
apply (simp-all del: smaller.simps)
apply (case-tac aa, simp-all del: smaller.simps)
apply (case-tac aa, simp-all del: smaller.simps)
apply (rule-tac y = aa in order-trans)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (DenyAllFromTo u v) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
apply simp
apply (rule-tac p = list in sorted-is-smaller)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (DenyAllFromTo u v) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
apply simp
apply (erule singleCombinatorsConc)
done

```

```

lemma NCSaux4[rule-format]:
  noDenyAll p  $\longrightarrow$  {a, b}  $\in$  set l  $\longrightarrow$  all-in-list p l  $\longrightarrow$  singleCombinators p  $\longrightarrow$ 
  sorted (AllowPortFromTo a b c # p) l  $\longrightarrow$  {a, b}  $\neq$  firstList p  $\longrightarrow$  AllowPort-
  FromTo u v w  $\in$  set p  $\longrightarrow$ 
  {a, b}  $\neq$  {u, v}
apply (case-tac p)
apply simp-all
apply (rule impI)+
apply (rule conjI)
apply (rule impI)
apply (rotate-tac -1, drule sym)
apply simp
apply (rule impI)
apply (subgoal-tac smaller (AllowPortFromTo a b c) (AllowPortFromTo u v w) l)
apply (subgoal-tac  $\neg$  smaller (AllowPortFromTo u v w) (AllowPortFromTo a b c)
  l)
apply (simp split: if-splits)
apply (rule-tac y = aa and z = list in notSmallerTrans)
apply (simp-all del: smaller.simps)
apply (rule smalleraux3a)
apply (simp-all del: smaller.simps)
apply (case-tac aa, simp-all del: smaller.simps)
apply (case-tac aa, simp-all del: smaller.simps)

```

```

apply (case-tac aa, simp-all del: smaller.simps)
apply (rule-tac y = aa in order-trans)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (AllowPortFromTo u v w) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
apply (case-tac aa, simp-all del: smaller.simps)
apply (rule-tac p = list in sorted-is-smaller)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (AllowPortFromTo u v w) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
apply simp
apply (rule-tac y = aa in order-trans)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (AllowPortFromTo u v w) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp
apply simp
apply (rule-tac p = list in sorted-is-smaller)
apply (simp-all del: smaller.simps)
apply (subgoal-tac in-list (AllowPortFromTo u v w) l)
apply simp
apply (rule-tac p = list in in-set-in-list)
apply simp-all
done

```

```

lemma NetsCollectedSorted[rule-format]:
  noDenyAll1 p  $\longrightarrow$  all-in-list p l  $\longrightarrow$  singleCombinators p  $\longrightarrow$  sorted p l  $\longrightarrow$ 
  NetsCollected p
apply (induct p)
apply simp
apply (rule impI)+
apply (drule mp)
apply (erule noDA1C)
apply (drule mp)
apply simp
apply (drule mp)
apply (erule singleCombinatorsConc)
apply (drule mp)
apply (erule sortedConc)

apply (rule fl3)
apply simp
apply simp

```



```

apply (case-tac a)
apply simp-all
apply (metis fMTaux noDA set-empty2)
apply (case-tac aa)
apply simp-all
apply (rule NCSaux1, simp-all)
apply (rule NCSaux2, simp-all)
apply (metis aux0-0)
apply (case-tac aa)
apply simp-all
apply (rule NCSaux3, simp-all)
apply (rule NCSaux4, simp-all)
apply (metis aux0-0)
done

```

```

lemma NetsCollectedSort:  $\text{distinct } p \implies \text{noDenyAll1 } p \implies \text{all-in-list } p \implies \text{singleCombinators } p \implies \text{NetsCollected } (\text{sort } p \ l)$ 
apply (rule-tac  $l = l$  in NetsCollectedSorted)
apply (rule noDASort)
apply simp-all
apply (rule-tac  $b=p$  in all-in-listSubset)
apply simp-all
apply (rule sort-is-sorted)
apply simp-all
done

```

```

lemma fBNsep[rule-format]:  $(\forall a \in \text{set } z. \{b, c\} \neq \text{first-bothNet } a) \longrightarrow (\forall a \in \text{set } (\text{separate } z). \{b, c\} \neq \text{first-bothNet } a)$ 
apply (rule separate.induct) back
apply simp
apply (rule impI, simp)+
done

```

```

lemma fBNsep1[rule-format]:  $(\forall a \in \text{set } z. \text{first-bothNet } x \neq \text{first-bothNet } a) \longrightarrow (\forall a \in \text{set } (\text{separate } z). \text{first-bothNet } x \neq \text{first-bothNet } a)$ 
apply (rule separate.induct) back
apply simp
apply (rule impI, simp)+
done

```

```

lemma NetsCollectedSepauxa:  $\llbracket \{b, c\} \neq \text{firstList } z; \text{noDenyAll1 } z; (\forall a \in \text{set } z. \{b, c\} \neq \text{first-bothNet } a); \text{NetsCollected } (z);$ 

```

$$\text{NetsCollected } (\text{separate } (z)); \{b,c\} \neq \text{firstList } (\text{separate } (z));$$

$$a \in \text{set } (\text{separate } (z)) \implies \{b,c\} \neq \text{first-bothNet } a$$
apply (rule fBNsep)
 apply simp-all
 done

lemma NetsCollectedSepaux: $\llbracket \text{first-bothNet } (x::('a,'b)\text{Combinators}) \neq \text{first-bothNet } y; \neg \text{member DenyAll } y \wedge \text{noDenyAll } z; (\forall a \in \text{set } z. \text{first-bothNet } x \neq \text{first-bothNet } a) \wedge \text{NetsCollected } (y \# z); \text{NetsCollected } (\text{separate } (y \# z)); \text{first-bothNet } x \neq \text{firstList } (\text{separate } (y \# z)); a \in \text{set } (\text{separate } (y \# z)) \rrbracket$

$$\implies \text{first-bothNet } (x::('a,'b)\text{Combinators}) \neq \text{first-bothNet } (a::('a,'b)\text{Combinators})$$

apply (rule fBNsep1)
 apply simp-all
 apply auto
 done

lemma NetsCollectedSep[rule-format]: $\text{noDenyAll1 } p \longrightarrow \text{NetsCollected } p \longrightarrow \text{NetsCollected } (\text{separate } p)$
apply (rule separate.induct) **back**
apply simp-all
apply (metis fMTaux noDA noDA1eq noDAsep set-empty2)
apply (rule conjI|rule impI)+
apply simp
apply (metis fBNsep set-ConsD)
apply (metis noDA1eq noDenyAll.simps(1) set-empty2)
apply (rule conjI|rule impI)+
apply (metis fBNsep mem-def set-ConsD)
apply (metis noDA1eq noDenyAll.simps(1) set-empty2)
apply (rule conjI|rule impI)+
apply simp
apply (metis NetsCollected.simps(1) NetsCollectedSepaux firstList.simps(1) fl2 fl3 noDA1eq noDenyAll.simps(1))
apply (metis noDA1eq noDenyAll.simps(1))
done

lemma OTNaux: $\text{onlyTwoNets } a \implies \neg \text{member DenyAll } a \implies (x,y) \in \text{sdnets } a \implies (x = \text{first-srcNet } a \wedge y = \text{first-destNet } a) \vee (x = \text{first-destNet } a \wedge y = \text{first-srcNet } a)$
apply (case-tac $(x = \text{first-srcNet } a \wedge y = \text{first-destNet } a)$)
apply simp-all
apply (simp add: onlyTwoNets-def)

```

apply (case-tac ( $\exists aa\ b. \text{sdnets } a = \{(aa, b)\}$ ))
apply simp-all
apply (subgoal-tac  $\text{sdnets } a = \{(\text{first-srcNet } a, \text{first-destNet } a)\}$ )
apply simp-all
apply (metis singletonE first-isIn)
apply (subgoal-tac  $\text{sdnets } a = \{(\text{first-srcNet } a, \text{first-destNet } a), (\text{first-destNet } a, \text{first-srcNet } a)\}$ )
apply simp-all
apply (rule sdnets2)
apply simp-all
done

```

```

lemma sdnets-charn: onlyTwoNets a  $\implies \neg \text{member DenyAll } a \implies$ 
sdnets a =  $\{(\text{first-srcNet } a, \text{first-destNet } a)\} \vee \text{sdnets } a = \{(\text{first-srcNet } a, \text{first-destNet } a), (\text{first-destNet } a, \text{first-srcNet } a)\}$ 
apply (case-tac  $\text{sdnets } a = \{(\text{first-srcNet } a, \text{first-destNet } a)\}$ )
apply simp-all
apply (simp add: onlyTwoNets-def)
apply (case-tac ( $\exists aa\ b. \text{sdnets } a = \{(aa, b)\}$ ))
apply simp-all
apply (metis singletonE first-isIn)
apply (subgoal-tac  $\text{sdnets } a = \{(\text{first-srcNet } a, \text{first-destNet } a), (\text{first-destNet } a, \text{first-srcNet } a)\}$ )
apply simp-all
apply (rule sdnets2)
apply simp-all
done

```

```

lemma first-bothNet-charn[rule-format]:  $\neg \text{member DenyAll } a \longrightarrow \text{first-bothNet } a$ 
=  $\{\text{first-srcNet } a, \text{first-destNet } a\}$ 
apply (induct a)
apply simp-all
done

```

```

lemma sdnets-noteq:  $\llbracket \text{onlyTwoNets } a; \text{onlyTwoNets } aa; \text{first-bothNet } a \neq \text{first-bothNet } aa; \neg \text{member DenyAll } a; \neg \text{member DenyAll } aa \rrbracket$ 
 $\implies \text{sdnets } a \neq \text{sdnets } aa$ 
apply (insert sdnets-charn [of a])
apply (insert sdnets-charn [of aa])
apply (insert first-bothNet-charn [of a])
apply (insert first-bothNet-charn [of aa])
apply simp
apply (metis OTNaux first-bothNetsd first-isIn insert-absorb2 insert-commute)
done

```

```

lemma fbn-noteq:  $\llbracket \text{onlyTwoNets } a; \text{onlyTwoNets } aa; \text{first-bothNet } a \neq \text{first-bothNet } aa; \neg \text{member DenyAll } a; \neg \text{member DenyAll } aa \rrbracket$ 

```

```

       $\neg \text{member } \text{DenyAll } a; \neg \text{member } \text{DenyAll } aa; \text{allNetsDistinct } [a,$ 
 $aa]]$ 
       $\implies \text{first-srcNet } a \neq \text{first-srcNet } aa \vee \text{first-srcNet } a \neq \text{first-destNet } aa$ 
 $\vee \text{first-destNet } a \neq \text{first-srcNet } aa \vee \text{first-destNet } a \neq \text{first-destNet } aa$ 
      apply (insert sdnets-cha $\text{rn}$  [of a])
      apply (insert sdnets-cha $\text{rn}$  [of aa])
      apply simp
      apply (insert sdnets-noteq [of a aa])
      apply simp
      apply (rule impI)+
      apply simp
      apply (case-tac sdnets a = {(first-destNet aa, first-srcNet aa)})
      apply simp-all
      apply (case-tac sdnets aa = {(first-srcNet aa, first-destNet aa)})
      apply simp-all
      done

```

lemma *NCisSD2aux*: $[\text{onlyTwoNets } a; \text{onlyTwoNets } aa; \text{first-bothNet } a \neq \text{first-bothNet } aa;$

```

       $\neg \text{member } \text{DenyAll } a; \neg \text{member } \text{DenyAll } aa; \text{allNetsDistinct } [a,$ 
 $aa]]$ 
       $\implies \text{disjSD-2 } a \ aa$ 
      apply (simp add: disjSD-2-def)
      apply (rule allI)+
      apply (rule impI)
      apply (insert sdnets-cha $\text{rn}$  [of a])
      apply (insert sdnets-cha $\text{rn}$  [of aa])
      apply simp
      apply (insert sdnets-noteq [of a aa])
      apply (insert fbn-noteq [of a aa])
      apply simp
      apply (simp add: allNetsDistinct-def twoNetsDistinct-def)
      apply (rule conjI)
      apply (cases sdnets a = {(first-srcNet a, first-destNet a)})
      apply (cases sdnets aa = {(first-srcNet aa, first-destNet aa)})
      apply simp-all
      apply (metis firstInNeta firstInNet alternativelistconc2)
      apply (case-tac (c = first-srcNet aa  $\wedge$  d = first-destNet aa))
      apply simp-all
      apply (case-tac (first-srcNet a)  $\neq$  (first-srcNet aa))
      apply (metis firstInNeta firstInNet alternativelistconc2)
      apply simp
      apply (subgoal-tac first-destNet a  $\neq$  first-destNet aa)
      apply (metis firstInNeta firstInNet alternativelistconc2)
      apply (metis first-bothNetsd set-empty2)
      apply (case-tac (first-destNet aa)  $\neq$  (first-srcNet a))
      apply (metis firstInNeta firstInNet alternativelistconc2)
      apply simp
      apply (case-tac first-destNet aa  $\neq$  first-destNet a)

```

```

apply simp
apply (subgoal-tac first-srcNet aa  $\neq$  first-destNet a)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd insert-commute set-empty2)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (case-tac (c = first-srcNet aa  $\wedge$  d = first-destNet aa))
apply simp-all
apply (case-tac (ab = first-srcNet a  $\wedge$  b = first-destNet a))
apply simp-all
apply (case-tac (first-srcNet a)  $\neq$  (first-srcNet aa))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (subgoal-tac first-destNet a  $\neq$  first-destNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2)
apply (case-tac (first-destNet aa)  $\neq$  (first-srcNet a))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (case-tac first-destNet aa  $\neq$  first-destNet a)
apply simp
apply (subgoal-tac first-srcNet aa  $\neq$  first-destNet a)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd insert-commute set-empty2)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (case-tac (ab = first-srcNet a  $\wedge$  b = first-destNet a))
apply simp-all
apply (case-tac c = first-srcNet aa)
apply simp-all
apply (metis OTNaux)
apply (subgoal-tac c = first-destNet aa)
apply simp
apply (subgoal-tac d = first-srcNet aa)
apply simp
apply (case-tac (first-srcNet a)  $\neq$  (first-destNet aa))
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply simp
apply (subgoal-tac first-destNet a  $\neq$  first-srcNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (metis OTNaux)
apply (metis OTNaux)
apply (case-tac c = first-srcNet aa)
apply simp-all
apply (metis OTNaux)
apply (subgoal-tac c = first-destNet aa)
apply simp
apply (subgoal-tac d = first-srcNet aa)
apply simp
apply (case-tac (first-destNet a)  $\neq$  (first-destNet aa))

```

```

apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply simp
apply (subgoal-tac first-srcNet a  $\neq$  first-srcNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (metis OTNaux)
apply (metis OTNaux)
apply (cases sdnets a = {(first-srcNet a, first-destNet a)})
apply (cases sdnets aa = {(first-srcNet aa, first-destNet aa)})
apply simp-all
apply (case-tac (c = first-srcNet aa  $\wedge$  d = first-destNet aa))
apply simp-all
apply (case-tac (first-srcNet a  $\neq$  (first-destNet aa)))
apply simp-all
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply (subgoal-tac first-destNet a  $\neq$  first-srcNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (case-tac (c = first-srcNet aa  $\wedge$  d = first-destNet aa))
apply simp-all
apply (case-tac (ab = first-srcNet a  $\wedge$  b = first-destNet a))
apply simp-all
apply (case-tac (first-destNet a  $\neq$  (first-srcNet aa)))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (subgoal-tac first-srcNet a  $\neq$  first-destNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (case-tac (first-srcNet aa  $\neq$  (first-srcNet a)))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (case-tac first-destNet aa  $\neq$  first-destNet a)
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply simp
apply (metis first-bothNetsd set-empty2)
apply (cases sdnets aa = {(first-srcNet aa, first-destNet aa)})
apply simp-all
apply (case-tac (c = first-srcNet aa  $\wedge$  d = first-destNet aa))
apply simp-all
apply (case-tac (ab = first-srcNet a  $\wedge$  b = first-destNet a))
apply simp-all
apply (case-tac (first-destNet a  $\neq$  (first-srcNet aa)))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (subgoal-tac first-srcNet a  $\neq$  first-destNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (case-tac (first-srcNet aa  $\neq$  (first-srcNet a)))
apply (metis firstInNeta firstInNet alternativelistconc2)

```

```

apply simp
apply (case-tac first-destNet aa  $\neq$  first-destNet a)
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply simp
apply (metis first-bothNetsd set-empty2)
apply (case-tac (c = first-srcNet aa  $\wedge$  d = first-destNet aa))
apply simp-all
apply (case-tac (ab = first-srcNet a  $\wedge$  b = first-destNet a))
apply simp-all
apply (case-tac (first-destNet a)  $\neq$  (first-srcNet aa))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (subgoal-tac first-srcNet a  $\neq$  first-destNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (case-tac (first-srcNet aa)  $\neq$  (first-srcNet a))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (case-tac first-destNet aa  $\neq$  first-destNet a)
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply simp
apply (case-tac (ab = first-srcNet a  $\wedge$  b = first-destNet a))
apply simp-all
apply (case-tac (first-destNet a)  $\neq$  (first-srcNet aa))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (subgoal-tac first-srcNet a  $\neq$  first-srcNet aa)
apply (metis firstInNeta firstInNet alternativelistconc2)
apply (metis first-bothNetsd set-empty2 insert-commute)
apply (case-tac (first-srcNet aa)  $\neq$  (first-destNet a))
apply (metis firstInNeta firstInNet alternativelistconc2)
apply simp
apply (case-tac first-destNet aa  $\neq$  first-srcNet a)
apply (metis firstInNeta firstInNet alternativelistconc2 alternativelistconc1)
apply simp
apply (metis insert-commute set-empty2)
done

```

```

lemma ANDaux3[rule-format]:  $y \in \text{set } xs \longrightarrow a \in \text{set } (\text{net-list-aux } [y]) \longrightarrow a \in$ 
  set (net-list-aux xs)
apply (induct xs)
apply simp-all
apply (rule conjI)
apply (rule impI)
apply simp
apply (metis isInAlternativeList)
apply (rule impI)
apply simp
apply (erule isInAlternativeListb)

```

done

lemma *ANDaux2*: $allNetsDistinct\ (x \# xs) \implies y \in set\ xs$
 $\implies allNetsDistinct\ [x,y]$

apply (*simp add: allNetsDistinct-def*)
apply (*rule allI*)
apply (*rule allI*)
apply (*rule impI*) +
apply (*drule-tac x = a in spec*)
apply (*drule-tac x = b in spec*)
apply *simp*
apply (*drule mp*)
apply *simp-all*
apply (*rule conjI*)
apply (*case-tac a ∈ set (net-list-aux [x])*)
apply (*erule isInAlternativeList a*)
apply (*rule isInAlternativeList b*)
apply (*rule ANDaux3*)
apply *simp-all*
apply (*metis netlistaux*)
apply (*case-tac b ∈ set (net-list-aux [x])*)
apply (*erule isInAlternativeList a*)
apply (*rule isInAlternativeList b*)
apply (*rule ANDaux3*)
apply *simp-all*
apply (*metis netlistaux*)
done

lemma *NCisSD2*[*rule-format*]:

$\llbracket \neg member\ DenyAll\ a; OnlyTwoNets\ (a \# p); NetsCollected2\ (a \# p); NetsCollected$
 $(a \# p); noDenyAll\ (p); allNetsDistinct\ (a \# p); s \in set\ p \rrbracket \implies$
 $disjSD-2\ a\ s$

apply (*case-tac p*)
apply *simp-all*
apply (*rule NCisSD2aux*)
apply *simp-all*
apply (*rule OTNoTN*)
apply *simp*
apply (*case-tac a, simp-all*)
apply (*rule OTNoTN*)
apply *simp*
apply (*metis FWCompilation.member.simps(2) noDA*)
apply *simp*
apply *metis*
apply (*metis noDA*)
apply (*rule ANDaux2*)
apply *simp-all*
apply *simp*
done


```

lemma separatedNC[rule-format]: OnlyTwoNets  $p \longrightarrow \text{NetsCollected2 } p \longrightarrow \text{NetsCollected } p \longrightarrow \text{noDenyAll1 } p \longrightarrow \text{allNetsDistinct } p \longrightarrow \text{separated } p$ 
apply (induct  $p$ )
apply simp-all
apply (case-tac  $a = \text{DenyAll}$ )
apply simp-all
defer 1
apply (rule impI) +
apply (drule mp)
apply (erule OTNConc)
apply (drule mp)
apply (case-tac  $p$ , simp-all)
apply (drule mp)
apply (erule noDA1C)
apply (rule conjI)
apply (rule allI)
apply (rule impI)
apply (rule NCisSD2)
apply simp-all
apply (case-tac  $a$ , simp-all)
apply (case-tac  $a$ , simp-all)
apply (drule mp)
apply (erule ANDConc)
apply simp
apply (rule impI) +
apply (simp)
apply (drule mp)
apply (erule noDA1eq)
apply (drule mp)
apply (erule ANDConc)
apply simp
apply (simp add: disjSD-2-def)
done

```

```

lemma NC2Sep[rule-format]: noDenyAll1  $p \longrightarrow \text{NetsCollected2 } (\text{separate } p)$ 
apply (rule separate.induct) back
apply simp-all
apply (rule impI, drule mp)
apply (erule noDA1eq)
apply (case-tac separate  $x = []$ )
apply simp-all
apply (case-tac  $x$ , simp-all)
apply (metis fMTaux firstList.simps(1) fl2 set-empty2)
apply (rule impI) +
apply simp
apply (drule mp)
apply (rule noDA1eq)
apply (case-tac  $y$ , simp-all)

```

```

apply (metis firstList.simps(1) fl2)
apply (rule impI)+
apply simp
apply (drule mp)
apply (rule noDA1eq)
apply (case-tac y, simp-all)
apply (metis firstList.simps(1) fl2)
apply (rule impI)+
apply simp
apply (drule mp)
apply (rule noDA1eq)
apply (case-tac y, simp-all)
apply (metis firstList.simps(1) fl2)
done

```

lemma *separatedSep*[rule-format]: *OnlyTwoNets* $p \longrightarrow$ *NetsCollected2* $p \longrightarrow$ *NetsCollected* $p \longrightarrow$ *noDenyAll1* $p \longrightarrow$ *allNetsDistinct* $p \longrightarrow$ *separated* (*separate* p)

```

apply (rule impI)+
apply (rule separatedNC)
apply (rule OTNSEp)
apply simp-all
apply (erule NC2Sep)
apply (erule NetsCollectedSep)
apply simp
apply (erule noDA1sep)
apply (erule ANDSep)
done

```

lemmas *CLemmas* = *noneMTsep* *nMTSort* *noneMTRS2* *noneMTrd* *nMTRS3* *separatedSep* *noDAsort* *nDASC* *wp1-eq* *WP1rd* *wp1ID* *SC2* *SCrd* *SCRS3* *SCRiD* *SC1* *aux0* *aND-sort* *SC2* *SCrd* *aND-RS2* *ANDRS3* *wellformed1-sorted* *wp1ID* *ANDiD* *ANDrd* *SC1* *aND-RS1* *SC3* *ANDSep* *OTNSEp* *OTNSC* *noDA1sep* *wp1-alternativesep* *wellformed1-alternative-sorted* *distinct-RS2*

lemmas *C-eqLemmas-id* = *C-eq-Lemmas-sep* *CLemmas* *OTNSEp* *NC2Sep* *NetsCollectedSep* *NetsCollectedSort* *separatedNC*

lemma *C-eq-Until-InsertDenies*: $\llbracket \text{DenyAll} \in \text{set } (\text{policy2list } p); \text{all-in-list } (\text{policy2list } p) \text{ } l; \text{allNetsDistinct } (\text{policy2list } p) \rrbracket \implies$

```

C (list2policy ((insertDenies (separate (sort (removeShadowRules2 (remdups (removeShadowRules3
(insertDeny (removeShadowRules1 (policy2list p)))))) l)))))) = C  $p$ 
apply (subst C-eq-iD)
apply (simp-all add: C-eqLemmas-id)
apply (rule C-eq-until-separated)
apply simp-all
done

```

```

lemma rADnMT[rule-format]:  $p \neq [] \longrightarrow \text{removeAllDuplicates } p \neq []$ 
apply (induct p)
apply simp-all
done

```

```

lemma C-eq-RD-aux[rule-format]:  $C(p) x = C(\text{removeDuplicates } p) x$ 
apply (induct p)
apply simp-all
apply (rule conjI, rule impI)
apply (metis Cdom2 domIff nlpaux not-in-member)
apply (metis C.simps(4) CConcStartaux Cdom2 domIff)
done

```

```

lemma C-eq-RAD-aux[rule-format]:  $p \neq [] \longrightarrow C(\text{list2policy } p) x = C(\text{list2policy } (\text{removeAllDuplicates } p)) x$ 
apply (induct p)
apply simp-all
apply (case-tac  $p = []$ )
apply simp-all
apply (metis C-eq-RD-aux)
apply (subst list2policyconc)
apply simp
apply (case-tac  $x \in \text{dom } (C(\text{list2policy } p))$ )
apply (subst list2policyconc)
apply (rule rADnMT)
apply simp
apply (subst Cdom2)
apply simp
apply (drule sym)
apply (subst Cdom2)
apply (simp add: dom-def)
apply simp
apply (drule sym)
apply (subst nlpaux)
apply simp
apply (subst list2policyconc)
apply (rule rADnMT)
apply simp
apply (subst nlpaux)
apply (simp add: dom-def)
apply (rule C-eq-RD-aux)
done

```

```

lemma C-eq-RAD:  $p \neq [] \implies C(\text{list2policy } p) = C(\text{list2policy } (\text{removeAllDuplicates } p))$ 
apply (rule ext)
apply (erule C-eq-RAD-aux)
done

```

```

lemma C-eq-compile:
   $\llbracket \text{DenyAll} \in \text{set } (\text{policy2list } p); \text{all-in-list } (\text{policy2list } p) \text{ } l; \text{allNetsDistinct } (\text{policy2list } p) \rrbracket$ 
 $\implies$ 
   $C \text{ (list2policy (removeAllDuplicates (insertDenies (separate (sort (removeShadowRules2 (remdups (removeShadowRules3 (insertDeny (removeShadowRules1 (policy2list p)))))) l)))))) = C } p$ 
  apply (subst C-eq-RAD[symmetric])
  apply (rule idNMT)
  apply (simp add: C-eqLemmas-id)
  apply (rule C-eq-Until-InsertDenies)
  apply simp-all
done

end

```

References

- [1] A. D. Brucker, L. Brügger, P. Kearney, and B. Wolff. Verified firewall policy transformations for test case generation. In A. Cavalli and S. Ghosh, editors, *International Conference on Software Testing (ICST10)*, Lecture Notes in Computer Science. Springer-Verlag, 2010.
- [2] A. D. Brucker, L. Brügger, and B. Wolff. Model-based firewall conformance testing. In K. Suzuki and T. Higashino, editors, *Testcom/FATES 2008*, number 5047 in Lecture Notes in Computer Science, pages 103–118. Springer-Verlag, 2008.
- [3] A. D. Brucker and B. Wolff. Test-sequence generation with HOL-TestGen – with an application to firewall testing. In B. Meyer and Y. Gurevich, editors, *TAP 2007: Tests And Proofs*, number 4454 in Lecture Notes in Computer Science, pages 149–168. Springer-Verlag, 2007.
- [4] D. von Bidder. *Specification-based Firewall Testing*. Ph.d. thesis, ETH Zurich, 2007. ETH Dissertation No. 17172. Diana von Bidder’s maiden name is Diana Senn.